# CITY OF FRIENDSVILLE

## **BLOUNT COUNTY, TENNESSEE**

## CONTRACT DOCUMENTS AND SPECIFICATIONS

## **FOR**

# 2023 CITY OF FRIENDSVILLE DOWNTOWN SEWER IMPROVEMENTS-PHASE 1

**HUD PROJECT NUMBER B-22-CP-TN-0851** 

**JUNE, 2023** 

Robert J. Colvin, P.E. 293 Dogwood Lane Jacksboro, TN 37757 (865)599-2389

E-Mail: bobcolvin32@yahoo.com

# CITY OF FRIENDSVILLE BLOUNT COUNTY, TENNESSEE

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System Approval:

Robert J. Colvin, P.E. 293 Dogwood Lane Jacksboro, TN 37757 (865)599-2389

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## ADVERTISEMENT FOR BIDS

,			
The C	ity of Fri	endsville	
Owner	r		

Project No. B-22-CP-TN-0851

Separate sealed bids for Construction for The City of Friendsville Sewer Improvements-Phase 1 will be received by The City of Friendsville at the office of City Hall, located at 213 West College Avenue, Friendsville, TN 37737, or mailing address P.O. Box 56, Friendsville, TN 37737 until 4:00 pm E.S.T. on November 21, 2024, and then at said office, publicly opened and read aloud.

The Information for Bidders, Form of Bid, Form of Contract, Plans, Specifications, Forms of Bid Bond, Performance and Payment Bond, and other contract documents may be examined at the following:

The City of Friendsville 213 West College Avenue Friendsville, TN 37737

Copies may be obtained at the office of Robert Colvin, PE Located at 293 Dogwood Lane, Jacksboro, TN 37757, upon payment of \$0 for each set.

Upon returning each set promptly and in good condition, any unsuccessful bidder will be refunded his payment, and any non-bidder, upon returning such a set, will be refunded \$0.

The owner reserves the right to waive any informalities or reject all bids.

Each bidder must deposit the amount and form with his bid security, subject to the conditions provided in the Information for Bidders.

All bidders must be licensed General Contractors as required by the Contractor's Licensing Act of 1994 of the General Assembly of the State of Tennessee and qualified for the type of construction being bid upon.

Bidders are particularly called to the requirements regarding conditions of employment to be observed and minimum wage rates to be paid under the contract, Section 3, Segregated Facility, Section 109, and E.O. 11246.

No bidder may withdraw his bid within 60 days after the actual date of the opening thereof.

Date: 10/17/2024

Steven Cardwell

Mayor

## INFORMATION FOR BIDDERS

## 1. Receipt and Opening of Bids

The City of Friendsville	(herein cal	led the "Owne	r), invites bids on		
the form attached hereto, all blanks of which must be appropriately filled in. Bid					
will be received by the Owner at the	office of				
the City of Friendsville	_until <u>4:00</u>	o'clock	P.M.,		
E.S.T, November 21	_, 20 <u>24</u> _,	and then at sa	id office publicly		
opened and read aloud. The envelo	pes contair	ning the bids m	nust be sealed,		
addressed to The City of Friendsville	ea	t			
213 West College Avenue, P.O. Box	k 56, Friend	sville, TN and	designated as bid		
for Construction Services for The Ci	ty of Friend	sville Downtov	vn Sewer Impr <sub>.</sub>		

The Owner may consider informal any bid not prepared and submitted in accordance with the provisions hereof and may waive any informalities or reject any and all bids. Any bid may be withdrawn prior to the above scheduled time for the opening of bids or authorized postponement thereof. Any bid received after the time and date specified shall not be considered. No bidder may withdraw a bid within 60 days after the actual date of the opening thereof.

## 2. Preparation of Bid:

Each bid must be submitted on the prescribed form and accompanied by Certification of Bidder Regarding Equal Employment Opportunity, Acknowledgment Regarding Bidder SAM Registration, Certification of Bidder Regarding Section 3 and Segregated Facilities, and Drug-Free Workplace Affidavit. All blank spaces for bid prices must be filled in, in ink or typewritten, in both words and figures, and the foregoing Certifications must be fully completed and executed when submitted.

Each bid must be submitted in a sealed envelope bearing on the outside the name of the bidder, his/her address, the name of the project for which the bid is submitted, license number, expiration date thereof, and license classification of the contractors applying to bid for the prime contract, and for the electrical, plumbing, heating, ventilation, and air conditioning contracts, and all other information required by State law..

All bidders must be licensed General Contractors as required by the Contractor's Licensing Act of 1994 of the General Assembly of the State of Tennessee, and qualified for the type of construction being bid upon. Each bidder shall write on the outside of the envelope containing its bid: 1) its Contractor's license number; 2) that part of the classification applying to the bid. If this is not done, the bid will not be opened.

## 3. Subcontracts:

The bidder is specifically advised that any person, for, or other party to whom it is proposed to award a subcontract under this contract:

- a. Must be acceptable to the owner; and
- b. Must submit Certification by Proposed Subcontractor Regarding Equal Employment Opportunity, and Certification of Proposed Subcontractor Regarding Section 3 and Segregated Facilities. Approval of the proposed subcontract award cannot be given by the owner unless and until the proposed subcontractor has submitted the Certifications and/or other evidence showing that it has fully complied with any reporting requirements to which it is or was subject.

Although the bidder is not required to attach such Certifications by proposed subcontractors to his/her bid, the bidder is here advised of this requirement so that appropriate action can be taken to prevent subsequent delay in subcontract awards.

## 4. Telegraphic Modification:

Any bidder may modify his/her bid by telegraphic communication at any time prior to the scheduled closing time for receipt of bids provided such telegraphic communication is received by the Owner prior to the closing time, and, provided further, the Owner is satisfied that a written confirmation of the telegraphic modification over the signature of the bidder was mailed prior to the closing time. The telegraphic communication should not reveal the bid price but should provide the addition or subtraction or other modification so that the final prices or terms will not be known by the Owner until the sealed bid is opened. If written confirmation is not received within two days from the closing time, no consideration will be given to the telegraphic modification.

## 5. Method of Bidding:

The Owner invites the following bid(s):
Phase 1 Force Main-City Of Friendsville Downtown Area

## 6. Qualification of Bidder:

The Owner may make such investigations as s/he deems necessary to determine the ability of the bidder to perform the work, and the bidder shall furnish to the Owner all such information and data for this purpose as the Owner may request. The Owner reserves the right to reject any bid if the evidence submitted by, or investigation of, such bidder fails to satisfy the owner that such bidder is properly qualified to carry out the obligations of the contract and to complete the work contemplated therein. Conditional bids will not be accepted.

## 7. Bid Security:

Each bid must be accompanied by cash, certified check of the bidder, or a bid bond prepared on the form of bid bond attached thereto, duly executed by the bidder as principal and having as surety thereon a surety company approved by the Owner, in the amount of 5% of the bid. Such cash, checks or bid bonds will be returned to all except the three lowest bidders within three days after the opening of bids, and the remaining cash, checks or bid bonds will be returned promptly after the Owner and the accepted bidder have executed the contract, or, if no award has been made within 60 days after the date of the opening of bids, upon demand of the bidder at any time thereafter, so long as he/she has not been notified of the acceptance of his/her bid.

## 8. Liquidated Damages for Failure to Enter into Contract:

The successful bidder, upon his/her failure to refusal to execute and deliver the contract and bonds required within 10 days after she/he has received notice of the acceptance of his/her bid, shall forfeit to the Owner, as liquidated damages for such failure or refusal, the security deposited with his/her bid.

## 9. Time of Completion and Liquidated Damages:

Bidder must agree to commence work on or before a date to be specified in a written "Notice to Proceed" of the Owner and to fully complete the project within 180 \_\_\_\_ consecutive calendar days thereafter. Bidder must agree also to pay as liquidated damages, the sum of \$500.00 \_\_\_ for each consecutive calendar day thereafter as hereinafter provided in the Supplemental General Conditions.

## 10. Condition of Work:

Each bidder must inform him/herself fully of the conditions relating to the construction of the project and the employment of labor thereof. Failure to do so will not relieve a successful bidder of his/her obligation to furnish all material and labor necessary to carry out the provisions of his/her contract. Insofar as possible, the contractor, in carrying out the work, must employ such methods as will not cause any interruption of or interference with the work of any other contractor.

## 11. Addenda and Interpretations:

No interpretation of the meaning of the plans, specifications or other pre-bid documents will be made to any bidder orally.

Every request for such interpretation should be in writing addressed to

Robert J. Colvin, P.E. at 293 Dogwood Lane, Jacksboro, T

and to be given consideration must be received at least five days prior to the date fixed for the opening of bids. Any and all such interpretations and any supplemental instructions will be in the form of written addenda to the specifications which, if issued, will be mailed by certified mail with return receipt requested or emailed to all prospective bidders (at the respective addresses furnished for such purposes), not later than two days prior to the date fixed for the opening of bids. Failure of any bidder to receive any such addendum or interpretation shall not relieve such bidder from any obligation under his/her bid as submitted. All addenda so issued shall become part of the contract documents.

## 12. Security for Faithful Performance:

Simultaneously with his/her delivery of the executed contract, the Contractor shall furnish a surety bond or bonds as security for faithful performance of this contract and for the payment of all persons performing labor on the project under this contract and furnishing materials in connection with this contract, as specified in the General Conditions included herein. The surety on such bond or bonds shall be a duly authorized surety company satisfactory to the Owner.

## 13. Power of Attorney:

Attorneys-in-fact who sign bid bonds or contract bonds must file with each bond a certified and effectively dated copy of their power of attorney.

## 14. Notice of Special Conditions:

Attention is particularly called to those parts of the contract documents and specifications which deal with the following:

- a. Inspection and testing of materials.
- b. Insurance requirements.
- c. Wage rates.
- d. Stated allowances.

## 15. Laws and Regulations:

The bidder's attention is directed to the fact that all applicable State laws, municipal ordinances, and the rules and regulations of all authorities having jurisdiction over construction of the project shall apply to the contract throughout, and they will be deemed to be included in the contract the same as though herein written out in full.

## 16. Method of Award - Lowest Qualified Bidder:

After receiving bids and determining the amount of funds estimated by the OWNER as available to finance the contract, the OWNER will award the contract to the lowest responsible bidder. The lowest responsible bidder will be determined upon the basis of the lowest base bid or lowest base bid combined with alternates (additive or deductive). If the contract is to be awarded based on the lowest base bid with alternates, alternates will be accepted in the numerical order in which they are listed in the Form of Bid.

## 17. Obligation of Bidder:

At the time of the opening of bids each bidder will be presumed to have inspected the site and to have read and to be thoroughly familiar with the plans and contract documents (including all addenda). The failure or omission of any bidder to examine any form, instrument or document shall in no way relieve any bidder from any obligation in respect of his/her bid.

- 18. <u>Safety Standards and Accident Prevention:</u> With respect to all work performed under this contract, the Contractor shall:
  - a. Comply with the safety standards provisions of applicable laws, building and construction codes and the "Manual of Accident Prevention in Construction" published by the Associated General Contractors of America, the requirements of the Occupational Safety and Health Act of 1970 (Public Law 91-596), and the requirements of Title 29 of the Code of Federal Regulations, Section 1518 as published in the "Federal Register", Volume 36, No. 75, Saturday, April 17, 1971.
  - b. Exercise every precaution at all times for the prevention of accidents and the protection of persons (including employees) and property.
  - c. Maintain at his/her office or other well known place at the job site, all articles necessary for giving first aid to the injured, and shall make standing arrangements for the immediate removal to a hospital or a doctor's care of persons (including employees), who may be injured on the job site. In no case shall employees be permitted to work at a job site before the employer has made a standing arrangement for removal of injured persons to a hospital or a doctor's care.

# **BID ENVELOPE COVER**

NAME OF PROJECT: 2023 CITY OF FRIENDSVILLE

**DOWNTOWN SEWER IMPROVEMENTS-PHASE 1** 

**BLOUNT COUNTY** 

**HUD PROJECT NUMBER B-22-CP-TN-0851** 

## **SEALED BIDS WILL BE RECEIVED BY:**

City Of Friendsville 213 West College Avenue Friendsville, TN 37737

UNTIL:4:00 PM	November 21, 2024
TIME	DATE

## COMPLETE ALL BLANKS!

BIDDER:	
ADDRESS:	
	UMBER:
	DOLLAR LIMIT
LICENSE EXPIRATION DATE:	
SUBCONTRACTORS TO BE USED ON THE	
(If no subcontract work is required, write "nor	ne required")
PLUMBING:	LICENSE NO
Classification:	Expiration Date:
HVAC:	LICENSE NO
Classification:	Expiration Date:
ELECTRICAL:	LICENSE NO
Classification:	Expiration Date:
GASLINE:	LICENSE NO
Classification:	Expiration Date:

## **BID FOR UNIT PRICE CONTRACTS**

	Place
	Date
	Project No.
	(hereinafter called "Bidder") <sup>1</sup> a
	er the laws of the State of, ess as
To the <u>City Of Friendsville</u>	(hereinafter called "Owner")
Gentlemen:	
The Bidder, in compliance with your invit	tation for bids for the construction of a
proposed work, and being familiar with a of the proposed project including the avato furnish all labor, materials, and supplied with the contract documents, within the tabelow. These prices are to cover all expunder the contract documents, of which Bidder hereby agrees to commence work specified in written "Notice to Proceed" of within 180 consecutive calendar specifications. Bidder further agrees to proceed to the contract documents of which within 180 consecutive calendar specifications.	ck under this contract on or before a date to be of the Owner and to fully complete the project days thereafter as stipulated in the pay as liquidated damages the sum of alendar day thereafter as hereinafter provided in

<sup>&</sup>lt;sup>1</sup> Insert corporation, partnership or individual as applicable.

Bidde	r acknowled	ges receipt of the follo	owing addendum:	
			Se   Force wn on the plans, for the	•
ITEM NO.	EST. QTY.	DESCRIPTION  See Attached	UNIT PRICE (Each)  Bid Gobadula	<u>Total</u>
' .	<del> </del>	<u> </u>	Dollars & Cents	Dollars & Cents
2			(\$)	(\$)
-			Dollars & Cents	Dollars & Cents
3			(\$)	(\$)
			Dollars & Cents	Dollars & Cents
			(\$) TOTAL OF BID	(\$) \$_

(Amounts are to be shown in both words and figures. In case of discrepancy, the amount shown in words will govern.)

The above unit prices shall include all labor, materials, bailing, shoring, removal, overhead, profit, insurance, etc., to cover the finished work of the several kinds called for.

Bidder understands that the Owner reserves the right to reject any or all bids and to waive any informalities in the bidding.

The bidder agrees that this bid shall be good and may not be withdrawn for a period of 60 days after the scheduled closing time for receiving bids.

Upon receipt of written notice of the acceptance of this bid, bidder will execute the formal contract attached within 10 days and deliver a Surety Bond or Bonds as required by Article 5 of the General Conditions. The bid security attached in the sum of
(\$) is to become the property of the Owner in the event the contract and bond are not executed within the time above set forth, as liquidated damages for the delay and additional expense to the Owner caused thereby.
Respectfully submitted:
By:
(OFAL :

(SEAL - if bid is by a corporation)

# CITY OF FRIENDSVILLE, BLOUNT COUNTY, TENNESSEE 2023 CITY OF FRIENDSVILLE DOWNTOWN SEWER IMPROVEMENTS - PHASE 1 HUD PROJECT NUMBER B-22-CP-TN-0851 BASE BID SCHEDULE (ALL MATERIALS SHALL BE LEAD FREE)

				UNIT	EXTENDED
ITEM NO.	ITEM NO. DESCRIPTION	EST. QTY.	UNIT	PRICE	TOTAL
1	4" SDR 21 Class 200 PVC Force Main	1,760	L.F.		
2	3" SDR 21 Class 200 PVC Force Main	2,800	L.F.		
3	Directional Bore OF A 4" HDPE IPS SDR 11 Carrier Under Stream Or Culvert	30	L.F.		
4	Directional Bore OF A 4" HDPE IPS SDR 11 Carrier in A 10" HDPE IPS SDR 11 Casing with Casing Spacers and End Seals	08	L.F.		
5	Pavement Replacement	1,200	L.F.		
9	2 SDR 21 Class 200 PVC Force Main	2,400	L.F.		
7	Connection To Existing Manhole By Core And Boot	1	L.S.		
∞	Free Bore of Concrete Driveway or Sidewalk With 3" SDR 21 Class 200 PVC Force Main	100	L.F.		
6	Free Bore of Concrete Driveway or Sidewalk With 2" SDR 21 Class 200 PVC Force Main	120	L.F.		
10	1-1/2" SDR 9 HDPE CTS Service Line	1,000	L.F.		
11	4" Plug Valve/Check Valve Assembly In Traffic Type Box And Lid	1	EA.		

# CITY OF FRIENDSVILLE, BLOUNT COUNTY, TENNESSEE 2023 CITY OF FRIENDSVILLE DOWNTOWN SEWER IMPROVEMENTS - PHASE 1 HUD PROJECT NUMBER B-22-CP-TN-0851 BASE BID SCHEDULE (ALL MATERIALS SHALL BE LEAD FREE)

EA.	EA.	EA.	L.F.	EA.	EA.	L.F.	EA.	L.F.	EA.		
2	9	9	500	52	52	180	5	190			
3" Plug Valve/Check Valve Assembly In Traffic Type Box And Lid	2" Plug Valve/Check Valve Assembly In Traffic Type Box And Lid	2" Air Release Valve Assembly	Bore of Roadway With 3" or 4" SDR 9 HDPE Casing With A 1-1/2" SDR 9 HDPE CTS Service Line	1-1/2" Service Line Connection	Ball Valve/Check Valve Assembly-Service Line	Concrete Curb Replacement	2" End of Line Flushing Assembly	Directional Bore Of A 2" HDPE IPS SDR 11 Carrier Under Stream Or Culvert	3" End of Line Flushing Assembly		
12	13	14	15	16	17	18	19	20	21		

# CITY OF FRIENDSVILLE, BLOUNT COUNTY, TENNESSEE 2023 CITY OF FRIENDSVILLE DOWNTOWN SEWER IMPROVEMENTS - PHASE 1 HUD PROJECT NUMBER B-22-CP-TN-0851 BASE BID SCHEDULE (ALL MATERIALS SHALL BE LEAD FREE)

		TOTAL BASE BID	WORDS
L.F.	H.	AL BA	BID IN
160	80	TOT	TOTAL BASE BID IN WORDS
Directional Bore of A 3" HDPE IPS SDR 11 Carrier Under Culvert or Roadway	Directional Bore OF A 3" HDPE IPS SDR 11 Carrier In An 8" HDPE IPS SDR 11 Casing with Casing Spacers and End Seals As Appropiate		
22	23		

## **BID BOND**

KNOW ALL MEN BY THESE PRESENTS, that we, the undersigned,	
as Principal, and	
as Surety, are hereby held and firmly bound unto The City of Friendsville	
as owner in the penal sum of	for the
payment of which, well and truly to be made, we hereby jointly and severally bind ourselves, our heirs, executors, administrators, successors and assigns.	1
Signed, this, 20	
The condition of the above obligation is such that whereas the Principal has subr	
and hereby made a part hereof to enter into a contract in writing for the City of Friendsville Downtown Sewer Improvements - Phase 1	

## NOW, THEREFORE,

- (a) If said Bid shall be rejected, or in the alternate.
- (b) If said Bid shall be accepted and the Principal shall execute and deliver a contract in the Form of Contract attached hereto (properly completed in accordance with said Bid) and shall furnish a bond for his faithful performance of said contract, and for the payment of all persons performing labor or furnishing materials in connection therewith, and shall in all other respects perform the agreement created by the acceptance of said Bid, then this obligation shall be void, otherwise the same shall remain in force and effect, it being expressly understood and agreed that the liability of the Surety for any and all claims hereunder shall, in no event, exceed the penal amount of this obligation as herein stated.

The surety for value received, hereby stipulates and agrees that the obligations of said Surety and its bond shall be in no way impaired or affected by an extension of the time within which the Owner may accept such Bid; and said Surety does hereby waive notice of any such extension.

seals, and such of them as ar hereto affixed and these pres	Principal and the Surety have hereunto set their hand and e corporations have caused their corporate seals to be ents to be signed by their proper officers, the day and year
first set forth above.	
	Principal (L.S.)
	Surety
SEAL	By:

## **AGREEMENT**

THIS AGREEMENT, between					_, by and , herein called
"Owner", acting here	in through its				and
CTDIVE OLIT	(a corporation) (a				***************************************
STRIKE OUT INAPPLICABLE TERMS	(a corporation) (a (an individual doi				)
of	, Count	y of		_, and S	State of
WITNESSETH: That mentioned, to be mad the OWNER to comm hereinafter called "the	for and in conside de and performed nence and comple	eration of the pay by the OWNER ete the construct	yments and agreem , the CONTRACTOF ion described as foll	ents her R hereby lows:	einafter agrees with
			Dollars (\$		) and all
Conditions of the Conthe materials, supplications and prices conditions and Spectonditions and Spectonditions, and other drawand contract docume herein entitled "the A General Conditions, at the contract.	es, machinery, equal services necess stated in the Propial Conditions of the vings and printed ents therefore as prochitect/Engineer.	uipment, tools, sary to complete cosal, the General contract, the or written explar repared by, and as enumer	superintendence, lab the said project in a ral Conditions, Supp plans, which include natory matter thereo rated in Paragraph 1	oor, insunction, insured the coordant of the spoot of the	rance, and ace with the al General os, plats, blue ecifications  Supplemental
The Contractor hereb specified in a written <u>180</u> consecut liquidated damages, hereinafter provided i	"Notice to Proceed ive calendar days the sum of \$ <u>500</u>	d" of the Owner thereafter. The <u>2. 00</u> for each c	and to fully complet Contractor further a consecutive calenda	e the progrees to r day the	oject within pay, as
The OWNER agrees contract, subject to a Contract, and to mak Contractor", of the Su	dditions and deduce payments on acc	ctions, as provid count thereof as	led in the General C	ondition	s of the
IN WITNESS WHERI counterparts, each of mentioned.	EOF, the parties to which shall be de	o these presents eemed an origina	have executed this al, in the year and da	contrac ay first a	t in six (6) bove
(Seal) ATTEST:			(Owner)	(44	

	By:
(Secretary)	
(Witness)	(Title)
(Seal)	
	(Contractor)
(Secretary)	Ву:
(Witness)	(Title)
(Address, City	v, State, and Zip Code)

## **BONDING AND INSURANCE**

- 1. This Attachment sets forth bonding and insurance requirements for grants. No other bonding and insurance requirements shall be imposed other than those normally required by the grantee.
- 2. Except as otherwise required by law, a grant that requires the contracting (or subcontracting) for construction or facility improvements shall provide for the grantee to follow its own requirements relating to bid guarantees, performance bonds, and payment bonds unless the construction contract or subcontract exceeds \$150,000 (See 2 CFR 200.88). For those contracts or subcontracts exceeding \$150,000, the Federal agency may accept the bonding policy and requirements of the grantee provided the Federal agency has made a determination that the Government's interest is adequately protected. If such a determination has not been made, the minimum requirements shall be as follows:
  - a. A bid guarantee from each bidder equivalent to five percent of the bid price. The "bid guarantee" shall consist of a firm commitment such as a bid bond, certified check, or other negotiable instrument accompanying a bid as assurance that the bidder will, upon acceptance of his bid, execute such contractual documents as may be required within the time specified.
  - b. A performance bond on the part of the contractor for 100 percent of the contract price. A "performance bond" is one executed in connection with a contract to secure fulfillment of all the contractor's obligations under such contract.
  - c. A payment bond on the part of the contractor for 100 percent of the contract price. A "payment bond" is one executed in connection with a contract to assure payment as required by law of all persons supplying labor and material in the execution of the work provided for in the contract.
- 3. Where the Federal Government guarantees or insures the repayment of money borrowed by the grantee, the Federal agency, at its discretion, may require adequate bonding and insurance if the bonding and insurance requirements of the grantee are not deemed adequate to protect the interest of the Federal Government.
- 4. Where bonds are required in the situations described above, the bonds shall be obtained from companies holding certificates of authority as acceptable sureties (31 CFR 223).

NOTE: AIA Document A311 is acceptable for use as Performance and Payment Bonds.

## **PERFORMANCE BOND**

KNOW ALL MEN BY THESE PRESENTS, that

(Name of Contractor)	
(Hame of contractor)	
(Address of Contractor)	
a, hereinafter called Contract	tor
(Corporation, Partnership, Individual or Joint Venture), hereinafter called Contract	
and	
(Name of Surety)	
(Address of Surety)	
hereinafter called Surety, are held and firmly bound unto	
The City of Friendsville	
(Name of Owner)	
213 W. College Avenue, P.O. Box 56, Friendsville, TN 37737	
(Address of Owner)	
hereinafter called OWNER, in the penal sum of	
Dollars, \$(	) ir
lawful money of the United States, for the payment of which sum well and truly to be made, we bind ourselves, successors, and assigns, jointly and severally, firmed by these presents, this sum being in the amount of one hundred percent (100%) of the contract amount.	,
THE CONDITION OF THIS OBLIGATION is such that whereas, the contractor has entered into a certain contract with the OWNER, dated the day of20, a copy of which is hereto attached and made a part hereof for the construction is:	, on

NOW, THEREFORE, if the Contractor shall well, truly and faithfully perform its duties, all the undertakings, covenants, terms conditions, and agreements of said contract during the original term thereof, and any extensions thereof which may be granted by the OWNER, with or without notice to the Surety and during the one year guaranty period, and if he shall satisfy all claims and demands incurred under such contract, and shall full indemnify and save harmless the OWNER from all costs and damages which it may suffer by reason of failure to do so, and shall reimburse and repay the OWNER all outlay and expense which the OWNER may incur in making good any default, then this obligation shall be void; otherwise to remain in full force and effect.

PROVIDED, FURTHER, that the said Surety for value received hereby stipulates and agrees that no change, extension of time, alternation or addition to the terms of the contract or to the WORK to be performed thereunder or the SPECIFICATIONS accompanying the same shall in any wise affect its obligation on this BOND, and it does hereby waive notice of any such change, extension of time, alteration or addition to the terms of the contract or to the WORK or to the SPECIFICATIONS.

PROVIDED, FURTHER, that no final settlement between the OWNER and the CONTRACTOR shall abridge the right of any beneficiary hereunder, whose claim may be unsatisfied.

IN WITNESS WHEREOF, this instrument is	s executed in	counterparts (number)
each one of which shall be deemed an orig	inal, this the	day of
ATTEST:		
(Contractor) Corporate Official		Contractor
(SEAL)	Ву:	
	Title:	
	Address:	
	<u></u>	
Witness to Contractor	<del></del>	
Address		

ATTEST	·:	
<u> </u>	Witness to Surety	Surety
		By:
	Address	Attorney-in-Fact
		Address
NOTE:	Date of BOND must not be price Partnership, all partners should	r to date of Contract. If CONTRACTOR is execute BOND.
	BOND is not valid unless accor	npanied by Power of Attorney.

**IMPORTANT:** Surety companies executing Bonds must appear on the Treasury Department's most current list (Circular 570 as amended) and be authorized to transact business in the State where the Project is located.

## **PAYMENT BOND**

KNOW ALL MEN BY THESE PRESENTS, that

(Name of Contractor)
(Address of Contractor)
a, hereinafter call Contractor, (Corporation, Partnership, Individual or Joint Venture)
(Corporation, Partnership, Individual or Joint Venture)
and
(Name of Surety)
(Address of Surety)
hereinafter called Surety, are held and firmly bound unto
The City of Friendsville
(Name of Owner)
213 W. College Avenue, P.O. Box 56 Friendsville, TN 37737
(Address of Owner)
hereinafter called OWNER, in the penal sum of
Dollars, \$() ir lawful money of the United States, for the payment of which sum well and truly to be made, we bind ourselves, successors, and assigns, jointly and severally, firmly by these presents, this sum being in the amount of one hundred percent (100%) of the contract amount.
THE CONDITION OF THIS OBLIGATION is such that whereas, the contractor has entered into a certain contract with the OWNER, dated the day of 20, a copy of which is hereto attached and made a part hereto fore the construction of:

NOW, THEREFORE, if the Contractor shall promptly make payment to all persons, firms, SUBCONTRACTORS, and corporations furnishing materials for or performing labor in the prosecution of the WORK provided for in such contract, and any authorized extension or modification thereof, including all amounts due to materials, lubricants, oil, gasoline, coal and coke, repairs on machinery, equipment and tools, consumed or used in connection with the construction of such WORK, and all insurance premiums on said WORK, and for all labor, performed in such WORK whether by SUBCONTRACTOR or otherwise, then this obligation shall be void; otherwise to remain in full force and effect.

PROVIDED, FURTHER, that the said Surety for value received hereby stipulates and agrees that no change, extension of time, alteration or addition to the terms of the contract or to the WORK to be performed thereunder or the SPECIFICATIONS accompanying the same shall in any wise affect its obligation on this BOND, and it does hereby waive notice of any such change, extension of time, alteration or addition to the terms of the contract or to the WORK or to the SPECIFICATIONS.

PROVIDED, FURTHER, that no final settlement between the OWNER and the CONTRACTOR shall abridge the right of any beneficiary hereunder, whose claim may be unsatisfied.

IN WITNESS WHEREOF, this instrument is	executed in _	(number)	_ counterparts
each one of which shall be deemed an origin	nal,		
this the day of	, 20	·	
ATTEST:			
(Contractor) Corporate Official		Contractor	
(SEAL)	Ву:		
	Title:		
	Address:		
	######################################		
Witness to Contractor			
Address			

ATTEST	:	
	Witness to Surety	Surety
		By:
	Address	Attorney-in-Fact
		Address
NOTE:	Date of BOND must not be Partnership, all partners s	e prior to date of Contract. If CONTRACTOR is hould execute BOND.

**IMPORTANT:** Surety companies executing Bonds must appear on the Treasury Department's most current list (Circular 570 as amended) and be authorized to transact business in the State where the Project is located.

BOND is not valid unless accompanied by Power of Attorney.

# **CERTIFICATE OF OWNER'S ATTORNEY**

I, the undersigned,	, the duly authorized
and acting legal representative of	
do hereby certify as follows:	
I have examined the attached contract(s) and surety bonds are execution thereof, and I am of the opinion that each of the afor been duly executed by the proper parties thereto acting throug representatives; that said representatives have full power and agreements on behalf of the respective parties named thereon agreements constitute valid and legally binding obligations upon the same in accordance with terms, conditions and provisions the same in accordance with terms.	esaid agreements has h their duly authorized authority to execute said; and that the foregoing on the parties executing
Date:	

## **GENERAL CONDITIONS**

## CONTRACT AND CONTRACT DOCUMENTS

The project to be constructed and pursuant to this contract will be financed with assistance from the U.S. Housing and Urban Development (HUD) Economic Development Initiative (EDI) and is subject to all applicable Federal laws and regulations.

The Plans, Specifications and Addenda, and the provisions thereof shall be as binding upon the parties hereto as if they were herein fully set forth. The table of contents, titles, headings, running headlines and marginal notes contained herein and in said documents are solely to facilitate reference to various provisions of the Contract Documents and in no way affect, limit or cast light on the interpretation of the provisions to which they refer.

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## **GENERAL CONDITIONS**

## ARTICLE 1--DEFINITIONS

Wherever used in these General Conditions or in the other Contract Documents the following terms have the meanings indicated which are applicable to both the singular and plural thereof:

Addenda – Written or graphic instruments issued prior to the opening of Bids which clarify, correct or change the bidding documents or the Contract Documents.

Agreement – The written agreement between OWNER and CONTRACTOR covering the Work to be performed; other Contract Documents are attached to the Agreement and made a part thereof as provided therein.

Application for Payment – The form accepted by ENGINEER which is to be used by CONTRACTOR in requesting progress or final payments and which is to include such supporting documentation as is required by the Contract Documents.

 ${\it Bid}$  - The offer or proposal of the bidder submitted on the prescribed form setting forth the prices for the Work to be performed.

Bonds - Bid, performance and payment bonds and other instruments of security.

Change Order – A document recommended by ENGINEER, which is signed by CONTRACTOR and OWNER and authorizes an addition, deletion or revision in the Work, or an adjustment in the Contract Price or the Contract Time, issued on or after the Effective Date of the Agreement.

Contract Documents – The Agreement, Addenda (which pertain to the Contract Documents), CONTRACTOR's Bid (including documentation accompanying the Bid and any post-Bid documentation submitted prior to the Notice of Award) when attached as an exhibit to the Agreement, the Bonds, these General Conditions, the Supplementary Conditions, the Specifications and the Drawings as the same are more specifically identified in the Agreement, together with all amendments, modifications and supplements issued pursuant to paragraphs 3.4 and 3.5 on or after the Effective Date of the Agreement.

Contract Price – The moneys payable by OWNER to CONTRACTOR under the Contract Documents as stated in the Agreement (subject to the provisions of paragraph 11.9.1 in the case of Unit Price Work).

Contract Time – The number of days (computed as provided in paragraph 17.2) or the date stated in the Agreement for the completion of the Work.

CONTRACTOR – The person, firm or corporation with whom OWNER has entered into the Agreement.

Defective – An adjective which when modifying the word Work refers to Work that is unsatisfactory, faulty or deficient, or does not conform to the Contract Documents, or does not meet the requirements of any inspection, reference standard, test or approval referred to in the Contract Documents, or has been damaged prior to ENGINEER's recommendation of final payment (unless responsibility for the protection thereof has been assumed

by OWNER at Substantial Completion in accordance with paragraph 14.8 or 14.10).

Drawings – The drawings which show the character and scope of the Work to be performed and which have been prepared or approved by ENGINEER and are referred to in the Contract Documents.

Effective Date of the Agreement – The date indicated in the Agreement on which it becomes effective, but if no such date is indicated it means the date on which the Agreement is signed and delivered by the last of the two parties to sign and deliver.

*ENGINEER* – The person, firm or corporation named as such in the Agreement.

Field Order – A written order issued by ENGINEER which orders minor changes in the Work in accordance with paragraph 9.5 but which does not involve a change in the Contract Price or the Contract Time.

General Requirements – Sections of Division 1 of the Specifications.

Laws and Regulations; Laws or Regulations - Laws, rules, regulations, ordinances, codes and/or orders.

Notice of Award – The written notice by OWNER to the apparent successful bidder stating that upon compliance by the apparent successful bidder with the conditions precedent enumerated therein, within the time specified, OWNER will sign and deliver the Agreement.

Notice to Proceed – A written notice given by OWNER to CONTRACTOR (with a copy to ENGINEER) fixing the date on which the Contract Time will commence to run and on which CONTRACTOR shall start to perform CONTRACTOR's obligations under the Contract Documents.

OWNER – The public body or authority, corporation, association, firm or person with whom CONTRACTOR has entered into the Agreement and for whom the Work is to be provided.

Partial Utilization – Placing a portion of the Work in service for the purpose for which it is intended (or a related purpose) before reaching Substantial Completion for all the Work.

Project – The total construction of which the Work to be provided under the Contract Documents may be the whole, or a part as indicated elsewhere in the Contract Documents.

Resident Project Representative – The authorized representative of ENGINEER who is assigned to the site or any part thereof.

Shop Drawings – All drawings, diagrams, illustrations, schedules and other data which are specifically prepared by or for CONTRACTOR to illustrate some portion of the Work and all illustrations, brochures, standard schedules, performance charts, instructions, diagrams and other information prepared by a Supplier and submitted by CONTRACTOR to illustrate material or equipment for some portion of the Work.

Specifications – Those portions of the Contract Documents consisting of written technical descriptions of materials, equipment, construction systems, standards and workmanship as applied to the Work and certain administrative details applicable thereto.

Subcontractor – An individual, firm or corporation having a direct contract with CONTRACTOR or with any other Subcontractor for the performance of a part of the Work at the site.

Substantial Completion – The Work (or a specified part thereof) has progressed to the point where, in the opinion of ENGINEER as evidenced by ENGINEER's definitive certificate of Substantial Completion, it is sufficiently complete, in accordance with the Contract Documents so that the Work (or specified part) can be utilized for the purpose for which it is intended; or if there be no such certificate issued, when final payment is due in accordance with paragraph 14.13. The terms "substantially complete" and "substantially completed" as applied to any Work refer to Substantial Completion thereof.

Supplementary Conditions – The part of the Contract Documents which amends or supplements these General Conditions.

Supplier – A manufacturer, fabricator, supplier, distributor, materialman or vendor.

Underground Facilities – All pipelines, conduits, ducts, cables, wires, manholes, vaults, tanks, tunnels or other such facilities or attachments, and any encasements containing such facilities which have been installed underground to furnish any of the following services or materials: electricity, gases, steam, liquid petroleum products, telephone or other communications, cable television, sewage and drainage removal, traffic or other control systems or water.

Unit Price Work - Work to be paid for on the basis of unit prices.

Work – The entire completed construction or the various separately identifiable parts thereof required to be furnished under the Contract Documents. Work is the result of performing services, furnishing labor and furnishing and incorporating materials and equipment into the construction, all as required by the Contract Documents.

Work Directive Change — A written directive to CONTRACTOR, issued on or after the Effective Date of the Agreement and signed by OWNER and recommended by ENGINEER, ordering an addition, deletion or revision in the Work, or responding to differing or unforeseen physical conditions under which the Work is to be performed as provided in paragraph 4.2 or 4.3 or to emergencies under paragraph 6.22. A Work Directive Change may not change the Contract Price or the Contract Time, but is evidence that the parties expect that the change directed or documented by a Work Directive Change will be incorporated in a subsequently issued Change Order following negotiations by the parties as to its effect, if any, on the Contract Price or Contract Time as provided in paragraph 10.2.

Written Amendment – A written amendment of the Contract Documents, signed by OWNER and CONTRACTOR on or after the Effective Date of the Agreement and normally dealing with the nonengineering or nontechnical rather than strictly Work-related aspects of the Contract Documents.

## ARTICLE 2 - PRELIMINARY MATTERS

## Delivery of Bonds:

2.1. When CONTRACTOR delivers the executed Agreements to OWNER, CONTRACTOR shall also deliver to OWNER

such Bonds as CONTRACTOR may be required to furnish in accordance with paragraph 5.1.

### Copies of Documents:

2.2. OWNER shall furnish to CONTRACTOR up to ten copies (unless otherwise specified in the Supplementary Conditions) of the Contract Documents as are reasonably necessary for the execution of the Work. Additional copies will be furnished, upon request, at the cost of reproduction.

### Commencement of Contract Time; Notice to Proceed:

2.3. The Contract Time will commence to run on the thirtieth day after the Effective Date of the Agreement, of, if a Notice to Proceed is given, on the day indicated in the Notice to Proceed. A Notice to Proceed may be given at any time within thirty days after the Effective Date of the Agreement. In no event will the Contract Time commence to run later than the seventy-fifth day after the day of Bid opening or the thirtieth day after the Effective Date of the Agreement, whichever date is earlier.

## Starting the Project:

2.4 CONTRACTOR shall start to perform the Work on the date when the Contract Time commences to run, but no Work shall be done at the site prior to the date on which the Contract Time commences to run.

### Before Starting Construction:

- 2.5. Before undertaking each part of the Work, CONTRACTOR shall carefully study and compare the Contract Documents and check and verify pertinent figures shown thereon and all applicable field measurements. CONTRACTOR shall promptly report in writing to ENGINEER any conflict, error or discrepancy which CONTRACTOR may discover and shall obtain a written interpretation or clarification from ENGINEER before proceeding with any Work affected thereby; however, CONTRACTOR shall not be liable to OWNER or ENGINEER for failure to report any conflict, error or discrepancy in the Contract Documents, unless CONTRACTOR had actual knowledge thereof or should reasonably have known thereof.
- 2.6. Within ten days after the Effective Date of the Agreement (unless otherwise specified in the General Requirements), CONTRACTOR shall submit to ENGINEER for review:
  - 2.6.1. an estimated progress schedule indicating the starting and completion dates of the various stages of the Work;
  - 2.6.2. a preliminary schedule of Shop Drawing submissions; and
  - 2.6.3. a preliminary schedule of values for all of the Work which will include quantities and prices of items aggregating the Contract Price and will subdivide the Work into component parts in sufficient detail to serve as the basis for progress payments during construction. Such prices will include an appropriate amount of overhead and profit applicable to each item of Work which will be confirmed in writing by CONTRACTOR at the time of submission.
- 2.7 Before any Work at the site is started, CONTRACTOR shall deliver to OWNER, with a copy to ENGINEER, certificates (and other evidence of insurance requested by OWNER) which

CONTRACTOR is required to purchase and maintain in accordance with paragraphs 5.3 and 5.4, and OWNER shall deliver to CONTRACTOR certificates (and other evidence of insurance requested by CONTRACTOR) which OWNER is required to purchase and maintain in accordance with paragraphs 5.6 and 5.7.

## Preconstruction Conference:

2.8. Within twenty days after the Effective Date of the Agreement, but before CONTRACTOR starts the Work at the site, a conference attended by CONTRACTOR, ENGINEER and others as appropriate will be held to discuss the schedules referred to in paragraph 2.6, to discuss procedures for handling Shop Drawings and other submittals and for processing Applications for Payment, and to establish a working understanding among the parties as to the Work.

## Finalizing Schedules:

2.9. At least ten days before submission of the first Application for Payment a conference attended by CONTRACTOR, ENGINEER and others as appropriate will be held to finalize the schedules submitted in accordance with paragraph 2.6. The finalized progress schedule will be acceptable to ENGINEER as providing an orderly progression of the Work to completion within the Contract Time, but such acceptance will neither impose on ENGINEER responsibility for the progress or scheduling of the Work nor relieve CONTRACTOR from full responsibility thereof. The finalized schedule of Shop Drawing submissions will be acceptable to ENGINEER as providing a workable arrangement for processing the submissions. The finalized schedule of values will be acceptable to ENGINEER as to form and substance.

ARTICLE 3—CONTRACT DOCUMENTS: INTENT, AMENDING, REUSE

## Intent:

- 3.1. The Contract Documents comprise the entire agreement between OWNER and CONTRACTOR concerning the Work. The Contract Documents are complementary; what is called for by one is as binding as if called for by all. The Contract Documents will be construed in accordance with the law of the place of the Project.
- It is the intent of the Contract Documents to describe a functionally complete Project (or part thereof) to be constructed in accordance with the Contract Documents. Any Work, materials or equipment that may reasonably be inferred from the Contract Documents as being required to produce the intended result will be supplied whether or not specifically called for. When words which have a well-known technical or trade meaning are used to describe Work, materials or equipment such word shall be interpreted in accordance with that meaning. Reference to standard specifications, manuals or codes of any technical society, organization or association, or to the Laws or Regulations of any governmental authority, whether such reference be specific or by implication, shall mean the latest standard specification, manual code or Laws or Regulations in effect at the time of opening of Bids (or, on the Effective Date of the Agreement if there were no Bids), except as may be otherwise specifically stated. However, no provision of any referenced standard specification. manual or code (whether or not specifically incorporated by reference in the Contract Documents) shall be effective to change the duties and responsibilities of OWNER, CONTRACTOR or

ENGINEER, or any of their consultants, agents or employees from those set forth in the Contract Documents, nor shall it be effective to assign to ENGINEER, or any of ENGINEER's consultants, agents or employees, any duty or authority to supervise or direct the furnishing or performance of the Work or any duty or authority to undertake responsibility contrary to the provision of paragraph 9.15 or 9.16. Clarifications and interpretations of the Contract Documents shall be issued by ENGINEER as provided in paragraph 9.4.

3.3. If, during the performance of the Work, CONTRACTOR finds a conflict, error or discrepancy in the Contract Documents, CONTRACTOR shall so report to ENGINEER in writing at once and before proceeding with the Work affected thereby shall obtain a written interpretation or clarification from ENGINEER; however, CONTRACTOR shall not be liable to OWNER or ENGINEER for failure to report any conflict, error or discrepancy in the Contract Documents unless CONTRACTOR had actual knowledge thereof or should reasonably have known thereof.

## Amending and Supplementing Contract Documents:

- 3.4. The Contract Documents may be amended to provide for additions, deletions and revisions in the Work or to modify the terms and conditions thereof in one or more of the following ways:
  - 3.4.1. a formal Written Amendment,
  - 3.4.2. a Change Order (pursuant to paragraph 10.4), or
  - 3.4.3. a Work Directive Change (pursuant to paragraph 10.1).

As indicated in paragraphs 11.2 and 12.1, Contract Price and Contract Time may only be changed by a Change Order or a Written Amendment.

- 3.5. In addition, the requirements of the Contract Documents may be supplemented, and minor variations and deviations in the Work may be authorized, in one or more of the following ways:
  - 3.5.1. a Field Order (pursuant to paragraph 9.5),
  - 3.5.2. ENGINEER's approval of a Shop Drawing or sample (pursuant to paragraphs 6.26 and 6.27), or
  - 3.5.3. ENGINEER's written interpretation or clarification (pursuant to paragraph 9.4).

## Reuse of Documents:

3.6. Neither CONTRACTOR nor any Subcontractor or Supplier or other person or organization performing or furnishing any of the Work under a direct or indirect contract with OWNER shall have or acquire any title to or ownership rights in any of the Drawings, Specifications or other documents (or copies of any thereof) prepared by or bearing the seal of ENGINEER; and they shall not reuse any of them on extensions of the Project or any other project without written consent of OWNER and ENGINEER and specific written verification or adaptation by ENGINEER.

ARTICLE 4—AVAILABILITY OF LANDS; PHYSICAL CONDITIONS; REFERENCE POINTS

## Availability of Lands:

4.1. OWNER shall furnish, as indicated in the Contract Documents, the lands upon which the Work is to be performed, rights-of-way and easements for access thereto, and such other lands which are designated for the use of CONTRACTOR. Easements for permanent structures or permanent changes in existing facilities will be obtained and paid for by OWNER, unless otherwise provided in the Contract Documents. If CONTRACTOR believes that any delay in OWNER's furnishing these lands, rights-of-way or easements entitles CONTRACTOR to an extension of the Contract Time, CONTRACTOR may make a claim therefor as provided in Article 12. CONTRACTOR shall provide for all additional lands and access thereto that may be required for temporary construction facilities or storage of materials and equipment.

## **Physical Conditions:**

- 4.2.1. Explorations and Reports: Reference is made to the Supplementary Conditions for identification of those reports of explorations and tests of subsurface conditions at the site that have been utilized by ENGINEER in preparation of the Contract Documents. CONTRACTOR may rely upon the accuracy of the technical data contained in such reports, but not upon nontechnical data, interpretations or opinions contained therein or for the completeness thereof for CONTRACTOR's purposes. Except as indicated in the immediately preceding sentence and in paragraph 4.2.6, CONTRACTOR shall have full responsibility with respect to subsurface conditions at the site.
- 4.2.2. Existing Structures: Reference is made to the Supplementary Conditions for identification of those drawings of physical conditions in or relating to existing surface and subsurface structures (except Underground Facilities referred to in paragraph 4.3) which are at or contiguous to the site that have been utilized by ENGINEER in preparation of the Contract Documents. CONTRACTOR may rely upon the accuracy of the technical data contained in such drawings, but not for the completeness thereof for CONTRACTOR's purposes. Except as indicated in the immediately preceding sentence and in paragraph 4.2.6, CONTRACTOR shall have full responsibility with respect to physical conditions in or relating to such structures.
- 4.2.3. Report of Differing Conditions: If CONTRACTOR believes that:
  - 4.2.3.1. any technical data on which CONTRACTOR is entitled to rely as provided in paragraphs 4.2.1 and 4.2.2 is inaccurate, or
  - 4.2.3.2. any physical condition uncovered or revealed at the site differs materially from that indicated, reflected or referred to in the Contract Documents.

CONTRACTOR shall, promptly after becoming aware thereof and before performing any Work in connection therewith (except in an emergency as permitted by paragraph 6.22), notify OWNER and ENGINEER in writing about the inaccuracy or difference.

- 4.2.4. ENGINEER's Review: ENGINEER will promptly review the pertinent conditions, determine the necessity of obtaining additional explorations or tests with respect thereto and advise OWNER in writing (with a copy to CONTRACTOR) of ENGINEER's findings and conclusions.
- 4.2.5. Possible Document Change: If ENGINEER concludes that there is a material error in the Contract Documents or that

because of newly discovered conditions a change in the Contract Documents is required, a Work Directive Change or a Change Order will be issued as provided in Article 10 to reflect and document the consequences of the inaccuracy or difference.

4.2.6. Possible Price and Time Adjustments: In each such case, an increase or decrease in the Contract Price or an extension or shortening of the Contract Time, or any combination thereof, will be allowable to the extent that they are attributable to any such inaccuracy or difference. If OWNER and CONTRACTOR are unable to agree as to the amount or length thereof, a claim may be made therefor as provided in Articles 11 and 12

## Physical Conditions - Underground Facilities:

- 4.3.1. Shown of Indicated: The information and data shown or indicated in the Contract Documents with respect to existing Underground Facilities at or contiguous to the site is based on information and data furnished to OWNER or ENGINEER by the owners of such Underground Facilities or by others. Unless it is otherwise expressly provided in the Supplementary Conditions:
  - 4.3.1.1. OWNER and ENGINEER shall not be responsible for the accuracy or completeness of any such information or data; and,
  - 4.3.1.2. CONTRACTOR shall have full responsibility for reviewing and checking all such information and data, for locating all Underground Facilities shown or indicated in the Contract Documents, for coordination of the Work with the owners of such Underground Facilities during construction, for the safety and protection thereof as provided in paragraph 6.20 and repairing any damage thereto resulting from the Work, the cost of all of which will be considered as having been included in the Contract Price.
- 4.3.2. Not Shown or Indicated. If an Underground Facility is uncovered or revealed at or contiguous to the site which was not shown or indicated in the Contract Documents and which CONTRACTOR could not reasonably have been expected to be aware of, CONTRACTOR shall, promptly after becoming aware thereof and before performing any Work affected thereby (except in an emergency as permitted by paragraph 6.22), identify the owner of such Underground Facility and give written notice thereof to that owner and to OWNER and ENGINEER. ENGINEER will promptly review the Underground Facility to determine the extent to which the Contract Documents should be modified to reflect and document the consequences of the existence of the Underground Facility, and the Contract Documents will be amended or supplemented to the extent necessary. During such time, CONTRACTOR shall be responsible for the safety and protection of such Underground Facility as provided in paragraph 6.20. CONTRACTOR shall be allowed an increase in the Contract Price or an extension of the Contract Time, or both, to the extent that they are attributable to the existence of any Underground Facility that was not shown or indicated in the Contract Documents and which CONTRACTOR could not reasonably have been expected to be aware of. If the parties are unable to agree as to the amount or length thereof, CONTRACTOR may make a claim therefor as provided in Articles 11 and 12.

## Reference Points:

4.4. OWNER shall provide engineering surveys to establish reference points for construction which in ENGINEER's judgment are necessary to enable CONTRACTOR to proceed with the Work. CONTRACTOR shall be responsible for laying out the Work (unless otherwise specified in the General Requirements),

shall protect and preserve the established reference points and shall make no changes or relocations without the prior written approval of OWNER. CONTRACTOR shall report to ENGINEER whenever any reference point is lost or destroyed or requires relocation because of necessary changes in grades or locations, and shall be responsible for the accurate replacement or relocation of such reference points by professionally qualified personnel.

#### ARTICLE 5-BONDS AND INSURANCE

#### Performance and Other Bonds:

- CONTRACTOR shall furnish performance and payment Bonds, each in an amount at least equal to the Contract Price as security for the faithful performance and payment of all CONTRACTOR's obligations under the Contract Documents. These Bonds shall remain in effect at least until one year after the date when final payment becomes due, except as otherwise provided by Law or Regulation or by the Contract Documents. CONTRACTOR shall also furnish such other Bonds as are required by the Supplementary Conditions. All Bonds shall be in the forms prescribed by Law or Regulation or by the Contract Documents and be executed by such sureties as are named in the current list of "Companies Holding Certificates of Authority as Acceptable Sureties on Federal Bonds and as Acceptable Reinsuring Companies" as published in Circular 570 (amended) by the Audit Staff Bureau of Accounts, U.S. Treasury Department. All Bonds signed by an agent must be accompanied by a certified copy of the authority to act.
- 5.2. If the surety on any Bond furnished by CONTRACTOR is declared a bankrupt or becomes insolvent or its right to do business is terminated in any state where any part of the Project is located or it ceases to meet the requirements of paragraph 5.1, CONTRACTOR shall within five days thereafter substitute another Bond and Surety, both of which must be acceptable to OWNER.

#### Contractor's Liability Insurance:

- 5.3. CONTRACTOR shall purchase and maintain such comprehensive general liability and other insurance as is appropriate for the Work being performed and furnished and as will provide protection from claims set forth below which may arise out of or result from CONTRACTOR's performance and furnishing of the Work and CONTRACTOR's other obligations under the Contract Documents, whether it is to be performed or furnished by CONTRACTOR, by any Subcontractor, by anyone directly or indirectly employed by any of them to perform or furnish any of the Work, or by anyone for those acts any of them may be liable:
  - 5.3.1. Claims under workers' or workmen's compensation, disability benefits and other similar employee benefit acts;
  - 5.3.2. Claims for damages because of bodily injury, occupational sickness or disease, or death of CONTRACTOR's employees;
  - 5.3.3. Claims for damages because of bodily injury, sickness or disease, or death of any person other than CONTRACTOR's employees;
  - 5.3.4. Claims for damages insured by personal injury liability coverage which are substained (a) by any person as a result of an offense directly or indirectly related to

the employment of such person by CONTRACTOR, or (b) by any other person for any other reason;

- 5.3.5. Claims for damages, other than to the Work itself, because of injury to or destruction of tangible property wherever located, including loss of use resulting therefrom;
- 5.3.6. Claims arising out of operation of Laws or Regulations for damages because of bodily injury or death of any person or for damage to property; and
- 5.3.7. Claims for damages because of bodily injury or death of any person or property damage arising out of the ownership, maintenance or use of any motor vehicle.

The insurance required by this paragraph 5.3 shall include the specific coverages and be written for not less than the limits of liability and coverages provided in the Supplementary Conditions, or required by law, whichever is greater. The comprehensive general liability insurance shall include completed operations insurance. All of the policies of insurance so required to be purchased and maintained (or the certificates or other evidence thereof) shall contain a provision or endorsement that the coverage afforded will not be cancelled, materially changed or renewal refused until at least thirty days' prior written notice has been given to OWNER and ENGINEER by certified mail. All such insurance shall remain in effect until final payment and at all times thereafter when CONTRACTOR may be correcting, removing or replacing defective Work in accordance with paragraph 13.12. In addition, CONTRACTOR shall maintain such completed operations insurance for at least two years after final payment and furnish OWNER with evidence of continuation of such insurance at final payment and one year thereafter.

#### Constructual Liability Insurance:

5.4. The comprehensive general liability insurance required by paragraph 5.3 will include contractual liability insurance applicable to CONTRACTOR's obligations under paragraphs 6.30 and 6.31.

#### Owner's Liability Insurance:

5.5. OWNER shall be responsible for purchasing and maintaining OWNER's own liability insurance and, at OWNER's option, may purchase and maintain such insurance as will protect OWNER against claims which may arise from operations under the Contract Documents.

#### Property Insurance:

Unless otherwise provided in the Supplementary Conditions, OWNER shall purchase and maintain property insurance upon the Work at the site to the full insurable value thereof (subject to such deductible amounts as may be provided in the Supplementary Conditions or required by Laws and Regulations). This insurance shall include the interests of OWNER, CONTRACTOR, Subcontractors, ENGINEER and ENGINEER's consultants in the Work, all of whom shall be listed as insureds or additional insured parties, shall insure against the perils of fire and extended coverage and shall include "all risk" insurance for physical loss and damage including theft, vandalism and malicious mischief, collapse and water damage, and such other perils as may be provided in the Supplementary Conditions, and shall include damages, losses and expenses arising out of or resulting from any insured loss or incurred in the repair or replacement of any insured property (including but not limited to fees and charges of engineers, architects, attorneys and other

professionals). If not covered under the "all risk" insurance or otherwise provided in the Supplementary Conditions, CONTRACTOR shall purchase and maintain similar property insurance on portions of the Work stored on and off the site or in transit when such portions of the Work are to be included in an Application for Payment.

- 5.7. OWNER shall purchase and maintain such boiler and machinery insurance or additional property insurance as may be required by the Supplementary Conditions or Laws and Regulations which will include the interests of OWNER, CONTRACTOR, Subcontractors, ENGINEER and ENGINEER's consultants in the Work, all of whom shall be listed as insured or additional insured parties.
- 5.8. All the policies of insurance (or the certificates or other evidence thereof) required to be purchased and maintained by OWNER in accordance with paragraphs 5.6 and 5.7 will contain a provision or endorsement that the coverage afforded will not be cancelled or materially changed or renewal refused until at least thirty days' prior written notice has been given to CONTRACTOR by certified mail and will contain waiver provisions in accordance with paragraph 5.11.2.
- 5.9. OWNER shall not be responsible for purchasing and maintaining any property insurance to protect the interests of CONTRACTOR, Subcontractors or others in the Work to the extent of any deductible amounts that are provided in the Supplementary Conditions. The risk of loss within the deductible amount, will be borne by CONTRACTOR, Subcontractor or others suffering any such loss and if any of them wishes property insurance coverage within the limits of such amounts, each may purchase and maintain it at the purchaser's own expense.
- 5.10. If CONTRACTOR requests in writing that other special insurance be included in the property insurance policy, OWNER shall, if possible, include such insurance, and the cost thereof will be charged to CONTRACTOR by appropriate Change Order or Written Amendment. Prior to commencement of the Work at the site, OWNER shall in writing advise CONTRACTOR whether or not such other insurance has been procured by OWNER.

#### Waiver of Rights:

- OWNER and CONTRACTOR waive all rights against each other for all losses and damages caused by any of the perils covered by the policies of insurance provided in response to paragraphs 5.6 and 5.7 and any other property insurance applicable to the Work, and also waive all such rights against the Subcontractors, ENGINEER, ENGINEER's consultants and all other parties named as insureds in such policies for losses and damages so caused. As required in paragraph 6.11, each subcontract between CONTRACTOR and a Subcontractor will contain similar waiver provisions by the Subcontractor in favor of OWNER, CONTRACTOR, ENGINEER, ENGINEER's consultants and all other parties named as insureds. None of the above waivers shall extend to the rights that any of the insured parties may have to the proceeds of insurance held by OWNER as trustee or otherwise payable under any policy so issued.
- 5.11.2. OWNER and CONTRACTOR intend that any policies provided in response to paragraphs 5.6 and 5.7 shall protect all of the parties insured and provide primary coverage for all losses and damages caused by the perils covered thereby. Accordingly, all such policies shall contain provisions to the effect that in the event of payment of any loss or damage the insurer will have no rights of recovery against any of the parties named as insureds or additional insureds, and

if the insurers require separate waiver forms to be signed by ENGINEER or ENGINEER's consultant OWNER will obtain the same, and if such waiver forms are required of any Subcontractor, CONTRACTOR will obtain the same.

#### Receipt and Application of Proceeds:

- 5.12. Any insured loss under the policies of insurance required by paragraphs 5.6 and 5.7 will be adjusted with OWNER and made payable to OWNER as trustee for the insureds, as their interests may appear, subject to the requirements of any applicable mortgage clause and of paragraph 5.13. OWNER shall deposit in a separate account any money so received, and shall distribute it in accordance with such agreement as the parties in interest may reach. If no other special agreement is reached the damaged Work shall be repaired or replaced, the moneys so received applied on account thereof and the Work and the cost thereof covered by an appropriate Change Order or Written Amendment.
- 5.13. OWNER as trustee shall have power to adjust and settle any loss with the insurers unless one of the parties in interest shall object in writing within fifteen days after the occurrence of loss to OWNER's exercise of this power. If such objection is made, OWNER as trustee shall make settlement with the insurers in accordance with such agreement as the parties in interest may reach. If required in writing by any party in interest, OWNER as trustee shall, upon the occurrence of an insured loss, give bond for the proper performance of such duties.

#### Acceptance of Insurance:

5.14. If OWNER has any objection to the coverage afforded by or other provisions of the insurance required to be purchased and maintained by CONTRACTOR in accordance with paragraphs 5.3 and 5.4 on the basis of its not complying with the Contract Documents, OWNER shall notify CONTRACTOR in writing thereof within ten days of the date of delivery of such certificates to OWNER in accordance with paragraph 2.7. If CONTRACTOR has any objection to the coverage afforded by or other provisions of the policies of insurance required to be purchased and maintained by OWNER in accordance with paragraphs 5.6 and 5.7 on the basis of their not complying with the Contract Documents, CONTRACTOR shall notify OWNER in writing thereof within ten days of the date of delivery of such certificates to CONTRACTOR in accordance with paragraph 2.7. OWNER and CONTRACTOR shall each provide to the other such additional information in respect of insurance provided by each as the other may reasonably request. Failure by OWNER or CONTRACTOR to give any such notice of objection within the time provided shall constitute acceptance of such insurance purchased by the other as complying with the Contract Documents.

#### Partial Utilization - Property Insurance:

5.15. If OWNER finds it necessary to occupy or use a portion or portions of the Work prior to Substantial Completion of all the Work, such use or occupancy may be accomplished in accordance with paragraph 14.10; provided that no such use or occupancy shall commence before the insurers providing the property insurance have acknowledged notice thereof and in writing effected the changes in coverage necessitated thereby. The insurers providing the property insurance shall consent by endorsement on the policy or policies, but the property insurance shall not be cancelled or lapse on account of any such partial use or occupancy.

#### Supervision and Superintendence:

- 6.1. CONTRACTOR shall supervise and direct the Work competently and efficiently, devoting such attention thereto and applying such skills and expertise as may be necessary to perform the Work in accordance with the Contract Documents. CONTRACTOR shall be solely responsible for the means, methods, techniques, sequences and procedures of construction, but CONTRACTOR shall not be responsible for the negligence of others in the design or selection of a specific means, method, technique, sequence or procedure of construction which is indicated in and required by the Contract Documents. CONTRACTOR shall be responsible to see that the finished Work complies accurately with the Contract Documents.
- 6.2. CONTRACTOR shall keep on the Work at all times during its progress a competent resident superintendent, who shall not be replaced without written notice to OWNER and ENGINEER except under extraordinary circumstances. The superintendent will be CONTRACTOR's representative at the site and shall have authority to act on behalf of CONTRACTOR. All communications given to the superintendent shall be as binding as if given to CONTRACTOR.

#### Labor, Materials and Equipment:

- 6.3. CONTRACTOR shall provide competent, suitably qualified personnel to survey and lay out the Work and perform construction as required by the Contract Documents. CONTRACTOR shall at all times maintain good discipline and order at the site. Except in connection with the safety or protection of persons or the Work or property at the site or adjacent thereto, and except as otherwise indicated in the Contract Documents, all work at the site shall be performed during regular working hours, and CONTRACTOR will not permit overtime work or the performance of Work on Saturday, Sunday or any legal holiday without OWNER's written consent given after prior written notice to ENGINEER.
- 6.4. Unless otherwise specified in the General Requirements, CONTRACTOR shall furnish and assume full responsibility for all materials, equipment, labor, transportation, construction equipment and machinery, tools, appliances, fuel, power, light, heat, telephone, water, sanitary facilities, temporary facilities and all other facilities and incidentals necessary for the furnishing, performance, testing, start-up and completion of the Work.
- 6.5. All materials and equipment shall be of good quality and new, except as otherwise provided in the Contract Documents. If required by ENGINEER, CONTRACTOR shall furnish satisfactory evidence (including reports of required tests) as to the kind and quality of materials and equipment. All materials and equipment shall be applied, installed, connected, erected, used, cleaned and conditioned in accordance with the instruction of the applicable Supplier except as otherwise provided in the Contract Documents; but no provision of any such instructions will be effective as assign to ENGINEER, or any of ENGINEER's consultants, agents or employees, any duty or authority to supervise or direct the furnishing or performance of the Work or any duty or authority to undertake responsibility contrary to the provisions of paragraph 9 15 or 9 16

#### Adjusting Progress Schedule:

6.6. CONTRACTOR shall submit to ENGINEER for acceptance (to the extent indicated in paragraph 2.9) adjustments in the progress schedule to reflect the impact thereon of new developments; these will conform generally to the progress schedule then in effect and additionally will comply with any provisions of the General Requirements applicable thereto.

#### Substitutes or "Or-Equal" Items:

- Whenever materials or equipment are specified or described in the Contract Documents by using the name of a proprietary item or the name of a particular Supplier the naming of the item is intended to establish the type, function and quality required. Unless the name is followed by words indicating that no substitution is permitted, materials or equipment of other Suppliers may be accepted by ENGINEER if sufficient information is submitted by CONTRACTOR to allow ENGINEER to determine that the material or equipment proposed is equivalent or equal to that named. The procedure for review by ENGINEER will include the following as supplemented in the General Requirements. Requests for review of substitute items of material and equipment will not be accepted by ENGINEER from anyone other than CONTRACTOR. If CONTRACTOR wishes to furnish or use a substitute item of material or equipment, CONTRACTOR shall make written application to ENGINEER for acceptance thereof, certifying that the proposed substitute will perform adequately the functions and achieve the results called for by the general design, be similar and of equal substance to that specified and be suited to the same use as that specified. The application will state that the evaluation and acceptance of the proposed substitution will not prejudice CONTRACTOR's achievement of Substantial Completion on time, whether or not acceptance of the substitute for use in the Work will require a change in any of the Contract Documents (or in the provisions of any other direct contract with OWNER for work on the Project) to adapt the design to the proposed substitute and whether or not incorporation or use of the substitute in connection with the Work is subject to payment of any license fee or royalty. All variations of the proposed substitute from that specified will be identified in the application and available maintenance, repair and replacement service will be indicated. The application will also contain an itemized estimate of all costs that will result directly or indirectly from acceptance of such substitute, including costs of redesign and claims of other contractors affected by the resulting change, all of which shall be considered by ENGINEER in evaluating the proposed substitute. ENGINEER may require CONTRACTOR to furnish at CONTRACTOR's expense additional data about the proposed substitute.
- 6.7.2. If a specific means, method, technique, sequence or procedure of construction is indicated in or required by the Contract Documents, CONTRACTOR may furnish or utilize a substitute means, method, sequence, technique or procedure of construction acceptable to ENGINEER, if CONTRACTOR submits sufficient information to allow ENGINEER to determine that the substitute proposed is equivalent to that indicated or required by the Contract Documents. The procedure for review by ENGINEER will be similar to that provided in paragraph 6.7.1 as applied by ENGINEER and as may be supplemented in the General Requirements.
- 6.7.3. ENGINEER will be allowed a reasonable time within which to evaluate each proposed substitute. ENGINEER will be the sole judge of acceptability, and no substitute will be ordered, installed or utilized without ENGINEER's prior written acceptance which will be evidenced by either a Change Order or an approved Shop

Drawing. OWNER may require CONTRACTOR to furnish at CONTRACTOR's expense a special performance guarantee or other surety with respect to any substitute. ENGINEER will record time required by ENGINEER and ENGINEER's consultants in evaluating substitutions proposed by CONTRACTOR and in making changes in the Contract Documents occasioned thereby. Whether or not ENGINEER accepts a proposed substitute, CONTRACTOR shall reimburse OWNER for the charges of ENGINEER and ENGINEER's consultants for evaluating each proposed substitute.

#### Concerning Subcontractors, Suppliers and Others:

- 6.8.1. CONTRACTOR shall not employ any Subcontractor, Supplier or other person or organization (including those acceptable to OWNER and ENGINEER as indicated in paragraph 6.8.2), whether initially or as a substitute, against whom OWNER or ENGINEER may have reasonable objection. CONTRACTOR shall not be required to employ any Subcontractor, Supplier or other person or organization to furnish or perform any of the Work against whom CONTRACTOR has reasonable objection.
- If the Supplementary Conditions require the identity of certain Subcontractors, Suppliers or other persons or organizations (including those who are to furnish the principal items of materials and equipment) to be submitted to OWNER in advance of the specified date prior to the Effective Date of the Agreement for acceptance by OWNER and ENGINEER and if CONTRACTOR has submitted a list thereof in accordance with the Supplementary Conditions, OWNER's or ENGINEER's acceptance (either in writing or by failing to make written objection thereto by the date indicated for acceptance or objection in the bidding documents or the Contract Documents) of any such Subcontractor, Supplier or other person or organization so identified may be revoked on the basis of reasonable objection after due investigation, in which case CONTRACTOR shall submit an acceptable substitute, the Contract Price will be increased by the difference in the cost occasioned by such substitution and an appropriate Change Order will be issued or Written No acceptance by OWNER or Amendment signed. ENGINEER of any such Subcontractor, Supplier or other person or organization shall constitute a waiver of any right of OWNER or ENGINEER to reject defective Work.
- 6.9. CONTRACTOR shall be fully responsible to OWNER and ENGINEER for all acts and omissions of the Subcontractors, Suppliers and other persons and organizations performing or furnishing any of the Work under a direct or indirect contract with CONTRACTOR just as CONTRACTOR is responsible for CONTRACTOR's own acts and omissions. Nothing in the Contract Documents shall create any contractual relationship between OWNER or ENGINEER any such Subcontractor, Supplier or other person or organization, not shall it create any obligation on the part of OWNER or ENGINEER to pay or to see to the payment of any moneys due any such Subcontractor, Supplier or other person or organization except as may otherwise be required by Laws and Regulations.
- 6.10. The divisions and sections of the Specifications and the identifications of any Drawings shall not control CONTRACTOR in dividing the Work among Subcontractors or Suppliers or delineating the Work to be performed by any specific trade.
- 6.11. All Work performed for CONTRACTOR by a Subcontractor will be pursuant to an appropriate agreement between CONTRACTOR and the Subcontractor which specifically binds the Subcontractor to the applicable terms and conditions of the Contract Documents for the benefit of OWNER and ENGINEER and contains waiver provisions as required by paragraph 5.11. CONTRACTOR shall pay each Subcontractor a just share of any insurance moneys received by CONTRACTOR on account of losses under policies issued pursuant to paragraphs 5.6 and 5.7.

Patent Fees and Royalties:

6.12. CONTRACTOR shall pay all license fees and royalties and assume all costs incident to the use in the performance of the Work or the incorporation in the Work of any invention, design, process, product or device which is the subject of patent rights or copyrights held by others. If a particular invention, design, process product or device is specified in the Contract Documents for use in the performance of the Work and if to the actual knowledge of OWNER or ENGINEER its use is subject to patent rights or copyrights calling for the payment of any license fee or royalty to others, the existence of such rights shall be disclosed by OWNER in the Contract Documents. CONTRACTOR shall indemnify and hold harmless OWNER and ENGINEER and anyone directly or indirectly employed by either of them from and against all claims, damages, losses and expenses (including attorney's fees and court and arbitration costs) arising out of any infringement of patent rights or copyrights incident to the use in the performance of the Work or resulting from the incorporation in the Work of any inventions, design, process, product or device not specified in the Contract Documents, and shall defend all such claims in connection with any alleged infringement of such rights.

#### Permits:

6.13. Unless otherwise provided in the Supplementary Conditions, CONTRACTOR shall obtain and pay for all construction permits and licenses. OWNER shall assist CONTRACTOR, when necessary, in obtaining such permits and licenses. CONTRACTOR shall pay all governmental charges and inspection fees necessary for the prosecution of the Work, which are applicable at the time of opening of Bids, or if there are no Bids on the Effective Date of the Agreement, CONTRACTOR shall pay all charges of utility owners for connections to the Work, and OWNER shall pay all charges of such utility owners for capital costs related thereto such as plant investment fees.

#### Laws and Regulations:

- 6.14.1. CONTRACTOR shall give all notices and comply with all Laws and Regulations applicable to furnishing and performance of the Work. Except where otherwise expressly required by applicable Laws and Regulations, neither OWNER nor ENGINEER shall be responsible for monitoring CONTRACTOR's compliance with any Laws or Regulations.
- 6.14.2. If CONTRACTOR observes that the Specifications or Drawings are at variance with any Laws or Regulations, CONTRACTOR shall give ENGINEER prompt written notice thereof, and any necessary changes will be authorized by one of the methods indicated in paragraph 3.4. If CONTRACTOR performs any Work knowing or having reason to know that it is contrary to such Laws or Regulations, and without such notice to ENGINEER, CONTRACTOR shall bear all costs arising therefrom; however, it shall not be CONTRACTOR's primary responsibility to make certain that the Specifications and Drawings are in accordance with such Laws and Regulations.

#### Taxes:

6.15. CONTRACTOR shall pay all sales, consumer, use and other similar taxes required to be paid by CONTRACTOR in accordance with the Laws and Regulations of the place of the Project which are applicable during the performance of the Work.

#### Use of Premises:

6.16. CONTRACTOR shall confine construction equipment, the storage of materials and equipment and the operations of

workers to the Project site and land and areas identified in and permitted by the Contract Documents and other land and areas permitted by Laws and Regulations, rights-of-way, permits and easements, and shall not unreasonably encumber the premises with construction equipment or other materials or equipment. CONTRACTOR shall assume full responsibility for any damage to any such land or area, or to the owner or occupant thereto or of any land or areas contiguous thereto, resulting from the performance of the Work. Should any claim be made against OWNER or ENGINEER by any such owner or occupant because of the performance of the Work, CONTRACTOR shall promptly attempt to settle with such other party by agreement or otherwise resolve the claim by arbitration or at law. CONTRACTOR shall, to the fullest extent permitted by Laws and Regulations, indemnify and hold OWNER and ENGINEER harmless from and against all claims, damages, losses and expenses (including, but not limited to, fees of engineers, architects, attorneys and other professionals and court and arbitration costs) arising directly, indirectly or consequentially out of any action, legal or equitable, brought by any such other party against OWNER or ENGINEER to the extent based on a claim arising out of CONTRACTOR's performance of the Work.

- 6.17. During the progress of the Work, CONTRACTOR shall keep the premises free from accumulations of waste materials, rubbish and other debris resulting from the Work. At the completion of the Work CONTRACTOR shall remove all waste materials, rubbish and debris from and about the premises as well as all tools, appliances, construction equipment and machinery, and surplus materials, and shall leave the site clean and ready for occupancy by OWNER. CONTRACTOR shall restore to original condition all property not designated for alteration by the Contract Documents.
- 6.18. CONTRACTOR shall not load nor permit any part of any structure to be loaded in any manner that will endanger the structure, nor shall CONTRACTOR subject any part of the Work or adjacent property to stresses or pressures that will endanger it.

#### **Record Documents:**

6.19. CONTRACTOR shall maintain in a safe place at the site one record copy of all Drawings, Specifications, Addenda, Written Amendments, Change Orders, Work Directive Changes, Field Orders and written interpretations and clarifications (issued pursuant to paragraph 9.4) in good order and annotated to show all changes made during construction. These record documents together with all approved samples and a counterpart of all approved Shop Drawings will be available to ENGINEER for reference. Upon completion of the Work, these record documents, samples and Shop Drawings will be delivered to ENGINEER for OWNER.

#### Safety and Protection:

- 6.20. CONTRACTOR shall be responsible for initiating, maintaining and supervising all safety precautions and programs in connection with the Work. CONTRACTOR shall take all necessary precautions for the safety of, and shall provide the necessary protection to prevent damage, injury or loss to:
  - 6.20.1. all employees on the Work and other persons and organizations who may be affected thereby:
  - 6.20.2. all the Work and materials and equipment to be incorporated therein, whether in storage on or off the site; and

6.20.3. other property at the site or adjacent thereto, including trees, shrubs, laws, walks, pavements, roadways, structures, utilities and Underground Facilities not designated for removal, relocation or replacement in the course of construction.

CONTRACTOR shall comply with all applicable Laws and Regulations of any public body having jurisdiction for the safety of persons or property or to protect them from damage, injury or loss: and shall erect and maintain all necessary safeguards for such safety and protection. CONTRACTOR shall notify owners of adjacent property and of Underground Facilities and utility owners when prosecution of the Work may affect them, and shall cooperate with them in the protection, removal, relocation and replacement of their property. All damage, injury or loss to any property referred to in paragraph 6.20.2 or 6.20.3 caused, directly or indirectly, in whole or in part, by CONTRACTOR, any Subcontractor, Supplier or any other person or organization directly or indirectly employed by any of them to perform or furnish any of the Work or anyone for whose acts any of them may be liable, shall be remedied by CONTRACTOR (except damage or loss attributable to the fault of Drawings or Specifications or to the acts or omissions of OWNER or ENGINEER or anyone employed by either of them or anyone for whose acts either of them may be liable, and not attributable, directly or indirectly, in whole or in part, to the fault or negligence of CONTRACTOR). CONTRACTOR's duties and responsibilities for the safety and protection of the Work shall continue until such time as all the Work is completed and ENGINEER has issued a notice to OWNER and CONTRACTOR in accordance with paragraph 14.13 that the Work is acceptable (except as otherwise expressly provided in connection with Substantial Completion).

6.21. CONTRACTOR shall designate a responsible representative at the site whose duty shall be the prevention of accidents. This person shall be CONTRACTOR's superintendent unless otherwise designated in writing by CONTRACTOR to OWNER.

#### Emergencies:

6.22. In emergencies affecting the safety or protection of persons or the Work or property at the site or adjacent thereto, CONTRACTOR, without special instruction or authorization from ENGINEER or OWNER, is obligated to act to prevent threatened damage, injury or loss. CONTRACTOR shall give ENGINEER prompt written notice if CONTRACTOR believes that any significant changes in the Work or variations from the Contract Documents have been caused thereby. If ENGINEER determines that a change in the Contract Documents is required because of the action taken in response to an emergency, a Work Directive Change or Change Order will be issued to document the consequences of the changes or variations.

#### Shop Drawings and Samples:

- 6.23. After checking and verifying all field measurements and after complying with applicable procedures specified in the General Requirements, CONTRACTOR shall submit to ENGINEER for review and approval in accordance with the accepted schedule of Shop Drawing submissions (see paragraph 2.9), or for other appropriate action if so indicated in the Supplementary Conditions, five copies (unless otherwise specified in the General Requirements) of all Shop Drawings, which will bear a stamp or specific written indication that CONTRACTOR has satisfied CONTRACTOR's responsibilities under the Contract Documents with respect to the review of the submission. All submissions will be identified as ENGINEER may require. The data shown on the Shop Drawings will be complete with respect to quantities, dimensions, specified performance and design criteria, materials and similar data to enable ENGINEER to review the information as required.
- 6.24. CONTRACTOR shall also submit to ENGINEER for review and approval with such promptness as to cause no delay in Work, all samples required by the Contract Documents. All samples will have been checked by and accompanied by a specific written indication that CONTRACTOR has satisfied CONTRACTOR's responsibilities under the Contract Documents with respect to the review of the submission and will be identified clearly as to material, Supplier, pertinent data such as catalog numbers and the use for which intended.
  - 6.25.1. Before submission of each Shop Drawing or sample CONTRACTOR shall have determined and verified all quantities, dimensions, specified performance criteria, installation requirements, materials, catalog numbers and similar data with respect thereto and reviewed or coordinated each Shop Drawing or sample with other Shop Drawings and samples and with the requirements of the Work and the Contract Documents.
  - 6.25.2. At the time of each submission, CONTRACTOR shall give ENGINEER specific written notice of each variation that the Shop Drawings or samples may have from the requirements of the Contract Documents, and, in addition, shall cause a specific notation to be made on each Shop Drawing submitted to ENGINEER for review and approval of each such variation.
- ENGINEER will review and approve with reasonable promptness Shop Drawings and samples, but ENGINEER's review and approval will be only for conformance with the design concept of the Project and for compliance with the information given in the Contract Documents and shall not extend to means, methods, techniques, sequences or procedures of construction (except where a specific means, method, technique, sequence or procedure of construction is indicated in or required by the Contract Documents) or to safety precautions or programs incident thereto. The review and approval of a separate item as such will not indicate approval of the assembly in which the item functions. CONTRACTOR shall make corrections required by ENGINEER, and shall return the required number of corrected copies of Shop Drawings and submit as required new samples for review and approval. CONTRACTOR shall direct specific attention in writing to revisions other than the corrections called for by ENGINEER on previous submittals.
- 6.27. ENGINEER's review and approval of Shop Drawings or samples shall not relieve CONTRACTOR from responsibility for any variation from the requirements of the Contract Documents unless CONTRACTOR has in writing called ENGINEER's attention to each such variation at the time of submission as required by paragraph 6.25.2 and ENGINEER has given written

approval of each such variation by a specific written notation thereof incorporated in or accompanying the Shop Drawing or sample approval; nor will any approval by ENGINEER relieve CONTRACTOR from responsibility for errors or omissions in the Shop Drawings or from responsibility for having complied with the provisions of paragraph 6.25.1.

6.28. Where a Shop Drawing or sample is required by the Specifications, any related Work performed prior to ENGINEER's review and approval of the pertinent submission will be the sole expense and responsibility of CONTRACTOR.

#### Continuing the Work:

6.29. CONTRACTOR shall carry on the Work and adhere to the progress schedule during all disputes or disagreements with OWNER. No Work shall be delayed or postponed pending resolution of any disputes or disagreements, except as permitted by paragraph 15.5 or as CONTRACTOR and OWNER may otherwise agree in writing.

#### Indemnification:

- 6.30. To the fullest extent permitted by Laws and Regulations CONTRACTOR shall indemnify and hold harmless OWNER and ENGINEER and their consultants, agents and employees from and against all claims, damages, losses and expenses, direct, indirect or consequential (including but not limited to fees and charges of engineers, architects, attorneys and other professionals and court and arbitration costs) arising out of or resulting from the performance of the Work, provided that any such claim, damage, loss or expense (a) is attributable to bodily iniury, sickness, disease or death, or to injury to or destruction of tangible property (other than the Work itself) including the loss of use resulting therefrom and (b) is caused in whole or in part by any negligent act or omission of CONTRACTOR, any Subcontractor, any person or organization directly or indirectly employed by any of them to perform or furnish any of the Work or anyone for whose acts any of them may be liable, regardless of whether or not it is caused in part by a party indemnified hereunder or arises by or is imposed by Law and Regulations regardless of the negligence of any such party.
- 6.31. In any and all claims against OWNER or ENGINEER or any of their consultants, agents or employees by any employee of CONTRACTOR, any Subcontractor, any person or organization directly or indirectly employed by any of them to perform or furnish any of the Work or anyone for whose acts any of them may be liable, the indemnification obligation under paragraph 6.30 shall not be limited in any way by any limitation on the amount or type of damages, compensation or benefits payable by or for CONTRACTOR or any such Subcontractor or other person or organization under workers' or workmen's compensation acts, disability benefit acts or other employee benefit acts.
- 6.32. The obligations of CONTRACTOR under paragraph 6.30 shall not extend to the liability of ENGINEER, ENGINEER's consultants, agents or employees arising out of the preparation or approval of maps, drawings, opinions, reports, surveys, Change Orders, designs or specifications.

#### Related Work at Site:

- 7.1. OWNER may perform other work related to the Project at the site by OWNER's own forces, have other work performed by utility owners or let other direct contracts therefor which shall contain General Conditions similar to these. If the fact that such other work is to be performed was not noted in the Contract Documents, written notice thereof will be given to CONTRACTOR prior to starting any such other work; and, if CONTRACTOR believes that such performance will involve additional expense to CONTRACTOR or requires additional time and the parties are unable to agree as to the extent thereof, CONTRACTOR may make a claim therefor as provided in Articles 11 and 12.
- CONTRACTOR shall afford each utility owner and other contractor who is a party to such a direct contract (or OWNER, if OWNER is performing the additional work with OWNER's employees) proper and safe access to the site and a reasonable opportunity for the introduction and storage of materials and equipment and the execution of such work, and shall properly connect and coordinate the Work with theirs, CONTRACTOR shall do all cutting, fitting and patching of the Work that may be required to make its several parts come together properly and integrate with such other work. CONTRACTOR shall not endanger any work of others by cutting, excavating or otherwise altering their work and will only cut or alter their work with the written consent of ENGINEER and the others whose work will be affected. The duties and responsibilities of CONTRACTOR under this paragraph are for the benefit of such utility owners and other contractors to the extent that there are comparable provisions for the benefit of CONTRACTOR in said direct contracts between OWNER and such utility owners and other contractors.
- 7.3. If any part of CONTRACTOR's Work depends for proper execution or results upon the work of any such other contractor or utility owner (or OWNER), CONTRACTOR shall inspect and promptly report to ENGINEER in writing any delays, defects or deficiencies in such work that render it unavailable or unsuitable for such proper execution and results. CONTRACTOR's failure so to report will constitute an acceptance of the other work as fit and proper for integration with CONTRACTOR's Work except for latent or non-apparent defects and deficiencies in the other work.

#### Coordination:

7.4. If OWNER contracts with others for the performance of other work on the Project at the site, the person or organization who will have authority and responsibility for coordination of the activities among the various prime contractors will be identified in the Supplementary Conditions, and the specific matters to be covered by such authority and responsibilities will be itemized, and the extent of such authority and responsibilities will be provided, in the Supplementary Conditions. Unless otherwise provided in the Supplementary Conditions, neither OWNER nor ENGINEER shall have any authority or responsibility in respect of such coordination.

- 8.1. OWNER shall issue all communications to CONTRACTOR through ENGINEER.
- 8.2. In case of termination of the employment of ENGINEER, OWNER shall appoint an engineer against whom CONTRACTOR makes no reasonable objection, whose status under the Contract Documents shall be that of the former ENGINEER. Any dispute in connection with such appointment shall be subject to arbitration.
- 8.3. OWNER shall furnish the data required of OWNER under the Contract Documents promptly and shall make payments to CONTRACTOR promptly after they are due as provided in paragraphs 14.4 and 14.13.
- 8.4. OWNER's duties in respect of providing lands and easements and providing engineering surveys to establish reference points are set forth in paragraphs 4.1 and 4.4. Paragraph 4.2 refers to OWNER's identifying and making available to CONTRACTOR copies of reports of explorations and tests of subsurface conditions at the site and in existing structures which have been utilized by ENGINEER in preparing the Drawings and Specifications.
- 8.5. OWNER's responsibilities in respect of purchasing and maintaining liability and property insurance are set forth in paragraph 5.5 through 5.8.
- 8.6. OWNER is obligated to execute Change Orders as indicated in paragraph 10.4.
- 8.7. OWNER's responsibility in respect of certain inspections, tests and approvals is set forth in paragraph 13.4.
- 8.8. In connection with OWNER's right to stop Work or suspend Work, see paragraphs 13.10 and 15.1. Paragraph 15.2 deals with OWNER's right to terminate services of CONTRACTOR under certain circumstances.

## ARTICLE 9 – ENGINEER'S STATUS DURING CONSTRUCTION

#### Owner's Representative:

9.1. ENGINEER will be OWNER's representative during the construction period. The duties and responsibilities and the limitations of authority of ENGINEER as OWNER's representative during construction are set forth in the Contract Documents and shall not be extended without written consent of OWNER and ENGINEER.

#### Visits to Site:

9.2. ENGINEER will make visits to the site at intervals appropriate to the various stages of construction to observe the progress and quality of the executed Work and to determine, in general, if the Work is proceeding in accordance with the Contract Documents. ENGINEER will not be required to make exhaustive or continuous on-site inspections to check the quality or quantity of the Work. ENGINEER's efforts will be directed toward providing for OWNER a greater degree of confidence that the completed Work will conform to the Contract Documents. On the basis of such visits and on-site observations as an experienced and

qualified design professional, ENGINEER will keep OWNER informed of the progress of the Work and will endeavor to guard OWNER against defects and deficiencies in the Work.

#### Project Representative:

9.3. If OWNER and ENGINEER agree, ENGINEER will furnish a Resident Project Representative to assist ENGINEER in observing the performance of the Work. The duties, responsibilities and limitations of authority of any such Resident Project Representative and assistants will be as provided in the Supplementary Conditions. If OWNER designates another agent to represent OWNER at the site who is not ENGINEER's agent or employee, the duties, responsibilities and limitations of authority of such other person will be as provided in the Supplementary Conditions.

#### Clarifications and Interpretations:

9.4. ENGINEER will issue with reasonable promptness such written clarifications or interpretations of the requirements of the Contract Documents (in the form of drawings or otherwise) as ENGINEER may determine necessary, which shall be consistent with or reasonably inferable from the overall intent of the Contract Documents. If CONTRACTOR believes that a written clarification or interpretation justifies an increase in the Contract Price or an extension of the Contract Time and the parties are unable to agree to the amount or extent thereof, CONTRACTOR may make a claim therefor as provided in Article 11 or Article 12.

#### Authorized Variations in Work:

9.5. ENGINEER may authorize minor variations in the Work from the requirements of the Contract Documents which do not involve an adjustment in the Contract Price or the Contract Time and are consistent with the overall intent of the Contract Documents. These may be accomplished by a Field Order and will be binding an OWNER, and also on CONTRACTOR who shall perform the Work involved promptly. If CONTRACTOR believes that a Field Order justifies an increase in the Contract Price or an extension of the Contract Time and the parties are unable to agree as to the amount or extent thereof, CONTRACTOR may make a claim therefor as provided in Article 11 or 12.

#### Rejecting Defective Work:

9.6. ENGINEER will have authority to disapprove or reject Work which ENGINEER believes to be *defective*, and will also have authority to require special inspection or testing of the Work as provided in paragraph 13.9, whether or not the Work is fabricated, installed or completed.

#### Shop Drawings, Change Orders and Payments:

- 9.7. In connection with ENGINEER's responsibility for Shop Drawings and samples, see paragraphs 6.23 through 6.29 inclusive.
- 9.8. In connection with ENGINEER's responsibilities as to Change Orders, see Articles 10, 11 and 12.
- 9.9. In connection with ENGINEER's responsibilities in respect of Applications for Payment, etc., see Article 14.

#### Determination for Unit Price:

9.10. ENGINEER will determine the actual quantities and classifications of Unit Price Work performed by CONTRACTOR. ENGINEER will review with CONTRACTOR ENGINEER's preliminary determinations on such matters before rendering a written decision thereon (by recommendation of an Application for Payment or otherwise). ENGINEER's written decisions thereon will be final and binding upon OWNER and CONTRACTOR, unless, within ten days after the date of any such decision, either OWNER or CONTRACTOR delivers to the other party to the Agreement and to ENGINEER written notice of intention to appeal from such a decision.

#### Decisions on Disputes:

- 9.11. ENGINEER will be the initial interpreter of the requirements of the Contract Documents and judge of the acceptability of the Work thereunder. Claims, disputes and other matters relating to the acceptability of the Work or the interpretation of the requirements of the Contract Documents pertaining to the performance and furnishing of the Work and claims under Articles 11 and 12 in respect of changes in the Contract Price or Contract Time will be referred initially to ENGINEER in writing with a request for a formal decision in accordance with this paragraph, which ENGINEER will render in writing within a reasonable time. Written notice of each such claim, dispute and other matter will be delivered by the claimant to ENGINEER and the other party to the Agreement promptly (but in no event later than thirty days) after the occurrence of the event giving rise thereto, and written supporting data will be submitted to ENGINEER and the other party within sixty days after such occurrence unless ENGINEER allows an additional period of time to ascertain more accurate data in support of the claim.
- 9.12. When functioning as interpreter and judge under paragraphs 9.10 and 9.11, ENGINEER will not show partiality to OWNER or CONTRACTOR and will not be liable in connection with any interpretation or decision rendered in good faith in such capacity. The rendering of a decision by ENGINEER pursuant to paragraphs 9.10 and 9.11 with respect to any such claim, dispute or other matter (except any which have been waived by the making or acceptance of final payment as provided in paragraph 14.16) will be a condition precedent to any exercise by OWNER or CONTRACTOR of such rights or remedies as either may otherwise have under the Contract Documents or by Laws or Regulations in respect of any such claim, dispute or other matter.

#### Limitations on ENGINEER's Responsibilities:

- 9.13. Neither ENGINEER's authority to act under this Article 9 or elsewhere in the Contract Documents nor any decision made by ENGINEER in good faith either to exercise or not exercise such authority shall give rise to any duty or responsibility of ENGINEER to CONTRACTOR, any Subcontractor, any Supplier, or any other person or organization performing any of the Work, or to any surety for any of them.
- 9.14. Wherever in the Contract Documents the terms "as ordered", "as directed", "as required", "as allowed", "as approved", or terms of like effect or import are used, or the adjectives "reasonable", "suitable", "acceptable", "proper" or "satisfactory" or adjectives of like effect or import are used to describe a requirement, direction, review or judgment of ENGINEER as to the Work, it is intended that such requirement, direction, review or judgment will be solely to evaluate the Work for compliance with the Contract Documents (unless there is a specific statement indicating otherwise). The use of any such term or adjective shall not be effective to assign to ENGINEER any duty or authority to supervise or direct the furnishing or performance of the Work or any duty or authority to undertake responsibility contrary to the provisions of paragraph 9.15 or 9.16.

- 9.15. ENGINEER will not be responsible for CONTRACTOR's means, methods, techniques, sequences or procedures of construction, or the safety precautions and programs incident thereto, and ENGINEER will not be responsible for CONTRACTOR's failure to perform or furnish the Work in accordance with the Contract Documents.
- 9.16. ENGINEER will not be responsible for the acts or omissions of CONTRACTOR or of any Subcontractor, any Supplier, or of any other person or organization performing or furnishing any of the Work.

#### ARTICLE 10 - CHANGES IN THE WORK

- 10.1. Without invalidating the Agreement and without notice to any surety, OWNER may, at any time or from time to time, order additions, deletions or revisions in the Work; these will be authorized by a Written Amendment, a Change Order, or a Work Directive Change. Upon receipt of any such document, CONTRACTOR shall promptly proceed with the Work involved which will be performed under the applicable conditions of the Contract Documents (except as otherwise specifically provided).
- 10.2. If OWNER and CONTRACTOR are unable to agree as to the extent, if any, of an increase or decrease in the Contract Price or an extension or shortening of the Contract Time that should be allowed as a result of a Work Directive Change, a claim may be made therefor as provided in Article 11 or Article 12.
- 10.3. CONTRACTOR shall not be entitled to an increase in the Contract Price or an extension of the Contract Time with respect to any Work performed that is not required by the Contract Documents as amended, modified and supplemented as provided in paragraphs 3.4. and 3.5, except in the case of an emergency as provided in paragraph 6.22 and except in the case of uncovering Work as provided in paragraph 13.9.
- 10.4. OWNER and CONTRACTOR shall execute appropriate Change Orders (or Written Amendments) covering:
  - 10.4.1. changes in the Work which are ordered by OWNER pursuant to paragraph 10.1, are required because of acceptance of defective Work under paragraph 13.13 or correcting defective Work under paragraph 13.14, or are agreed to by the parties;
  - 10.4.2. changes in the Contract Price or Contract Time which are agreed to by the parties; and
  - 10.4.3. changes in the Contract Price or Contract Time which embody the substance of any written decision rendered by ENGINEER pursuant to paragraph 9.11;

provided that, in lieu of executing any such Change Order, an appeal may be taken from any such decision in accordance with the provisions of the Contract Documents and applicable Laws and Regulations, but during any such appeal. CONTRACTOR shall carry on the Work and adhere to the progress schedule as provided in paragraph 6.29.

10.5. If notice of any change affecting the general scope of the Work or the provisions of the Contract Documents (including, but not limited to, Contract Price or Contract Time) is required by the provisions of any Bond to be given to a surety, the giving of any such notice will be CONTRACTOR's responsibility, and the amount of each applicable Bond will be adjusted accordingly.

- 11.1. The Contract Price constitutes the total compensation (subject to authorized adjustments) payable to CONTRACTOR for performing the Work. All duties, responsibilities and obligations assigned to or undertaken by CONTRACTOR shall be at his expense without change in the Contract Price.
- 11.2. The Contract Price may only be changed by a Change Order or by a Written Amendment. Any claim for an increase or decrease in the Contract Price shall be based on written notice delivered by the party making the claim to the other party and to ENGINEER promptly (but in no event later than thirty days) after the occurrence of the event giving rise to the claim and stating the general nature of the claim. Notice of the amount of the claim with supporting data shall be delivered within sixty days after such occurrence (unless ENGINEER allows an additional period of time to ascertain more accurate data in support of the claim) and shall be accompanied by claimant's written statement that the amount claimed covers all known amounts (direct, indirect and consequential) to which the claimant is entitled as a result of the occurrence of said event. All claims for adjustment in the Contract Price shall be determined by ENGINEER in accordance with paragraph 9.11 if OWNER and CONTRACTOR cannot otherwise agree on the amount involved. No claim for an adjustment in the Contract Price will be valid if not submitted in accordance with this paragraph 11.2.
- 11.3. The value of any Work covered by a Change Order or of any claim for an increase or decrease in the Contract Price shall be determined in one of the following ways:
  - 11.3.1. Where the Work involved is covered by unit prices contained in the Contract Documents, by application of unit prices to the quantities of the items involved (subject to the provisions of paragraphs 11.9.1 through 11.9.3, inclusive).
  - 11.3.2. By mutual acceptance of a lump sum (which may include an allowance for overhead and profit not necessarily in accordance with paragraph 11.6.2.1).
  - 11.3.3. On the basis of the Cost of the Work (determined as provided in paragraphs 11.4 and 11.5) plus a CONTRACTOR's Fee for overhead and profit (determined as provided in paragraphs 11.6 and 11.7).

#### Cost of the Work:

- 11.4. The term Cost of the Work means the sum of all costs necessarily incurred and paid by CONTRACTOR in the proper performance of the Work. Except as otherwise may be agreed to in writing by OWNER, such costs shall be in amounts no higher than those prevailing in the locality of the Project, shall include only the following items and shall not include any of the costs itemized in paragraph 11.5:
  - 11.4.1. Payroll costs for employees in the direct employ of CONTRACTOR in the performance of the Work under schedules of job classifications agreed upon by OWNER and CONTRACTOR. Payroll costs for employees not employed full time on the Work shall be apportioned on the basis of their time spent on the Work. Payroll costs shall include, but not be limited to, salaries and wages plus the cost of fringe benefits which shall include social security contributions, unemployment, excise and payroll taxes, workers' or workmen's compensation, health and retirement benefits, bonuses, sick leave, vacation and holiday pay applicable thereto. Such employees shall include

superintendents and foremen at the site. The expenses of performing Work after regular working hours, on Saturday, Sunday or legal holidays, shall be included in the above to the extent authorized by OWNER.

- 11.4.2. Cost of all materials and equipment furnished and incorporated in the Work, including costs of transportation and storage thereof, and Suppliers' field services required in connection therewith. All cash discounts shall accrue to CONTRACTOR unless OWNER deposits funds with CONTRACTOR with which to make payments, in which case the cash discounts shall accrue to OWNER. All trade discounts, rebates and refunds and all returns from sale of surplus materials and equipment shall accrue to OWNER, and CONTRACTOR shall make provisions so that they may be obtained.
- 11.4.3. Payments made by CONTRACTOR to the Subcontractors for Work performed by Subcontractors. If required by OWNER, CONTRACTOR shall obtain competitive bids from Subcontractors acceptable to CONTRACTOR and shall deliver such bids to OWNER who will then determine, with the advice of ENGINEER, which bids will be accepted. If a subcontract provides that the Subcontractor is to be paid on the basis of Cost of the Work Plus a Fee, the Subcontractor's Cost of the Work shall be determined in the same manner as CONTRACTOR's Cost of the Work. All subcontracts shall be subject to the other provisions of the Contract Documents insofar as applicable.
- 11.4.4. Costs of special consultants (including but not limited to engineers, architects, testing laboratories, surveyors, attorneys and accountants) employed for services specifically related to the Work.
  - 11.4.5. Supplemental costs including the following:
  - 11.4.5.1. The proportion of necessary transportation, travel and subsistence expenses of CONTRACTOR's employees incurred in discharge of duties connected with the Work.
  - 11.4.5.2. Cost, including transportation and maintenance, of all materials, supplies, equipment, machinery, appliances, office and temporary facilities at the site and hand tools not owned by the workers, which are consumed in the performance of the Work, and cost less market value of such items used but not consumed which remain the property of CONTRACTOR.
  - 11.4.5.3. Rentals of all construction equipment and machinery and the parts thereof whether rented from CONTRACTOR or others in accordance with rental agreements approved by OWNER with the advice of ENGINEER, and the costs of transportation, loading, unloading, installation, dismantling and removal thereofall in accordance with terms of said rental agreements. The rental of any such equipment, machinery or parts shall cease when the use thereof is no longer necessary for the Work.
  - 11.4.5.4. Sales, consumer, use or similar taxes related to the Work, and for which CONTRACTOR is liable, imposed by Laws and Regulations.
  - 11.4.5.5. Deposits lost for causes other than negligence of CONTRACTOR, any Subcontractor or anyone directly or indirectly employed by any of them or

for whose acts any of them may be liable, and royalty payments and fees for permits and licenses.

- 11.4.5.6. Losses and damages (and related expenses), not compensated by insurance or otherwise, to the Work or otherwise sustained by CONTRACTOR in connection with the performance and furnishing of the Work (except losses and damages within the deductible amounts of property insurance established by OWNER in accordance with paragraph 5.9), provided they have resulted from causes other than the negligence of CONTRACTOR, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable. Such losses shall include settlements made with the written consent and approval of OWNER. No such losses, damages and expenses shall be included in the Cost of the Work for the purpose of determining CONTRACTOR's Fee. If, however, any such loss or damage requires reconstruction and CONTRACTOR is placed in charge thereof, CONTRACTOR shall be paid for services a fee proportionate to that stated in paragraph 11.6.2.
- 11.4.5.7. The cost of utilities, fuel and sanitary facilities at the site.
- 11.4.5.8. Minor expenses such as telegrams, long distance telephone calls, telephone service at the site, expressage and similar petty cash items in connection with the Work.
- 11.4.5.9. Cost of premiums for additional Bonds and insurance required because of changes in the Work and premiums for property insurance coverage within the limits of the deductible amounts established by OWNER in accordance with paragraph 5.9.
- 11.5. The term Cost of the Work shall not include any of the following:
  - 11.5.1. Payroll costs and other compensation of CONTRACTOR's officers, executives, principals (of partnership and sole proprietorships), general managers, engineers, architects, estimators, attorneys, auditors, accountants, purchasing and contracting agents, expeditors, timekeepers, clerks and other personnel employed by CONTRACTOR whether at the site or in CONTRACTOR's principal or a branch office for general administration of the Work and not specifically included in the agreed upon schedule of job classifications referred to in paragraph 11.4.1 or specifically covered by paragraph 11.4.4-all of which are to be considered administrative costs covered by the CONTRACTOR's Fee.
  - 11.5.2. Expenses of CONTRACTOR's principal and branch offices other than CONTRACTOR's office at the site.
  - 11.5.3. Any of CONTRACTOR's capital expenses, including interest on CONTRACTOR's capital employed for the Work and charges against CONTRACTOR for delinquent payments.
  - 11.5.4. Cost of premiums for all Bonds and for all insurance whether or not CONTRACTOR is required by the Contract Documents to purchase and maintain the same (except for the cost of premiums covered by sub-paragraph 11.4.5.9 above).

- 11.5.5. Costs due to the negligence of CONTRACTOR, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, including but not limited to, the correction of *defective* Work, disposal of materials or equipment wrongly supplied and making good any damage to property.
- 11.5.6. Other overhead or general expense costs of any kind and the costs of any item not specifically and expressly included in paragraph 11.4.

#### Contractor's Fee:

- 11.6. The CONTRACTOR's Fee allowed to CONTRACTOR for overhead and profit shall be determined as follows:
  - 11.6.1. a mutually acceptable fixed fee; or if none can be agreed upon.

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11.7. Whenever the cost of any Work is to be determined pursuant to paragraph 11.4 or 11.5, CONTRACTOR will submit in form acceptable to ENGINEER an itemized cost breakdown together with supporting data.

#### Cash Allowances:

- 11.8. It is understood that CONTRACTOR has included in the Contract Price all allowances so named in the Contract Documents and shall cause the Work so covered to be done by such Subcontractors or Suppliers and for such sums within the limit of the allowances as may be acceptable to ENGINEER, CONTRACTOR agrees that:
  - 11.8.1. The allowances include the cost to CONTRACTOR (less any applicable trade discounts) of materials and equipment required by the allowances to be delivered at the site, and all applicable taxes; and
  - 11.8.2. CONTRACTOR's costs for unloading and handling on the site, labor, installation costs, overhead, profit and other expenses contemplated for the allowances have been included in the Contract Price and not in the allowances. No demand for additional payment on account of any thereof will be valid.

Prior to final payment, an appropriate Change Order will be issued as recommended by ENGINEER to reflect actual amounts due CONTRACTOR on account of Work covered by allowances, and the Contract Price shall be correspondingly adjusted.

#### Unit Price Work:

- 11.9.2. Each unit price will be deemed to include an amount considered by CONTRACTOR to be adequate to cover CONTRACTOR's overhead and profit for each separately identified item.
- 11.9.3. Where the quantity of any item of Unit Price Work performed by CONTRACTOR differs materially and significantly from the estimated quantity of such item indicated in the Agreement and there is no corresponding adjustment with respect to any other item of Work and if CONTRACTOR believes that CONTRACTOR has incurred additional expense as a result thereof, CONTRACTOR may make a claim for an increase in the Contract Price in accordance with Article 11 if the parties are unable to agree as to the amount of any such increase.

#### ARTICLE 12 - CHANGE OF CONTRACT TIME

- 12.1. The Contract Time may only be changed by a Change Order or a Written Amendment. Any claim for an extension or shortening of the Contract Time shall be based on written notice delivered by the party making the claim to the other party and to ENGINEER promptly (but in no event later than thirty days) after the occurrence of the event giving rise to the claim and stating the general nature of the claim. Notice of the extent of the claim with supporting data shall be delivered within sixty days after such occurrence (unless ENGINEER allows an additional period of time to ascertain more accurate data in support of the claim) and shall be accompanied by the claimant's written statement that the adjustment claimed is the entire adjustment to which the claimant has reason to believe it is entitled as a result of the occurrence of said event. All claims for adjustment in the Contract Time shall be determined by ENGINEER in accordance with paragraph 9.11 if OWNER and CONTRACTOR cannot otherwise agree. No claim for an adjustment in the Contract Time will be valid if not submitted in accordance with the requirements of this paragraph 12.1.
- 12.2. The Contract Time will be extended in an amount equal to time lost due to delays beyond the control of CONTRACTOR if a claim is made therefor as provided in paragraph 12.1. Such delays shall include, but not be limited to, acts or neglect by OWNER or others performing additional work as contemplated by Article 7, or to fires, floods, labor disputes, epidemics, abnormal weather conditions or acts of God.
- 12.3. All time limits stated in the Contract Documents are of the essence of the Agreement. The provisions of this Article 12 shall not exclude recovery for damages (including but not limited to fees and charges of engineers, architects, attorneys and other professionals and court and arbitration costs) for delay by either party.

ARTICLE 13 – WARRANTY AND GUARANTEE;
TESTS AND INSPECTIONS;
CORRECTION, REMOVAL OR
ACCEPTANCE OF DEFECTIVE WORK

#### Warranty and Guarantee:

13.1. CONTRACTOR warrants and guarantees to OWNER and ENGINEER that all Work will be in accordance with the Contract Documents and will not be *defective*. Prompt notice of all defects shall be given to CONTRACTOR. All *defective* Work, whether or not in place, may be rejected, corrected or accepted as provided in this Article 13.

#### Access to Work:

13.2. ENGINEER and ENGINEER's representatives, other representatives of OWNER, testing agencies and governmental agencies with jurisdictional interests will have access to the Work at reasonable times for their observation, inspecting and testing. CONTRACTOR shall provide proper and safe conditions for such access

#### Tests and Inspections:

- 13.3. CONTRACTOR shall give ENGINEER timely notice of readiness of the Work for all required inspections, tests or approvals.
- 13.4. If Laws or Regulations of any public body having jurisdiction require any Work (or part thereof) to specifically be inspected, tested or approved. CONTRACTOR shall assume full responsibility therefor, pay all costs in connection therewith and furnish ENGINEER the required certificates of inspection, testing or approval. CONTRACTOR shall also be responsible for and shall pay all costs in connection with any inspection or testing required in connection with OWNER's or ENGINEER's acceptance of a Supplier of materials or equipment proposed to be incorporated in the Work, or of materials or equipment submitted for approval prior to CONTRACTOR's purchase thereof for incorporation in the Work. The cost of all inspections, tests and approvals in addition to the above which are required by the Contract Documents shall be paid by OWNER (unless otherwise specified).
- 13.5. All inspections, tests or approvals other than those required by Laws or Regulations of any public body having jurisdiction shall be performed by organizations acceptable to OWNER and CONTRACTOR (or by ENGINEER if so specified).
- 13.6. If any Work (including the work of others) that is to be inspected, tested or approved is covered without written concurrence of ENGINEER, it must, if requested by ENGINEER, be uncovered for observation. Such uncovering shall be at CONTRACTOR's expense unless CONTRACTOR has given ENGINEER timely notice of CONTRACTOR's intention to cover the same and ENGINEER has not acted with reasonable promptness in response to such notice.
- 13.7. Neither observations by ENGINEER nor inspections, tests or approvals by other shall relieve CONTRACTOR from CONTRACTOR's obligations to perform the Work in accordance with the Contract Documents.

#### Uncovering Work:

- 13.8. If any Work is covered contrary to the written request of ENGINEER, it must, if requested by ENGINEER, be uncovered for ENGINEER's observation and replaced at CONTRACTOR's expense.
- 13.9. If ENGINEER considers it necessary or advisable that covered Work be observed by ENGINEER or inspected or tested by others, CONTRACTOR, at ENGINEER's request, shall uncover, expose or otherwise make available for observation, inspection or testing as ENGINEER may require, that portion of the Work in question, furnishing all necessary labor, material and If it is found that such Work is defective, equipment. CONTRACTOR shall bear all direct, indirect and consequential costs of such uncovering, exposure, observation, inspection and testing and of satisfactory reconstruction, (including but not limited to fees and charges of engineers, architects, attorneys and other professionals), and OWNER shall be entitled to an appropriate decrease in the Contract Price, and, if the parties are unable to agree as to the amount thereof, may make a claim therefor as provided in Article 11. If, however, such Work is not found to be defective, CONTRACTOR shall be allowed an increase in the Contract Price or an extension of the Contract Time, or both, directly attributable to such uncovering, exposure, observation, inspection, testing and reconstruction; and, if the parties are unable to agree as to the amount or extent thereof, CONTRACTOR may make a claim therefor as provided in Articles 11 and 12.

#### Owner May Stop the Work:

13.10. If the Work is *defective*, or CONTRACTOR fails to supply sufficient skilled workers or suitable materials or equipment, or fails to furnish or perform the Work in such a way that the completed Work will conform to the Contract Documents, OWNER may order CONTRACTOR to stop the Work, or any portion thereof, until the cause for such order has been eliminated; however, this right of OWNER to stop the Work shall not give rise to any duty on the part of OWNER to exercise this right for the benefit of CONTRACTOR or any other party.

#### Correction or Removal of Defective Work:

13.11. If required by ENGINEER, CONTRACTOR shall promptly, as directed, either correct all *defective* Work, whether or not fabricated, installed or completed, or, if the Work has been rejected by ENGINEER, remove it from the site and replace it with *nondefective* Work. CONTRACTOR shall bear all direct, indirect and consequential costs of such correction or removal (including but not limited to fees and charges of engineers, architects, attorneys and other professionals) made necessary thereby.

#### One Year Correction Period:

13.12. If within one year after the date of Substantial Completion or such longer period of time as may be prescribed by Laws or Regulations or by the terms of any applicable special quarantee required by the Contract Documents or by any specific provision of the Contract Documents, any Work is found to be defective, CONTRACTOR shall promptly, without cost to OWNER and in accordance with OWNER's written instructions, either correct such defective Work, or, if it has been rejected by OWNER, remove it from the site and replace it with nondefective Work. If CONTRACTOR does not promptly comply with the terms of such instructions, or in an emergency where delay would cause serious risk of loss or damage, OWNER may have the defective Work corrected or the rejected Work removed and replaced, and all direct, indirect and consequential costs of such removal and replacement (including but not limited to fees and charges of engineer, architects, attorneys and other professionals) will be paid by CONTRACTOR. In special circumstances where a particular item of equipment is placed in continuous service before Substantial Completion of all the Work, the correction period for that item may start to run from an earlier date if so provided in the Specifications or by Written Amendments.

#### Acceptance of Defective Work:

13.13. If, instead of requiring correction or removal and replacement of defective Work, OWNER (and, prior to ENGINEER's recommendation of final payment, also ENGINEER) prefers to accept it, OWNER may do so, CONTRACTOR shall bear all direct, indirect and consequential costs attributable to OWNER's evaluation of and determination to accept such defective Work (such costs to be approved by ENGINEER as to reasonableness and to include but not be limited to fees and charges of engineers, architects, attorneys and other professionals). If any such acceptance occurs prior to ENGINEER's recommendation of final payment, a Change Order will be issued incorporating the necessary revisions in the Contract Documents with respect to the Work; and OWNER shall be entitled to an appropriate decrease in the Contract Price, and, if the parties are unable to agree as to the amount thereof, OWNER may make a claim therefor as provided in Article 11. If the acceptance occurs after such recommendation, an appropriate amount will be paid by CONTRACTOR to OWNER.

#### **OWNER May Correct Defective Work:**

13.14. If CONTRACTOR fails within a reasonable time after written notice of ENGINEER to proceed to correct and to correct defective Work or to remove and replace rejected Work as required by ENGINEER in accordance with paragraph 13.11, or if CONTRACTOR fails to perform the Work in accordance with the Contract Documents, of if CONTRACTOR fails to comply with any other provision of the Contract Documents, OWNER may, after seven days' written notice to CONTRACTOR, correct and remedy any such deficiency. In exercising the rights and remedies under this paragraph OWNER shall proceed expeditiously. To the extent necessary to complete corrective and remedial action, OWNER may include CONTRACTOR from all or part of the site, take possession of all or part of the Work, and suspend CONTRACTOR's services related thereto, take possession of CONTRACTOR's tools, appliances, construction equipment and machinery at the site and incorporate in the Work all materials and equipment stored at the site for which OWNER has paid CONTRACTOR but which are stored elsewhere. CONTRACTOR shall allow OWNER, OWNER's representatives, agents and employees such access to the site as may be necessary to enable OWNER to exercise the rights and remedies under this paragraph. All direct, indirect and consequential costs of OWNER in exercising such rights and remedies will be charged against CONTRACTOR in an amount approved as to reasonableness by ENGINEER, and a Change Order will be issued incorporating the necessary revisions in the Contract Documents with respect to the Work; and OWNER shall be entitled to an appropriate decrease in the Contract Price, and, if the parties are unable to agree as to the amount thereof, OWNER may make a claim therefor as provided in Article 11. Such direct, indirect and consequential costs will include but not be limited to fees and charges of engineers, architects, attorneys and other professionals, all court and arbitration costs and all costs of repair and replacement of work of others destroyed or damaged by correction, removal or replacement of CONTRACTOR's defective CONTRACTOR shall not be allowed an extension of the Contract Time because of any delay in performance of the Work attributable to the exercise by OWNER of OWNER's rights and remedies hereunder.

## ARTICLE 14 – PAYMENTS TO CONTRACTOR AND COMPLETION

#### Schedule of Values:

14.1. The schedule of values established as provided in paragraph 2.9 will serve as the basis for progress payments and will be incorporated into a form of Application for Payment acceptable to ENGINEER. Progress payments on account of Unit Price Work will be based on the number of units completed.

#### Application for Progress Payment:

14.2. At least twenty days before each progress payment is scheduled (but not often than once a month), CONTRACTOR shall submit to ENGINEER for review an Application for Payment filled out and signed by CONTRACTOR covering the Work completed as of the date of the Application and accompanied by such supporting documentation as is required by the Contract Documents. If payment is requested on the basis of materials and equipment not incorporated in the Work but delivered and suitably stored at the site or at another location agreed to in writing, the Application for Payment shall also be accompanied by a bill of sale, invoice or other documentation warranting that OWNER has received the materials and equipment free and clear of all liens, charges, security interests and encumbrances (which are hereinafter in these General Conditions referred to as "Liens") and evidence that the materials and equipment are covered by appropriate property insurance and other arrangements to protect OWNER's interest therein, all of which will be satisfactory to OWNER. The amount of retainage with respect to progress payments will be as stipulated in the Agreement.

#### CONTRACTOR's Warranty of Title:

14.3. CONTRACTOR warrants and guarantees that title to all Work, materials and equipment covered by any Application for Payment, whether incorporated in the Project or not, will pass to OWNER no later than the time of payment free and clear of all Liens.

Review of Applications for Progress Payment:

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14.5. ENGINEER's recommendation of any payment requested in an Application for Payment will constitute a representation by ENGINEER to OWNER, based on ENGINEER's on-site observations of the Work in progress as an experienced and qualified design professional and on ENGINEER's review of the Application for Payment and the accompanying data and schedules that the Work has progressed to the point indicated; that, to the best of ENGINEER's knowledge, information and belief, the quality of the Work is in accordance with the Contract Documents (subject to an evaluation of the Work as a functioning whole prior to or upon Substantial Completion, to the results of any subsequent tests called for in the Contract Documents, to a final determination of quantities and classifications for Unit Price Work under paragraph 9.10, and to any other qualifications stated in the recommendation); and that CONTRACTOR is entitled to payment of the amount recommended. However, by recommending any such payment ENGINEER will not thereby be deemed to have represented that exhaustive or continuous on-site inspections have been made to check the quality or the quantity of the Work beyond the responsibilities specifically assigned to ENGINEER in the Contract Documents or that there may not be other matters or issues between the parties that might entitle CONTRACTOR to be paid additionally by OWNER or OWNER to withhold payment to CONTRACTOR.

- 14.6. ENGINEER's recommendation of final payment will constitute an additional representation by ENGINEER to OWNER that the conditions precedent to CONTRACTOR's being entitled to final payment as set forth in paragraph 14.13 have been fulfilled.
- 14.7. ENGINEER may refuse to recommend the whole or any part of any payment if, in ENGINEER's opinion, it would be incorrect to make such representations to OWNER. ENGINEER may also refuse to recommend any such payment, or, because of subsequently discovered evidence or the results of subsequent inspections or tests, nullify any such payment previously recommended, to such extent as may be necessary in ENGINEER's opinion to protect OWNER from loss because:
  - 14.7.1. the Work is *defective*, or completed Work has been damaged requiring correction or replacement,
  - 14.7.2. the Contract Price has been reduced by Written Amendment or Change Order;
  - 14.7.3. OWNER has been required to correct defective Work or complete Work in accordance with paragraph 13.14, or
  - 14.7.4. of ENGINEER's actual knowledge of the occurrence of any of the events enumerated in paragraphs 15.2.1 through 15.2.9 inclusive.

OWNER may refuse to make payment of the full amount recommended by ENGINEER because claims have been made against OWNER on account of CONTRACTOR's performance or furnishing of the Work or Liens have been filed in connection with the Work or there are other items entitling OWNER to a set-off against the amount recommended, but OWNER must give CONTRACTOR immediate written notice (with a copy to ENGINEER) stating the reasons for such action.

#### Substantial Completion:

14.8. When CONTRACTOR considers the entire Work ready for its intended use CONTRACTOR shall notify OWNER and ENGINEER in writing that the entire Work is substantially complete (except for items specifically listed by CONTRACTOR as incomplete) and request that ENGINEER issue a certificate of Substantial Completion. Within a reasonable time thereafter. OWNER, CONTRACTOR and ENGINEER shall make an inspection of the Work to determine the status of completion. If ENGINEER does not consider the Work substantially complete, ENGINEER will notify CONTRACTOR in writing giving the reasons therefor. If ENGINEER considers the Work substantially complete, ENGINEER will prepare and deliver to OWNER a tentative certificate of Substantial Completion which shall fix the date of Substantial Completion. There shall be attached to the certificate a tentative list of items to be completed or corrected before final payment. OWNER shall have seven days after receipt of the tentative certificate during which to make written objection to ENGINEER as to any provisions of the certificate or attached list. If, after considering such objections, ENGINEER concludes that the Work is not substantially complete, ENGINEER will within fourteen days after submission of the tentative certificate to OWNER notify CONTRACTOR in writing, stating the reasons

- If, after consideration of OWNER's objections, ENGINEER considers the Work substantially complete, ENGINEER will within said fourteen days execute and deliver to OWNER and CONTRACTOR a definitive certificate of Substantial Completion (with a revised tentative list of items to be completed or corrected) reflecting such changes from the tentative certificate as ENGINEER believes justified after consideration of any objections from OWNER. At the time of delivery of the tentative certificate of Substantial Completion ENGINEER will deliver to OWNER and CONTRACTOR a written recommendation as to division of responsibilities pending final payment between OWNER and CONTRACTOR with respect to security, operation, safety, maintenance, heat, utilities, insurance and warranties. Unless OWNER and CONTRACTOR agree otherwise in writing and so inform ENGINEER prior to ENGINEER's issuing the definitive certificate of Substantial Completion, ENGINEER's aforesaid recommendation will be binding on OWNER and CONTRACTOR until final payment.
- 14.9. OWNER shall have the right to exclude CONTRACTOR from the Work after the date of Substantial Completion, but OWNER shall allow CONTRACTOR reasonable access to complete or correct items on the tentative list.

#### Partial Utilization:

- 14.10. Use by OWNER of any finished part of the Work, which has specifically been identified in the Contract Documents, or which OWNER, ENGINEER and CONTRACTOR agree constitutes a separately functioning and useable part of the Work that can be used by OWNER without significant interference with CONTRACTOR's performance of the remainder of the Work, may be accomplished prior to Substantial Completion of all the Work subject to the following:
  - OWNER at any time may request CONTRACTOR in writing to permit OWNER to use any such part of the Work which OWNER believes to be ready for its intended use and substantially complete. If CONTRACTOR agrees, CONTRACTOR will certify to OWNER and ENGINEER that said part of the Work is substantially complete and request ENGINEER to issue a certificate of Substantial Completion for that part of the Work. CONTRACTOR at any time may notify OWNER and ENGINEER in writing that CONTRACTOR considers any such part of the Work ready for its intended use and substantially complete and request ENGINEER to issue a certificate of Substantial Completion for that part of the Work. Within a reasonable time after either such request, OWNER, CONTRACTOR and ENGINEER shall make an inspection of that part of the Work to determine its status of completion. If ENGINEER does not consider that part of the Work to be substantially complete, ENGINEER will notify OWNER and CONTRACTOR in writing giving the reasons therefor. If ENGINEER considers that part of the Work to be substantially complete, the provisions of paragraphs 14.8 and 14.9 will apply with respect to certification of Substantial Completion of that part of the Work and the division of responsibility in respect thereof and access thereto.
  - 14.10.2. OWNER may at any time request CONTRACTOR in writing to permit OWNER to take over operation of any such part of the Work although it is not substantially complete. A copy of such request will be sent to ENGINEER and within a reasonable time thereafter OWNER, CONTRACTOR and ENGINEER shall make an inspection of that part of the Work to determine its status of completion and will prepare a list of the items remaining to be completed or corrected thereon before final payment. If CONTRACTOR does not object in writing to OWNER and ENGINEER that

such part of the Work is not ready for separate operation by OWNER, ENGINEER will finalize the list of items to be completed or corrected and will deliver such list to OWNER and CONTRACTOR together with a written recommendation as to the division of responsibilities pending final payment between OWNER and CONTRACTOR with respect to security, operation, safety, maintenance, utilities, insurance, warranties and guarantees for that part of the Work which will become binding upon OWNER and CONTRACTOR at the time when OWNER takes over such operation (unless they shall have otherwise agreed in writing and so informed ENGINEER). During such operation and prior to Substantial Completion of such part of the Work, OWNER shall allow CONTRACTOR reasonable access to complete or correct items on said list and to complete other related Work.

14.10.3. No occupancy or separate operation of part of the Work will be accomplished prior to compliance with the requirements of paragraph 5.15 in respect of property insurance.

#### Final Inspection:

14.11. Upon written notice from CONTRACTOR that the entire Work or an agreed portion thereof is complete, ENGINEER will make a final inspection with OWNER and CONTRACTOR and will notify CONTRACTOR in writing of all particulars in which this inspection reveals that the Work is incomplete or *defective*. CONTRACTOR shall immediately take sure measures as are necessary to remedy such deficiencies.

#### Final Application for Payment:

14.12. After CONTRACTOR has completed all such corrections to the satisfaction of ENGINEER and delivered all maintenance and operating instructions, schedules, guarantees, Bonds, certificates of inspection, marked-up record documents (as provided in paragraph 6.19) and other documents-all as required by the Contract Documents, and after ENGINEER has indicated that the Work is acceptable (subject to the provisions of paragraph 14.16), CONTRACTOR may make application for final payment following the procedure for progress payments. The final Application for Payment shall be accompanied by all documentation called for in the Contract Documents, together with complete and legally effective releases or waivers (satisfactory to OWNER) of all Liens arising out of or filed in connection with the In lieu thereof and as approved by OWNER, CONTRACTOR may furnish receipts or releases in full; an affidavit of CONTRACTOR that the releases and receipts include all labor, services, material and equipment for which a Lien could be filed, and that all payrolls, material and equipment bills, and other indebtedness connected with the Work for which OWNER or OWNER's property might in any way be responsible, have been paid or otherwise satisfied; and consent of the surety, if any, to final payment.

#### Final Payment and Acceptance:

14.13. If, on the basis of ENGINEER's observation of the Work during construction and final inspection, and ENGINEER's review of the final Application for Payment and accompanying documentation — all as required by the Contract Documents, ENGINEER is satisfied that the Work has been completed and CONTRACTOR's other obligations under the Contract Documents have been fulfilled, ENGINEER will, within ten days after receipt of the final Application for Payment, indicate in writing ENGINEER's recommendation of payment and present the Application to OWNER for payment. Thereupon ENGINEER will give written notice to OWNER and CONTRACTOR that the Work

is acceptable subject to the provisions of paragraph 14.16. Otherwise, ENGINEER will return the Application to CONTRACTOR, indicating in writing the reasons for refusing to recommend final payment, in which case CONTRACTOR shall make the necessary corrections and resubmit the Application. Thirty days after presentation to OWNER of the Application and accompanying documentation, in appropriate form and substance, and with ENGINEER's recommendation and notice of acceptability, the amount recommended by ENGINEER will become due and will be paid by OWNER to CONTRACTOR.

14.14. If, through no fault of CONTRACTOR, final completion of the Work is significantly delayed and if ENGINEER so confirms, OWNER shall, upon receipt of CONTRACTOR's final Application for Payment and recommendation of ENGINEER, and without terminating the Agreement, make payment of the balance due for that portion of the Work fully completed and accepted. If the remaining balance to be held by OWNER for Work not fully completed or corrected is less than the retainage stipulated in the Agreement, and if Bonds have been furnished as required in paragraph 5.1, the written consent of the surety to the payment of the balance due for that portion of the Work fully completed and accepted shall be submitted by CONTRACTOR to ENGINEER with the Application for such payment. Such payment shall be made under the terms and conditions governing final payment, except that it shall not constitute a waiver of claims.

#### Contractor's Continuing Obligation:

14.15. CONTRACTOR's obligation to perform and complete the Work in accordance with the Contract Documents shall be absolute. Neither recommendation of any progress or final payment by ENGINEER, nor the issuance of a certificate of Substantial Completion, nor any payment by OWNER to CONTRACTOR under the Contract Documents, nor any use or occupancy of the Work or any part thereof by OWNER, nor any act of acceptance by OWNER nor any failure to do so, nor any review and approval of a Shop Drawing or sample submission, nor the issuance of a notice of acceptability by ENGINEER pursuant to paragraph 14.13, nor any correction of defective Work by OWNER will constitute an acceptance of Work not in accordance with the Contract Documents or a release of CONTRACTOR's obligation to perform the Work in accordance with the Contract Documents (except as provided in paragraph 14.16).

#### Waiver of Claims:

- 14.16. The making and acceptance of final payment will constitute:
  - 14.16.1. a waiver of all claims by OWNER against CONTRACTOR, except claims arising from unsettled Liens, from *defective* Work appearing after final inspection pursuant to paragraph 14.11 or from failure to comply with the Contract Documents or the terms of any special guarantees specified therein; however, it will not constitute a waiver by OWNER of any rights in respect of CONTRACTOR's continuing obligations under the Contract Documents; and
  - 14.16.2. a waiver of all claims by CONTRACTOR against OWNER other than those previously made in writing and still unsettled.

ARTICLE 15 – SUSPENSION OF WORK AND TERMINATION

#### Owner May Suspend Work:

15.1. OWNER may, at any time and without cause, suspend the Work or any portion thereof for a period of not more than ninety days by notice in writing to CONTRACTOR and ENGINEER which will fix the date on which Work will be resumed. CONTRACTOR shall resume the Work on the date so fixed. CONTRACTOR shall be allowed an increase in the Contract Price or an extension of the Contract Time, or both, directly attributable to any suspension if CONTRACTOR makes an approved claim therefor as provided in Articles 11 and 12.

#### Owner May Terminate:

- 15.2. Upon the occurrence of any one or more of the following events:
  - 15.2.1. if CONTRACTOR commences a voluntary case under any chapter of the Bankruptcy Code (Title 11, United States Code), as now or hereafter in effect, or if CONTRACTOR takes any equivalent or similar action by filing a petition or otherwise under any other federal or state law in effect at such time relating to the bankruptcy or insolvency;
  - 15.2.2. if a petition is filed against CONTRACTOR under any chapter of the Bankruptcy Code as now or hereafter in effect at the time of filing, or if a petition is filed seeking any such equivalent or similar relief against CONTRACTOR under any other federal or state law in effect at the time relating to bankruptcy or insolvency;
  - 15.2.3. if CONTRACTOR makes a general assignment for the benefit of creditors;
  - 15.2.4. if a trustee, receiver, custodian or agent of CONTRACTOR is appointed under applicable law or under contract, whose appointment or authority to take charge of property of CONTRACTOR is for the purpose of enforcing a Lien against such property or for the purpose of general administration of such property for the benefit of CONTRACTOR's creditors;
  - 15.2.5. if CONTRACTOR admits in writing an inability to pay its debts generally as they become due;
  - 15.2.6. if CONTRACTOR persistently fails to perform the Work in accordance with the Contract Documents (including, but not limited to, failure to supply sufficient skilled workers or suitable materials or equipment or failure to adhere to the progress schedule established under paragraph 2.9 as revised from time to time);
  - 15.2.7. if CONTRACTOR disregards Laws or Regulations of any public body having jurisdiction;
  - 15.2.8. if CONTRACTOR disregards the authority of ENGINEER: or
  - 15.2.9. if CONTRACTOR otherwise violates in any substantial way any provisions of the Contract Documents;

OWNER may, after giving CONTRACTOR (and the surety, if there be one) seven days' written notice and to the extent permitted by Laws and Regulations, terminate the services of CONTRACTOR, exclude CONTRACTOR from the site and take possession of the Work and of all CONTRACTOR's tools, appliances, construction equipment and machinery at the site and use the same to the full extent they could be used by CONTRACTOR (without liability to

CONTRACTOR for trespass or conversion), incorporate in the Work all materials and equipment stored at the site or for which OWNER has paid CONTRACTOR but which are stored elsewhere, and finish the Work as OWNER may deem expedient. In such case CONTRACTOR shall not be entitled to receive any further payment until the Work is finished. If the unpaid balance of the Contract Price exceeds the direct, indirect and consequential costs of completing the Work (including but not limited to fees and charges of engineers, architects, attorneys and other professionals and court and arbitration costs) such excess will be paid to CONTRACTOR. If such costs exceed such unpaid balance, CONTRACTOR shall pay the difference to OWNER. Such costs incurred by OWNER will be approved as to reasonableness by ENGINEER and incorporated in a Change Order, but when exercising any rights or remedies under this paragraph OWNER shall not be required to obtain the lowest price for the Work performed.

- 15.3. Where CONTRACTOR's services have been so terminated by OWNER, the termination will not affect any rights or remedies of OWNER against CONTRACTOR then existing or which may thereafter accrue. Any retention or payment of moneys due CONTRACTOR by OWNER will not release CONTRACTOR from liability.
- 15.4. Upon seven days' written notice to CONTRACTOR and ENGINEER, OWNER may, without cause and without prejudice to any other right or remedy, elect to abandon the Work and terminate the Agreement. In such case, CONTRACTOR shall be paid for all Work executed and any expense sustained plus reasonable termination expenses, which will include, but not be limited to, direct indirect and consequential costs (including, but not limited to, fees and charges of engineers, architects, attorneys and other professionals and court and arbitration costs).

#### Contractor May Stop Work or Terminate:

If, through no act or fault of CONTRACTOR, the Work is suspended for a period of more than ninety days by OWNER or under an order of court or other public authority, or ENGINEER fails to act on any Application for Payment within thirty days after it is submitted, or OWNER fails for thirty days to pay CONTRACTOR any sum finally determined to be due, then CONTRACTOR may, upon seven days' written to OWNER and ENGINEER, terminate the Agreement and recover from OWNER payment for all Work executed and any expense sustained plus reasonable termination expenses. In addition and in lieu of terminating the Agreement, if ENGINEER has failed to act on an Application for Payment or OWNER has failed to make any payment as aforesaid. CONTRACTOR may upon seven days' written notice to OWNER and ENGINEER stop the Work until payment of all amounts then due. The provisions of this paragraph shall not relieve CONTRACTOR of the obligations under paragraph 6.29 to carry on the Work in accordance with the progress schedule and without delay during disputes and disagreements with OWNER.

#### ARTICLE 16 - ARBITRATION

16.1. All claims, disputes and other matters in question between OWNER and CONTRACTOR arising out of, or relating to the Contract Documents or the breach thereof (except for claims which have been waived by the making or acceptance of final payment as provided by paragraph 14.16) will be decided by arbitration in accordance with the Construction Industry Arbitration Rules of the American Arbitration Association then obtaining subject to the limitations of this Article 16. This agreement so to

arbitrate and any other agreement or consent to arbitrate entered into in accordance herewith as provided in this Article 16 will be specifically enforceable under the prevailing law of any court having jurisdiction.

- No demand for arbitration of any claim, dispute or other matter that is required to be referred to ENGINEER initially for decision in accordance with paragraph 9.11 will be made until the earlier of (a) the date on which ENGINEER has rendered a decision or (b) the tenth day after the parties have presented their evidence to ENGINEER if a written decision has not been rendered by ENGINEER before that date. No demand for arbitration of any such claim, dispute or other matter will be made later than thirty days after the date on which ENGINEER has rendered a written decision in respect thereof in accordance with paragraph 9.11; and the failure to demand arbitration within said thirty days' period shall result in ENGINEER's decision being final and binding upon OWNER and CONTRACTOR. If ENGINEER renders a decision after arbitration proceeding have been initiated, such decision may be entered as evidence but will not supersede the arbitration proceedings, except where the decision is acceptable to the parties concerned. No demand for arbitration of any written decision of ENGINEER rendered in accordance with paragraph 9.10 will be made later than ten days after the party making such demand has delivered written notice of intention to appeal as provided in paragraph 9.10.
- 16.3. Notice of the demand for arbitration will be filed in writing with the other party to the Agreement and with the American Arbitration Association, and a copy will be sent to ENGINEER for information. The demand for arbitration will be made within the thirty-day or ten-day period specified in paragraph 16.2 as applicable, and in all other cases within a reasonable time after the claim, dispute or other matter in question has arisen, and in no event shall any such demand be made after the date when institution of legal or equitable proceedings based on such claim, dispute or other matter in question would be barred by the applicable statute of limitations.
- 16.4. No arbitration arising out of or relating to the Contract Documents shall include by consolidation, joinder or in any other manner any other person or entity (including ENGINEER, ENGINEER's agents, employees or consultants) who is not a party to this contract unless:
  - 16.4.1. the inclusion of such other person or entity is necessary if complete relief is to be afforded among those who are already parties to the arbitration,
  - 16.4.2. such other person or entity is substantially involved in a question of law or fact which is common to those who are already parties to the arbitration and which will arise in such proceedings, and
  - 16.4.3. the written consent of the other person or entity sought to be included and of OWNER and CONTRACTOR has been obtained for such inclusion, which consent shall make specific reference to this paragraph; but no such consent shall constitute consent to arbitration of any dispute not specifically described in such consent or to arbitration with any party not specifically identified in such consent.
- 16.5. The award rendered by the arbitrators will be final, judgment may be entered upon it in any court having jurisdiction thereof, and will not be subject to modification or appeal except to the extent permitted by Sections 10 and 11 of the Federal Arbitration Act (9 U.S.C. §§ 10,11).

#### ARTICLE 17 - MISCELLANEOUS

#### Giving Notice:

17.1. Whenever any provision of the Contract Documents requires the giving of written notice, it will be deemed to have been validly given if delivered in person to the individual or to a member of the firm or to an officer of the corporation for whom it is intended, or if delivered at or sent by registered or certified mail, postage prepaid, to the last business address known to the giver of the notice.

#### Computation of Time:

- 17.2.1. When any period of tiem is referred to in the Contract Documents by days, it will be computed to exclude the first and include the last day of such period. If the last day of any such period falls on a Saturday or Sunday or on a day made a legal holiday by the law of the applicable jurisdiction, such day will be omitted from the computation.
- 17.2.2. A calendar day of twenty-four hours measured from midnight to the next midnight shall constitute a day.

#### General:

- 17.3. Should OWNER or CONTRACTOR suffer injury or damage to person or property because of any error, omission or act of the other party or of any of the other party's employees or agents or others for whose acts the other party is legally liable, claim will be made in writing to the other party within a reasonable time of the first observance of such injury or damage. The provisions of this paragraph 17.3 shall not be construed as a substitute for or a waiver of the provisions of any applicable statute of limitations or repose.
- 17.4. The duties and obligations imposed by these General Conditions and the rights and remedies available hereunder to the parties hereto, and, in particular but without limitation, the warranties, guarantees and obligations imposed upon CONTRACTOR by paragraphs 6.30, 13.1, 13.12, 13.14, 14.3 and 15.2 and all of the rights and remedies available to OWNER and

ENGINEER thereunder, are in addition to, and are not to be construed in any way as a limitation of, any rights and remedies available to any or all of them which are otherwise imposed or available by Laws or Regulations, by special warranty or guarantee or by other provisions of the Contract Documents, and the provisions of this paragraph will be as effective as if repeated specifically in the Contract Documents in connection with each particular duty, obligation, right and remedy to which they apply. All representatives, warranties and guarantees made in the Contract Documents will survive final payment and termination or completion of the Agreement.

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### 1. ENUMERATION OF PLANS, SPECIFICATIONS AND ADDENDA

2.

Following are the Plans, Specifications and Addenda which form a part of this contract, as set forth in Paragraph 1 of the General Conditions, "Contract and Contract Documents":

<b>DRAWIN</b> General	IGS Construction:			Nos.	1-4	7	
Heating	and Ventilating	1:		11			
Plumbing	-	•		" _			
Electrica	ıl:			" _			
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Heating a	- Iı	:		Page_ Page _ Page _	to		incl. incl. incl. incl. incl.
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	to Article 11.8 cash allowanc			ns, the Contr	ractor shall in	cludethe	
(a)	For		(Pa	ge	of Speci	fications)	\$
(b)	For		(Pa	ge	of Speci	fications)	\$
(c)	For		(Pa	ge	of Speci	fications)	\$
(d)	For		(Pa	ge	of Speci	fications)	\$
(e)	For		(Pa	ge	of Speci	fications)	\$
(f)	For		(Page	of S	Specifications	) \$	

#### 3. A. Payments to Contractor

- 1. To insure the proper performance of this contract, the Owner shall retain five percent (5%) of the amount of each estimate until final completion and acceptance of all work covered by this contract: <a href="Provided">Provided</a> that the Contractor shall submit his estimate not later than the first day of the month: <a href="Provided further">Provided</a> further that on completion and acceptance of each separate building, public work, or other division of the contract, on which the price is stated separately in the contract, payment may be made in full, including retained percentages thereon, less authorized deductions.
- 2. In preparing estimates the material delivered on the site and preparatory work done may be taken into consideration.
- 3. All material and work covered by partial payments made shall thereupon become the sole property of the Owner, but this provision shall not be construed as relieving the Contractor from the sole responsibility for the care and protection of materials and work upon which payments have been made or the restoration of any damaged work, or as a waiver of the right of the Owner to require the fulfillment of all of the terms of the contract.
- 4. Owner's Right to Withhold Certain Amounts and Make Application Thereof: The Contractor agrees that he will indemnify and save the Owner harmless from all claims growing out of the lawful demands of subcontractors, laborers, workers, mechanics, materialmen, and furnishers of machinery and parts thereof, equipment, power tools, and all supplies, including commissary, incurred in the furtherance of the performance of this contract. The Contractor shall, at the Owner's request, furnish satisfactory evidence that all obligations of the nature hereinabove designated have been paid, discharged, or waived. If the Contractor fails so to do, then the Owner may, after having served written notice on the said Contractor, either pay unpaid bills, of which the Owner has written notice, direct, or withhold from the Contractor's unpaid compensation a sum of money deemed reasonably sufficient to pay any and all such lawful claims until satisfactory evidence is furnished that all liabilities have been fully discharged whereupon payment to the Contractor shall be resumed, in accordance with the terms of this contract, but in no event shall the provisions of this sentence be construed to impose any obligations upon the Owner to either the Contractor or his Surety. In paying any unpaid bills of the Contractor, the Owner shall be deemed the agent of the Contractor, and any payment so made by the Owner shall be considered as a payment made under the contract by the Owner to the Contractor and the Owner shall not be liable to the Contractor for any such payments made in good faith.

#### B. Payments by Contractor

The Contractor shall pay (a) for all transportation and utility services not later than the 20th day of the calendar month following that in which services are rendered, (b) for all materials, tools, and other expendable equipment to the extent of ninety percent (90%) of the cost thereof, not later than the 20th day of the calendar month following that in which such materials, tools, and equipment are delivered at the site of the project, and the balance of the cost thereof, not later than the 30th day following the completion of that part of the work in or on which such materials, tools, and equipment are incorporated or used, and (c) to each of his subcontractors, not later than the 5th day following each payment to the Contractor, the respective amounts allowed the Contractor on account of the work performed by his subcontractors to the extent of each subcontractor's interest therein.

#### C. Time for Completion and Liquidated Damages

It is hereby understood and mutually agreed, by and between the Contractor and the Owner, that the date of beginning and the time for completion as specified in the contract of the work to be done hereunder are ESSENTIAL CONDITIONS of this contract; and it is further mutually understood and agreed that the work embraced in this contract shall be commenced on a date to be specified in the "Notice to Proceed".

The Contractor agrees that said work shall be prosecuted regularly, diligently, and uninterruptedly at such rate of progress as will insure full completion thereof within the time specified. It is expressly understood and agreed, by and between the Contractor and the Owner, that the time for the completion of the work described herein is a reasonable time for the completion of the same, taking into consideration the average climatic range and usual industrial conditions prevailing in this locality.

If the said Contractor shall neglect, fail or refuse to complete the work within the time herein specified, or any proper extension thereof granted by the Owner, then the Contractor does hereby agree, as a part consideration for the awarding of this contract, to pay to the Owner the amount specified in the contract, not as a penalty but as liquidated damages for such breach of contract as hereinafter set forth, for each and every calendar day that the Contractor shall be in default after the time stipulated in the contract for completing the work.

The said amount is fixed and agreed upon by and between the Contractor and the Owner because of the impracticability and extreme difficulty of fixing and ascertaining the actual damages the Owner would in such event sustain, and said amount is agreed to be the amount of damages which the Owner would sustain and said amount shall be retained from time to time by the Owner from current periodical estimates.

It is further agreed that time is of the essence of each and every portion of this contract and of the specifications wherein a definite and certain length of time is fixed for the performance of any act whatsoever; and where under the contract an additional time is allowed for the completion of any work, the new time limit fixed by such extension shall be of the essence of this contract. Provided that the Contractor shall not be charged with liquidated damages or any excess cost when the Owner determines that the Contractor is without fault and the Contractor's reasons for the time extension are acceptable to the Owner; Provided further that the Contractor shall not to be charged with liquidated damages or any excess cost when the delay in completion of the work is due:

- (a) To any preference, priority or allocation order duly issued by the Government.
- (b) To unforeseeable cause beyond the control and without the fault or negligence of the Contractor, including, but not restricted to, acts of God, or of the public enemy, acts of the Owner, acts of another Contractor in the performance of a contract with the Owner, fires, floods, epidemics, quarantine restrictions, strikes, freight embargoes, and severe weather; and
- (c) To any delays of Subcontractors or suppliers occasioned by any of the causes specified in subsections (a) and (b) of this article:

<u>Provided further</u> that the Contractor shall, within ten (10) days from the beginning of such delay, unless the Owner shall grant a further period of time prior to the date of final settlement of the contract, notify the Owner, in writing, of the delay and notify the Contractor within a reasonable time of its decision in the matter.

#### D. Protection of Lives and Health

"The Contractor shall exercise proper precaution at all times for the protection of persons and property and shall be responsible for all damages to persons or property, either on or off the site, which occur as a result of his prosecution of the work. The safety provisions of applicable laws and building and construction codes, in addition to specific safety and health regulations described by Chapter XIII, Bureau of Labor Standards, Department of Labor, Part 1518, Safety and Health Regulations for Construction, as outlined in the Federal Register, Volume 36, No. 75, Saturday, April 17, 1971. Title 29 - LABOR, shall be observed and the Contractor shall take or cause to be taken, such additional safety and health measures as the Contracting Authority may determine to be reasonably necessary."

#### E. Subcontracts

The contractor or subcontractor shall insert in any subcontracts the clauses contained in 29 CFR 5.5 (a)(1) through (10) and such other clauses as the (Department of Housing and Urban Development) may by appropriate instructions require, and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in 29 CFR 5.5.

#### F. Interest of Member of or Delegate to Congress

No member of or Delegate to Congress, or Resident Commissioner, shall be admitted to any share or part of this contract or to any benefit that may arise there from, but this provision shall not be construed to extend to this contract if made with a corporation for its general benefit.

#### G. Other Prohibited Interests

No official of the Owner who is authorized in such capacity and on behalf of the Owner to negotiate, make, accept or approve, or to take part in negotiating, making, accepting, or approving any architectural, engineering, inspection, construction or material supply contract or any subcontract in connection with the construction of the project, shall become directly or indirectly interested personally in this contract or in any part hereof. No officer, employee, architect, attorney, engineer or inspector of or for the Owner who is authorized in such capacity and on behalf of the Owner to exercise any legislative, executive, supervisory or other similar functions in connection with the construction of the project, shall become directly or indirectly interested personally in this contract or in any part thereof, any material supply contract, subcontract, insurance contract, or any other contract pertaining to the project.

#### H. Use and Occupancy Prior to Acceptance by Owner

The Contractor agrees to the use and occupancy of a portion or unit of the project before formal acceptance by the Owner, provided the Owner:

- (a) Secures written consent of the Contractor except in the event, in the opinion of the Architect/Engineer, the Contractor is chargeable with unwarranted delay in final clean-up of punch list items or other contract requirements.
- (b) Secures endorsement from the insurance carrier and consent of the surety permitting occupancy of the building or use of the project during the remaining period of construction, or,
- (c) When the project consists of more than one building, and one of the buildings is occupied, secures permanent fire and extended coverage insurance, including a permit to complete construction. Consent of the surety must also be obtained.

#### I. Photographs of the Project

If required by the Owner, the Contractor shall furnish photographs of the project, in the quantities and as described in the Supplemental General Conditions.

#### J. Suspension of Work

Should the Owner be prevented or enjoined from proceeding with work either before or after the start of construction by reason of any litigation or other reason beyond the control of the Owner, the Contractor shall not be entitled to make or assert claim for damage by reason of said delay; but time for completion of the work will be extended to such reasonable time as the Owner may determine will compensate for time lost by such delay with such determination to be set forth in writing.

#### 2. Certification of Eligibility.

- (i) By entering into this contract, the contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of Section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1) or to be awarded HUD contracts or participate in HUD programs pursuant to 24 CFR Part 24.
- (ii) No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of Section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1) or to be awarded HUD contracts or participate in HUD programs pursuant to 24 CFR Part 24.
- (iii) The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001. Additionally, U.S. Criminal Code, Section 1010, Title 18, U.S.C., "Federal Housing Administration transactions", provides in part "Whoever, for the purpose of ...influencing in any way the action of such Administration ...makes, utters or publishes any statement, knowing the same to be false ...shall be fined not more than \$5,000 or imprisoned not more than two years, or both."
- 3. Complaints, Proceedings, or Testimony by Employees.

No laborer or mechanic to whom the wage, salary, or other labor standards provisions of this Contract are applicable shall be discharged or in any other manner discriminated against by the Contractor or any subcontractor because such employee has filed any complaint or instituted or caused to be instituted any proceeding or has testified or is about to testify in any proceeding under or relating to the labor standards applicable under this Contract to his employer.

#### B. Contract Work Hours and Safety Standards Act

As used in this paragraph, the terms "laborers" and "mechanics" include watchmen and guards.

#### 1. Overtime requirements.

No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek.

2. Violation; liability for unpaid wages, liquidated damages.

In the event of any violation of the clause set forth in subparagraph (1) of this paragraph, the contractor and any subcontractor responsible therefore shall be liable for the unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in subparagraph (1) of this paragraph, in the sum of \$10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in subparagraph (1) of this paragraph.

#### 3. Withholding for unpaid wages and liquidated damages.

HUD or its designee shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contract, or any other Federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in subparagraph (2) of this paragraph.

#### 4. Subcontracts.

The contractor or subcontractor shall insert in any subcontracts the clauses set forth in subparagraph (1) through (4) of this paragraph and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in subparagraphs (1) through (4) of this paragraph.

#### C. Health and Safety

- No laborer or mechanic shall be required to work in surroundings or under working conditions which are unsanitary, hazardous, or dangerous to his health and safety as determined under construction safety and health standards promulgated by the Secretary of Labor by regulation.
- 2. The contractor shall comply with all regulations issued by the Secretary of Labor pursuant to Title 29 Part 1926 (formerly part 1518) and failure to comply may result in imposition of sanctions pursuant to the Contract Work Hours and Safety Standards Act (Public Law 91-54, 83 Stat. 96).
- 3. The Contractor shall include the provisions of this Article in every subcontract so that such provisions will be binding on each subcontractor. The Contractor shall take such action with respect to any subcontract as the Secretary of Housing and Urban Development or the Secretary of Labor shall direct as a means of enforcing such provisions.

#### 4. SPECIAL HAZARDS

The Contractor's and his Subcontractor's Public Liability and Property Damage Insurance shall provide adequate protection against the following special hazards:

## 5. CONTRACTOR'S AND SUBCONTRACTOR'S PUBLIC LIABILITY, VEHICLE LIABILITY, AND PROPERTY DAMAGE INSURANCE

As required under Article 5 of the General Conditions, the Contractor's Public Liability Insurance and Vehicle Liability Insurance shall be in an amount not less than \$\(\frac{1}{1000,000}\), or injuries, including accidental death, to any one person, and subject to the same limit for each person, in an amount not less than \$\(\frac{1}{1000,000}\), or account of one accident, and Contractor's Property Damage Insurance in an amount not less than \$\(\frac{1}{1000,000}\), or account of the same limit for each person, in an amount not less than \$\(\frac{1}{1000,000}\), or account of the same limit for each person, in an amount not less than \$\(\frac{1}{1000,000}\), or account of the same limit for each person, in an amount not less than \$\(\frac{1}{1000}\), or account of the same limit for each person, in an amount not less than \$\(\frac{1}{1000}\), or account of the same limit for each person, in an amount not less than \$\(\frac{1}{1000}\), or account of the same limit for each person, in an amount not less than \$\(\frac{1}{1000}\), or account of the same limit for each person, in an amount not less than \$\(\frac{1}{1000}\), or account of the same limit for each person in an amount not less than \$\(\frac{1}{1000}\), or account of the same limit for each person in an amount not less than \$\(\frac{1}{1000}\), or account of the same limit for each person in an amount not less than \$\(\frac{1}{1000}\), or account of the same limit for each person in an amount not less than \$\(\frac{1}{1000}\), or account of the same limit for each person in an amount not less than \$\(\frac{1}{1000}\), or account of the same limit for each person in an amount not less than \$\(\frac{1}{1000}\), or account of the same limit for each person in an amount not less than \$\(\frac{1}{1000}\), or account of the same limit for each person in a same limit for each person in an amount not less than \$\(\frac{1}{1000}\), or account of the same limit for each person in a same limit for each person in a same limit for each person in a same limit for e

The Contractor shall either (1) require each of his subcontractors to procure and to maintain during the life of his subcontract, Subcontractor's Public Liability and Property Damage Insurance of this type and in the same amounts as specified in the preceding paragraph, or (2) insure the activities of his subcontractors in his own policy.

#### 6. PHOTOGRAPHS OF PROJECT

As provided in Paragraph 3.I of the Supplemental General Conditions, the Contractor will furnish photographs in the number, type, and stage as enumerated below:

SCHEDULE OF OCCUPATIONAL CLASSIFICATIONS AND MINIMUM HOURLY WAGE RATES AS REQUIRED UNDER PARAGRAPH 4.B OF THE SUPPLEMENTAL GENERAL CONDITIONS
Given on Pages ////A_,and

#### 8. BUILDER'S RISK INSURANCE

As provided in the General Conditions, Article 5.6, the Contractor will/will not\*\* maintain Builder's Risk Insurance (fire and extended coverage) on a 100 percent completed value basis on the insurable portions of the project for the benefit of the Owner, the Contractor, and all Subcontractors, as their interests may appear.

\*\* Strike out one.

#### 9. SPECIAL EQUAL OPPORTUNITY PROVISIONS

A. Activities and Contracts Not Subject to Executive Order 11246, as Amended

(Applicable to Federally assisted construction contracts and related subcontracts \$10,000 and under.)

During the performance of this contract, the Contractor agrees as follows:

- 1. The Contractor shall not discriminate against any employee or applicant for employment because of race, color, religion, sex, or national origin. The Contractor shall take affirmative action to ensure that applicants for employment are employed, and that employees are treated during employment, without regard to their race, color, religion, sex, or national origin. Such action shall include, but not be limited to, the following: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship.
- 2. The Contractor shall post in conspicuous places, available to employees and applicants for employment, notices to be provided by Contracting Officer setting forth the provisions of this nondiscrimination clause. The Contractor shall state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, or national origin.
- 3. Contractors shall incorporate foregoing requirements in all subcontracts.
- B. Executive Order 11246 (contracts/subcontracts above \$10,000)
  - 1. Section 202 Equal Opportunity Clause

During the performance of this contract, the Contractor agrees as follows:

- a. The Contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, or national origin. The Contractor will take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without regard to their race, color, religion, sex, or national origin. Such action shall include, but not be limited to, the following: employment, upgrading, demotion, or transfer; recruitment, or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The Contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided setting forth the provisions of this nondiscrimination clause.
- b. The Contractor will, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, state that all qualified applicants will receive consideration without regard to race, color, religion, sex, or national origin.
- C. The Contractor will send to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding a notice to be provided by the Contract Compliance Officer advising the said labor union or workers' representatives of the Contractor's commitment under this section, and shall post copies of the notice in conspicuous places available to employees and applicants for employment.
- d. The Contractor will comply with all provisions of Executive Order 11246 of September 24, 1965, and of the rules, regulations, and relevant orders of the Secretary of Labor.
- e. The Contractor will furnish all information and reports required by Executive Order 11246 of September 24, 1965, and by rules, regulations, and orders of the Secretary of Labor, or pursuant thereto, and will permit access to his books, records, and accounts by the Department of the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and others.
- f. In the event of the Contractor's noncompliance with the nondiscrimination clauses of this contract or with any of the said rules, regulations, or orders, this contract may be cancelled, terminated, or suspended in whole or in part and the Contractor may be declared ineligible for further Government contracts in accordance with procedures authorized in Executive Order 11246 of September 24, 1965, or by rule, regulation, or order of the Secretary of Labor, or as otherwise provided by law.

- g. The Contractor will include the provisions of the sentence immediately preceding paragraph a. and the provisions of paragraphs a. throughg. in every subcontract or purchase order unless exempted by rules, regulations, orders of the Secretary of Labor issued pursuant to Section 204 of Executive Order 11246 of September 24, 1965, so that such provisions will be binding upon each subcontractor or vendor. The Contractor will take such action with respect to any subcontract or purchase order as the Department may direct as a means of enforcing such provisions, including sanctions for non-compliance. Provided, however, that in the event a contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Department, the Contractor may request the United States to enter into such litigation to protect the interest of the United States.
- 2. Notice of Requirement for Affirmative Action to ensure Equal Employment Opportunity (Executive Order 11246). (Applicable to contracts/subcontracts exceeding \$10,000.)
  - a. The Offeror's or Bidder's attention is called to the "Equal Opportunity Clause" and the "Standard Federal Equal Employment Opportunity Construction Contract Specifications" set forth herein.
  - b. The goals and timetables for minority and female participation, expressed in percentage terms for the Contractor's aggregate workforce in each trade on all construction work in the covered area, are as follows:

Goals for minority participation	Goals for female participation
Insert Goals	Insert Goals
6.6%	6,9%

NOTE: <u>THESE GOALS MUST BE PROVIDED.</u> Also, list <u>State</u> <u>Geographic Area to be covered on following page</u>.

These goals are applicable to all the Contractor's construction work (whether or not it is Federal or Federally assisted) performed in the covered area. If the Contractor performs construction work in a geographic area located outside of the covered area, it shall apply the goals established for such geographic area where the work is actually performed. With regard to this second area, the Contractor also is subject to the goals for both its Federally involved and non-Federally involved construction.

The contractor's compliance with the Executive Order and the regulations in 41 CFR Part 60-4 shall be based on its implementation of the Equal Opportunity Clause, specific affirmative action obligations required by the specifications set forth in 41 CFR 60-4.3(a), and its efforts to meet the goals established for the geographical area where the contract resulting from this solicitation is to be performed. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade, and the Contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from Contractor to Contractor or from project to project for the sole purpose of meeting the Contractor's goals shall be a violation of the contract, the Executive Order, and the regulations in 41 CFR Part 60-4. Compliance with the goals will be measured against the total work hours performed.

- c. The Contractor shall provide written notification to the Director of the Office of Federal Contract Compliance Programs within 10 working days of award of any construction subcontract in excess of \$10,000 at any tier for construction work under the contract resulting from this solicitation. The notification shall list the name, address, and telephone number of the subcontractor; employer identification number; estimated dollar amount of the subcontract; estimated starting and completion dates of the subcontract; and the geographical area in which the contract is to be performed.
- 3. Standard Federal Equal Employment Opportunity Construction Contract Specifications (Executive Order 11246)
  - a. As used in these specifications:
    - (1) "Covered area" means the geographical area described in the solicitation from which this contract resulted:
    - (2) "Director" means Director, Office of Federal Contract Compliance Programs, United States Department of Labor, or any person to whom the Director delegates authority;
    - (3) "Employer identification number" means the federal social security number used on the Employer's Quarterly Federal Tax Return, U.S. Treasury Department Form 941;
    - (4) "Minority" includes:
      - (a) Black (all persons having origins in any of the Black African racial groups not of Hispanic origin);

- (b) Hispanic (all persons of Mexican, Puerto Rican, Cuban, Central or South America or other Spanish Culture or origin, regardless of race);
- (c) Asian and Pacific Islander (all persons having origins in any of the original peoples of the Far East, Southeast Asia, the Indian Subcontinent, or the Pacific Islands);
- (d) American Indian or Alaskan Native (all persons having origins in any of the original peoples of North America and maintaining identifiable tribal affiliations through membership and participation or community identification).
- b. Whenever the Contractor, or any Subcontractor at any tier, subcontracts a portion of the work involving any construction trade, it shall physically include in each subcontract in excess of \$10,000 the provisions of these specifications and the Notice which contains the applicable goals for minority and female participation and which is set forth in the solicitations from which this contract resulted.
- If the Contractor is participating (pursuant to 41 CFR 60-C. 4.5) in a Hometown Plan approved by the U.S. Department of Labor in the covered area either individually or through an association, its affirmative action obligations on all work in the Plan area (including goals and timetables) shall be in accordance with that Plan for those trades which have unions participating in the Plan. Contractors must be able to demonstrate their participation in and compliance with the provisions of any such Hometown Plan. Each Contractor or Subcontractor participating in an approved Plan is individually required to comply with its obligations under the EEO clause, and to make a good faith effort to achieve each goal under the Plan in each trade in which it has employees. The overall good faith performance by other Contractors or Subcontractors toward a goal in an approved Plan does not excuse any covered Contractor's or Subcontractor's failure to take good faith efforts to achieve the Plan goals and timetables.

- d. The Contractor shall implement the specific affirmative action standards provided in paragraphs q.(1) through (16) of these specifications. The goals set forth in the solicitation from which this contract resulted are expressed as percentages of the total hours of employment and training of minority and female utilization the Contractor should reasonably be able to achieve in each construction trade in which it has employees in the covered area. Covered construction contractors performing contracts in geographical areas where they do not have a Federal or Federally-assisted construction contract shall apply the minority and female goals established for the geographic area where the contract is being performed. Goals are published periodically in the Federal Register in notice form and such notices may be obtained from any Office of Federal Contract Compliance Programs office or from Federal procurement contracting officers. The Contractor is expected to make substantially uniform progress in meeting its goals in each craft during the period specified.
- e. Neither the provisions of any collective bargaining agreement, nor the failure by a union with whom the Contractor has a collective bargaining agreement, to refer either minorities or women shall excuse the Contractor's obligations under these specifications, Executive Order 11246, or the regulations promulgated pursuant thereto.
- f. In order for the nonworking training hours of apprentices and trainees to be counted in meeting the goals, such apprentices and trainees must be employed by the Contractor during the training period, and the Contractor must have made a commitment to employ the apprentices and trainees at the completion of their training, subject to the availability of employment opportunities. Trainees must be trained pursuant to training programs approved by the U.S. Department of Labor.
- g. The Contractor shall take specific affirmative actions to ensure equal employment opportunity. The evaluation of the Contractor's compliance with these specifications shall be based upon its effort to achieve maximum results from its actions. The Contractor shall document these efforts fully, and shall implement affirmative action steps at least as extensive as the following:

- (1) Ensure and maintain a working environment free of harassment, intimidation, and coercion at all sites, and in all facilities at which the Contractor's employees are assigned to work. The Contractor, where possible, will assign two or more women to each construction project. The Contractor shall specifically ensure that all foremen, superintendents, and other on-site supervisory personnel are aware of and carry out the Contractor's obligation to maintain such a working environment, with specific attention to minority or female individuals working at such sites or in such facilities.
- (2) Establish and maintain a current list of minority and female recruitment sources, provide written notification to minority and female recruitment sources and to community organizations when the Contractor or its union have employment opportunities available, and maintain a record of the organization's responses.
- (3) Maintain a current file of the names, addresses, and telephone numbers of each minority and female off-the-street applicant and minority or female referral from a union, a recruitment source, or community organization and of what action was taken with respect to each such individual. If such individual was sent to the union hiring hall for referral and was not referred back to the Contractor by the union or, if referred, not employed by the Contractor, this shall be documented in the file with the reason therefore, along with whatever additional actions the Contractor may have taken.
- (4) Provide immediate written notification to the Director when the union or unions with which the Contractor has a collective bargaining agreement has not referred to the Contractor a minority person or woman sent by the Contractor, or when the Contractor has other information that the union referral process has impeded the Contractor's efforts to meet its obligations.
- (5) Develop on-the-job training opportunities and/or participate in training programs for the area which expressly include minorities and women, including upgrading programs and apprenticeship and trainee programs relevant to the Contractor's employment needs, especially those programs funded or approved by the Department of Labor. The Contractor shall provide notice of these programs to the sources compiled under g.(2) above.

- (6) Disseminate the Contractor's EEO policy by providing notice of the policy to unions and training programs and requesting their cooperation in assisting the Contractor in meeting its EEO obligations; by including it in any policy manual and collective bargaining agreement; by publicizing it in the company newspaper, annual report, etc.; by specific review of the policy with all management personnel and with all minority and female employees at least once a year; and by posting the company's EEO policy on bulletin boards accessible to all employees at each location where construction work is performed.
- (7) Review, at least annually, the company's EEO policy and affirmative action obligations under these specifications with all employees having any responsibility for hiring, assignment, layoff, termination, or other employment decisions including specific review of these items with on-site supervisory personnel such as Superintendents, General Foremen, etc., prior to the initiation of construction work at any job site. A written record shall be made and maintained identifying the time and place of these meetings, persons attending, subject matter discussed, and disposition of the subject matter.
- (8) Disseminate the Contractor's EEO policy externally by including it in any advertising in the news media, specifically including minority and female news media, and providing written notification to and discussing the Contractor's EEO policy with other Contractors and Subcontractors with whom the Contractor does or anticipates doing business.
- (9) Direct its recruitment efforts, both oral and written, to minority, female and community organizations, to schools with minority and female students and to minority and female recruitment and training organizations serving the Contractor's recruitment area and employment needs. Not later than one month prior to the date of the acceptance of applications for apprenticeship or other training by any recruitment source, the Contractor shall send written notification to organizations such as the above, describing the openings, screening procedures, and tests to be used in the selection process.
- (10) Encourage present minority and female employees to recruit other minority persons and women and, where reasonable, provide after school, summer, and vacation employment to minority and female youth both on the site and in other areas of a Contractor's work force.

- (11) Validate all tests and other selection requirements where there is an obligation to do so under 41 CFR part 60-3.
- (12) Conduct, at least annually, an inventory and evaluation at least of all minority and female personnel for promotional opportunities and encourage these employees to seek or to prepare for, through appropriate training, etc., such opportunities.
- (13) Ensure that seniority practices, job classifications, work assignments and other personnel practices, do not have a discriminatory effect by continually monitoring all personnel and employment related activities to ensure that the EEO policy and the Contractor's obligations under these specifications are being carried out.
- (14) Ensure that all facilities and company activities are nonsegregated except that separate or single-user toilet and necessary changing facilities shall be provided to assure privacy between the sexes.
- (15) Document and maintain a record of all solicitations of offers for subcontracts from minority and female construction contractors and suppliers, including circulation of solicitations to minority and female contractor associations and other business associations.
- (16) Conduct a review, at least annually, of all supervisors' adherence to and performance under the Contractor's EEO policies and affirmative action obligations.
- h. Contractors are encouraged to participate in voluntary associations which assist in fulfilling one or more of their affirmative action obligations g.(1) through (16). The efforts of a contractor association, joint contractor-union, contractor-community, or other similar group of which the Contractor is a member and participant, may be asserted as fulfilling any one or more of its obligations under g.(1) through (16) of these Specifications provided that the Contractor actively participates in the group, makes every effort to assure that the group has a positive impact on the employment of minorities and women in the industry, ensures that the concrete benefits of the program are reflected in the Contractor's minority and female workforce participation, makes a good faith effort to meet its individual goals and timetables, and can provide access to documentation which demonstrates the effectiveness of actions taken on behalf of the Contractor. The obligation shall not be a defense for the Contractor's non-compliance.

- i. A single goal for minorities and a separate single goal for women have been established. The Contractor, however, is required to provide equal employment opportunity and to take affirmative action for all minority groups, both male and female, and all women, both minority and nonminority. Consequently, the Contractor may be in violation of the Executive Order if a particular group is employed in a substantially disparate manner (for example, even though the Contractor has achieved its goals for women generally the Contractor may be in violation of the Executive Order if a specific minority group of women is underutilized).
- j. The Contractor shall not use the goals and timetables or affirmative action standards to discriminate against any person because of race, color, religion, sex, or national origin.
- k. The Contractor shall not enter into any Subcontract with any person or firm debarred from Government contracts pursuant to Executive Order 11246.
- I. The Contractor shall carry out such sanctions and penalties for violation of these specifications and of the Equal Opportunity Clause, including suspension, termination and cancellation of existing subcontracts as may be imposed or ordered pursuant to Executive Order 11246, as amended, and its implementing regulations, by the Office of Federal Contract Compliance Programs. Any contractor who fails to carry out such sanctions and penalties shall be in violation of these specifications and Executive Order 11246, as amended.
- m. The Contractor, in fulfilling its obligations under these specifications, shall implement specific affirmative action steps, at least as extensive as those standards prescribed in paragraph g. of these specifications, so as to achieve maximum results from its efforts to ensure equal employment opportunity. If the Contractor fails to comply with the requirements of the Executive Order, the implementing regulations, or these specifications, the Director shall proceed in accordance with 41 CFR 60-4.8.

- n. The Contractor shall designate a responsible official to monitor all employment related activity to ensure that the company's EEO policy is being carried out, to submit reports relating to the provisions hereof as may be required by the Government and to keep records. Records shall at least include for each employee, the name, address, telephone numbers, construction trade, union affiliation if any, employee identification number where assigned. social security number, race, sex, status (e.g., mechanic, apprentice trainee, helper, or laborer), dates of changes in status, hours worked per week in the indicated trade, rate of pay, and location at which the work was performed. Records shall be maintained in an easily understandable and retrievable form; however, to the degree that existing records satisfy this requirement, contractor shall not be required to maintain separate records.
- o. Nothing herein provided shall be construed as a limitation upon the application of other laws which establish different standards of compliance or upon the application of requirements for the hiring of local or other area residents (e.g., those under the Public Works Employment Act of 1977 and the Community Development Block Grant Program).

#### C. Certification of Nonsegregated Facilities (Over \$10,000)

By the submission of this bid, the bidder, offeror, applicant or subcontractor certifies that he/she does not maintain or provide for his/her employees any segregated facility at any of his/her establishments, and that he/she does not permit employees to perform their services at any location, under his/her control, where segregated facilities are maintained. He/She certifies further that he/she will not maintain or provide for employees any segregated facilities at any of his/her establishments. and he/she will not permit employees to perform their services at any location under his/her control where segregated facilities are maintained. The bidder, offeror, applicant or subcontractor agrees that a breach of this certification is a violation of the Equal Opportunity Clause of this contract. As used in this certification, the term "segregated facilities" means any waiting rooms, work areas, rest rooms and wash rooms, restaurants and other eating areas, time clocks, locker rooms, and other storage or dressing areas, \*\*\*transportation and housing facilities provided for employees which are segregated on the basis of race, color, religion, or are in fact segregated on the basis of race, color, religion, or otherwise. He/She further agrees that (except where he/she has obtained identical certifications from proposed subcontractors for specific time periods) he/she will obtain identical certification from proposed subcontractors prior to the award of subcontracts exceeding \$10,000 which are not exempt from the provisions of the Equal Opportunity Clause: that he/she will retain such certifications in his/her files; and that he/she will forward the following notice to such proposed subcontractors (except where proposed subcontractors have submitted identical certifications for specific time periods).

#### D. Civil Rights Act of 1964

Under Title VI of the Civil Rights Act of 1964, no person shall, on the grounds of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving Federal financial assistance.

#### E. Section 109 of the Housing and Community Development Act of 1974

No person in the United States shall on the grounds of race, color, national origin, or sex be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity funded in whole or in part with funds made available under this title.

#### F. "The Section 3 Clause"

- 1. The work to be performed under this contract is on a project assisted under a program providing direct Federal financial assistance from the Department of Housing and Urban Development and is subject to the requirements of section 3 of the Housing Urban Development Act of 1968, as amended, 12 U.S.C. 1701u. Section 3 requires that to the greatest extent feasible, opportunities for training and employment be given to lower income residents of the area of the Section 3 covered project, and contracts for work in connection with the project be awarded to business concerns which are located in, or owned in substantial part by persons residing in the area of the Section 3 covered project.
- 2. The parties to this contract will comply with the provisions of said Section 3 and the regulations issued pursuant thereto by the Secretary of Housing and Urban Development set forth in 24 Part CFR 135, and all applicable rules and orders of the Department issued thereunder prior to the execution of this contract. The parties to this contract certify and agree that they are under no contractual or other disability which would prevent them from complying with these requirements.
- 3. The contractor will send to each labor organization or representative of workers with which he has a collective bargaining agreement or other contract or understanding, if any, a notice advising the said labor organization or workers' representative of his commitments under this Section 3 clause and shall post copies of the notice in conspicuous places available to employees and applicants for employment or training.
- 4. The contractor will include this Section 3 clause in every subcontract for work in connection with the project and will, at the direction of the applicant for or recipient of Federal Financial assistance, take appropriate action pursuant to the subcontract upon a finding that the subcontractor is in violation of regulations issued by the Secretary of Housing and Urban Development, 24 CFR Part 135. The contractor will not subcontract with any subcontractor where it has notice or knowledge that the latter has been found in violation of regulations under 24 CFR part 135 and will not let any subcontract unless the subcontractor has first provided it with a preliminary statement of ability to comply with the requirements of these regulations.

5. Compliance with the provisions of Section 3, the regulations set forth in 24 CFR Part 135, and all applicable rules and orders of the Department issued thereunder prior to the execution of the contract, shall be a condition of the Federal financial assistance provided to the project, binding upon the applicant or recipient, its contractors and subcontractors, its successors, and assigns to those sanctions specified by the grant or loan agreement or contract through which Federal assistance is provided, and to such sanctions as are specified by 24 CFR Part 135.

#### G. Age Discrimination Act of 1975

No person in the United States shall, on the basis of age, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under, any program or activity receiving Federal financial assistance.

#### H. Section 504 Handicapped

Non-Discrimination for Handicapped Workers

No otherwise qualified handicapped individual in the U.S., as defined in Section 7, Paragraph 6 of the Rehabilitation Act of 1973 shall, solely by reason of this handicap, be excluded from the participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving Federal financial assistance.

#### 10. CERTIFICATION OF COMPLIANCE WITH AIR AND WATER ACTS

(Applicable to Federally assisted construction contracts and related subcontracts exceeding \$100,000)

#### Compliance with Air and Water Acts

During the performance of this contract the contractor and all subcontractors shall comply with the requirements of the Clean Air Act, as amended, 42 USC 1857 et seq., the Federal Water Pollution Control Act, as amended, 33 USC 1251 et seq., and the regulations of the Environmental Protection Agency with respect thereto, at 40 CFR Part 15, as amended.

In addition to the foregoing requirements, all nonexempt contractors and subcontractors shall furnish to the Owner, the following:

1. A stipulation by the Contractor or subcontractors, that any facility to be utilized in the performance of any nonexempt contract or subcontract, is not listed on the List of Violating Facilities issued by the Environmental Protection Agency (EPA) pursuant to 40 CFR 15.20.

- 2. Agreement by the Contractor to comply with all the requirements of Section 114 of the Clean Air Act, as amended, (42 USC 1857c-8) and Section 308 of the Federal Water Pollution Control Act, as amended, (33 USC 1318) relating to inspection, monitoring, entry, reports and information, as well as all other requirements specified in said Section 114 and Section 308, and all regulations and guidelines issued thereunder.
- 3. A stipulation that as a condition for the award of the contract, prompt notice will be given of any notification received from the Director, Office of Federal Activities, EPA, indicating that a facility utilized, or to be utilized for the contract, is under consideration to be listed on the EPA List of Violating Facilities.
- 4. Agreement by the Contractor that he will include, or cause to be included, the criteria and requirements in paragraph (1) through (4) of this section in every nonexempt subcontract and requiring that the Contractor will take such action as the Government may direct as a means of enforcing such provisions.

## 11. SPECIAL CONDITIONS PERTAINING TO HAZARDS, SAFETY STANDARDS AND ACCIDENT PREVENTION

#### A. <u>Lead-Based Paint Hazards</u>

(Applicable to contracts for construction or rehabilitation of residential structures.) The construction or rehabilitation of residential structures is subject to the HUD Lead-Based Paint regulations, 24 CFR Part 35. The Contractor and Subcontractors shall comply with the provisions for the elimination of lead-base paint hazards under sub-part B of said regulations. The Owner will be responsible for the inspections and certifications required under Section 35.14(f) thereof.

#### B. Use of Explosives (Modify as Required)

When the use of explosives is necessary for the prosecution of the work, the Contractor shall observe all local, state and Federal laws in purchasing and handling explosives. The Contractor shall take all necessary precaution to protect completed work, neighboring property, water lines, or other underground structures. Where there is danger to structures or property from blasting, the charges shall be reduced and the material shall be covered with suitable timber, steel or rope mats.

The Contractor shall notify all owners of public utility property of intention to use explosives at least eight hours before blasting is done, close to such property. Any supervision or direction of use of explosives by the engineer, does not in any way reduce the responsibility of the Contractor or his Surety for damages that may be caused by such use.

C. Danger Signals and Safety Devices (Modify as Required)

The Contractor shall make all necessary precautions to guard against damages to property and injury to persons. He shall put up and maintain in good condition, sufficient red or warning lights at night, suitable barricades and other devices necessary to protect the public. In case the Contractor fails or neglects to take such precautions, the Owner may have such lights and barricades installed and charge the cost of this work to the Contractor. Such action by the Owner does not relieve the Contractor of any liability incurred under these specifications or contract.

#### 12. FLOOD DISASTER PROTECTION

This Contract is subject to the requirements of the Flood Disaster Protection Act of 1973 (P.L. 93-234). Nothing included as a part of this Contract is approved for acquisition or construction purposes as defined under Section 3(a) of said Act, for use in an area identified by the Secretary of HUD as having special flood hazards which is located in a community not then in compliance with the requirements for participation in the national flood insurance program pursuant to Section 201(d) of said Act; and the use of any assistance provided under this Contract for such acquisition or construction in such identified areas in communities then participating in the national flood insurance program shall be subject to the mandatory purchase of flood insurance requirements of Section 102(a) of said Act.

Any contract or agreement for the sale, lease, or other transfer of land acquired, cleared or improved with assistance provided under the Contract shall contain, if such land is located in an area identified by the Secretary as having special flood hazards and in which the sale of flood insurance has been made available under the National Flood Insurance Act of 1968, as amended, 42 U.S.C. 4001 et seq., provisions obligating the transferee and its successors or assigns to obtain and maintain, during the ownership of such land, such flood insurance as required with respect to financial assistance for acquisition or construction purposes under Section 102(a) of the Flood Disaster Protection Act of 1973.

#### 13. ACCESS TO RECORDS/MAINTENANCE OF RECORDS

The Contractor shall maintain accounts and records, including personnel, property, and financial records, adequate to identify and account for all costs pertaining to the contract and such other records as may be deemed necessary by the locality to assure proper accounting for all funds. These records will be available for audit purposes to the locality or the State or any other authorized representative, and will be retained for three years after contract completion unless permission to destroy them is granted by the locality. Moreover, the locality, State, or any authorized representative shall have access to any books, documents, papers, and records of the Contractor which are directly pertinent to this contract for the purpose of making audit, examination, excerpts, and transcriptions.

## 14. CONFLICT OF INTEREST OF OFFICERS OR EMPLOYEES OF THE LOCAL JURISDICTION, MEMBERS OF THE LOCAL GOVERNING BODY, OR OTHER PUBLIC OFFICIALS

No officer or employee of the local jurisdiction or its designees or agents, no member of the governing body, and no other public official of the locality who exercises any function or responsibility with respect to this contract, during his/her tenure or for one year thereafter, shall have any interest, direct or indirect, in any contract or subcontract, or the proceeds thereof, for work to be performed. Further, the contractor shall cause to be incorporated in all subcontracts the language set forth in this paragraph prohibiting conflict of interest.

#### 15. DRUG-FREE WORKPLACE

Under the provisions of Tennessee Code Annotate § 50-9-113 enacted by the General Assembly effective 2001, a) employers with five (5) or more employees who contract with either the state or a local government to provide construction services are required to submit an affidavit stating that they have a drug free workplace program that complies with Title 50, Chapter 9, in effect at the time of submission of a bid at least to the extent required of governmental entities. The statute, imposes other requirements on the contractor, but the grantee's responsibility is specifically limited in section (b) of the state as follows:

(b) A written affidavit by the principal officer of a covered employer provided to a local government at the time such bid or contract is submitted stating that the employer is in compliance with this section shall absolve the local government of all further responsibility under this section and any liability arising from the employer's compliance or failure of compliance with the provisions of this section.

# CERTIFICATES TO BE SIGNED AND DATED AND SUBMITTED WITH THE BID

## ACKNOWLEDGEMENT REGARDING BIDDER SAM REGISTRATION

Pursuant to 2 CFR Parts 183 and 215 and the requirement of the U.S. Department of Housing and Urban Development (HUD), contractors procured directly by grantees, sub-grantees, and/or sub-recipients of HUD funds, are required to have an active registration in the System of Award Management (SAM). This document shall be completed and submitted as part of the bid proposal.

- 1. By submitting this proposal, the prospective bidder acknowledges that it must have an active SAM UEI (Unique Entity ID) to be awarded this contract and that without an active SAM UEI the bidder's proposal may be disallowed.
- 2. By submitting this proposal, the prospective bidder certifies neither it, its principals nor affiliates, is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- 3. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that an erroneous certification was rendered, in addition to other remedies available to the Federal Government, the Department or agency with which this transaction originated may pursue available remedies.
- 4. Further, the prospective bidder shall provide immediate written notice to the person to which this proposal is submitted if at any time the Participant learns that this certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- 5. By submitting this proposal, it is agreed that should the proposed covered transaction be entered into, the prospective bidder will not knowingly enter into any lower-tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction unless authorized by the agency with which this transaction originated.
- 6. It is further agreed that by submitting this proposal, the prospective bidder will include Certification of Subcontractor Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion without modification, in all lower-tier covered transactions and in all solicitations for lower-tier covered transactions.

Provide the following information as detailed in the prospective bidder's SAM registration:

Entity Name:	
Address:	
City:	State: Zip:
SAM Entity ID:	Expiration Date:
Active Exclusions: Yes No	

# CERTIFICATION OF BIDDER REGARDING EQUAL EMPLOYMENT OPPORTUNITY

This certification is required pursuant to Executive Order 11246 (30 F. R. 12319-25). The implementing rules and regulations provide that any bidder or prospective contractor, or any of their proposed subcontractors, shall state as an initial part of the bid or negotiations of the contract whether it has participated in any previous contract or subcontract subject to the equal opportunity clause; and, if so, whether it has filed all compliance reports due under applicable instructions.

Where the certification indicates that the bidder has not filed a compliance report due under applicable instructions, such bidder shall be required to submit a compliance report within seven calendar days after bid opening. No contract shall be awarded unless such report is submitted.

Condidio adio a las Distales.

<u> </u>	ertification by Bidder		
Bi	dder/Firm:		
Αc	ddress:		
Ci	ty:	State	Zip
1.	Bidder has participated in a previous contract or subcontract subject to the Equal Opportunity Claus	Yes No	
2.	Compliance reports were required to be filed in connection with such contract or subcontract.	Yes No	
3.	Bidder has filed all compliance reports due under applicable instructions, including SF-100.	Yes No	None Req.
4.	Have you ever been or are you being considered for sanction due to violation of Executive Order 112 as amended?	Yes No	
Bio	dder Name:	Title:	
Sid	anature:	Date:	

# CERTIFICATION OF BIDDER REGARDING USE OF FEMALE/MINORITY SUBCONTRACTORS

This certification is required for the contractor to demonstrate that when subcontractors are to be used on this project, an attempt will be made to utilize female/minority owned firms.

Documentation must be on file to show who has been contacted.

<u>Certification by Bidder</u>		
Bidder/Firm:		
Address:		
City:		
I, female/minority contractors on this project.	, certify that every attemp	ot was made to utilize
Bidder Name:	Title:	
Signature:	Date:	

## CERTIFICATION OF SUBCONTRACTOR REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND EXCLUSION

Pursuant to 2 CFR Parts 183, 215, and 2424, and the requirement of the U.S. Department of Housing and Urban Development (HUD), subcontractors for projects that are funded in whole or in part by HUD funds must provide information concerning the entity's debarment, suspension, ineligibility or exclusion status. This document shall be completed and provided to the prime contractor.

- 1. By signing and submitting this proposal, the prospective lower-tier participant certifies that neither it, its principals nor affiliates, is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency. Further, the Participant provides the certification set out below:
- 2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that an erroneous certification was rendered, in addition to other remedies available to the Federal Government, the Department or agency with which this transaction originated may pursue available remedies.
- 3. Further, the Participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the Participant learns that this certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- 4. By submitting this document, it is agreed that should the proposed covered transaction be entered into, the Participant will not knowingly enter into any lower-tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction unless authorized by the agency with which this transaction originated.

The subcontracting entity may satisfy the requirement of this document via one of the two options below:

#### **Option 1: SAM.gov Active Registration**

Entity Name:	
Address:	
City:	
SAM Entity ID:	Expiration Date:
Active Exclusions: Yes No	
Option 2: Signed Certification	
Entity Name:	
Address:	
City:	
Entity Representative:	Title:
Signatura	

# **CERTIFICATION OF BIDDER REGARDING SECTION 3 AND SEGREGATED FACILITIES**

NAME OF PRIME	CONTRACTOR:	
PROJECT NUMBI	≣R:	
The undersigned h	nereby certifies that	
<ul><li>Section 3 p</li></ul>	rovisions are included in the Contra	act.
comply with     repo     repo     repo     repo     Prov	project exceeds \$200,000 of EDI as a all Section 3 requirements detailed rting total labor hours worked, rting total labor hours worked by S rting total labor hours worked by T iding documentation of Section 3 we he project under the covered contri	ed in the EDI Manual, including: ection 3 workers, argeted Section 3 workers, worker status as required for all workers
<ul><li>No segrega</li><li>Act of 1964</li></ul>		required by Title VI of the Civil Rights
Name & Title of Sig	gner (Print or Type)	
Signature		Date

## DRUG-FREE WORKPLACE AFFIDAVIT

Sī	ΓΑΤΕ OF
C	DUNTY OF
en	ne undersigned, principal officer of, an neployer of five (5) or more employees contracting with, an overnment to provide construction services, hereby states under oath as follows:
1.	The undersigned is a principal officer of(hereinafter referred to as the "Company"), and is duly authorized to execute this Affidavit on behalf of the Company.
2.	The Company submits this Affidavit pursuant to T.C.A. § 50-9-113, which requires each employer with no less than five (5) employees receiving pay who contracts with the state or any local government to provide construction services to submit an affidavit stating that such employer has a drug-free workplace program that complies with Title 50, Chapter 9, of the Tennessee Code Annotated.
3.	The Company is in compliance with T.C.A. § 50-9-113.
Fu	rther affiant saith not.
Pri	incipal Officer
ST	ATE OF
CC	DUNTY OF
pe ac	fore me personally appeared, with whom I am rsonally acquainted (or proved to me on the basis of satisfactory evidence), and who knowledged that such person executed the foregoing affidavit for the purposes therein ntained.
Wi	tness my hand and seal at office thisday of, 20
	Notary Public
Mν	v commission expires:

# CERTIFICATION BY PROPOSED SUBCONTRACTOR REGARDING EQUAL EMPLOYMENT OPPORTUNITY

N	AME OF PRIME CONTRACTOR:		
PF	ROJECT NUMBER:		
im the wh	nis certification is required pursuant to Executive Content plementing rules and regulations provide that any biguit proposed subcontractors, shall state as an initial pate ther it has participated in any previous contract or subuse; and, if so, whether it has filed all compliance rep	dder or prospect rt of the bid or no bcontract subject	ctive contractor, or any of egotiations of the contract ct to the equal opportunity
un	here the certification indicates that the subcontracto der applicable instructions, such subcontractor shall be fore the owner approves the subcontract or permits w	e required to su	ıbmit a compliance report
<u>sı</u>	JBCONTRACTOR'S CERTIFICATION		
Sι	bcontractor Name:		
Ad	dress:		
Cit	y:	State	Zip
1.	Bidder has participated in a previous contract or subcontract subject to the Equal Opportunity Clause.		No
2.	Compliance reports were required to be filed in connection with such contract or subcontract.	Yes	No
3.	Bidder has filed all compliance reports due under applicable instructions, including SF-100.	Yes	No None Req.
4.	Have you ever been or are you being considered for sanction due to violation of Executive Order 1124 as amended?		No
Na	me:	Title:	
Sic	inature:	Date:	

# CERTIFICATION OF PROPOSED SUBCONTRACTOR REGARDING SECTION 3 AND SEGREGATED FACILITIES

NAME OF SUBCONTRACTOR:	
PROJECT NUMBER:	
The undersigned hereby certifies that	
<ul> <li>Section 3 provisions are included in the Collins</li> <li>If contract equals or exceeds \$200,000, the requirements, including:         <ul> <li>reporting total labor hours worked,</li> <li>reporting total labor hours worked be reporting total labor hours worked be Providing documentation of Section the project under the covered contract.</li> </ul> </li> </ul>	y Section 3 workers, y Targeted Section 3 workers, 3 worker status as required for all workers for
<ul><li>No segregated facilities will be maintained 1964.</li></ul>	as required by Title VI of the Civil Rights Act of
Name & Title of Signer (Print or Type)	
Signature	Date

# STATEMENT OF COMPLIANCE CERTIFICATE ILLEGAL IMMIGRANT

#### EACH CONTRACTOR BIDDING SHALL FILL IN AND SIGN THE FOLLOWING

Bido	der Name:		
Add	ress:		
City	:	State	Zip
This fully	is to certify thatcomplied with all the requirements of T.C.A. § 12	2-3-309, stating:	have
(1)	No state governmental entity shall contract to a who knowingly utilizes the services of illegal improved goods or services entered into with a state governmental entity shall contract to a whole state governmental entity shall be a state governmental entity shall be	nigrants in the perfo	
(2)	No person may contract to supply goods or services to a state governmental entity if person knowingly utilizes the services of illegal immigrants in the performance of a control to supply goods or services entered into with the state or a state entity.		
exec	Bidders for construction services on this project cuting this compliance document) as part of their be requirements of T.C.A. § 12-3-309.		
Nam	ne:	Title:	
Sian	ature.	Date:	

### **CERTIFICATION OF NON-BOYCOTT OF ISRAEL**

The Bidder certifies that it is not currently engaged in and will not for the duration of the contract engage in, a boycott of Israel as defined by Tenn. Code Ann. § 12-4-119. This provision shall not apply to contracts with a total value of less than two hundred fifty thousand dollars (\$250,000) or to contractors with less than ten (10) employees.

According to the law, a boycott of Israel means engaging in refusals to deal, terminating business activities, or other commercial actions that are intended to limit commercial relations with Israel, or companies doing business in or with Israel or authorized by, licensed by, or organized under the laws of the State of Israel to do business, or persons or entities doing business in Israel, when such actions are taken:

- 1) In compliance with, or adherence to, calls for a boycott of Israel, or
- 2) In a manner that discriminates on the basis of nationality, national origin, religion, or other unreasonable basis, and is not based on a valid business reason. Tenn. Code Ann. § 12-4-119.

I certify this statement to be true and correct.	
Bidder Name Printed	Date
Signature of Bidder	Company

## IRAN DIVESTMENT ACT

In compliance with the Iran Divestment Act (State of Tennessee 2016, Public Chapter No. 817), which became effective on July 1, 2016, certification is required of all bidders on contracts over \$1,000.

By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party hereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief that each bidder is not on the list created pursuant to T.C.A. § 12-12-106.

ement to be true and
Signature of Bidder
Company
nor shall award be made where the provided, however, that if in any case the bidder shall so state and shall furnish with all the reasons therefor. The <b>City/County of</b> cannot make the certification, on case-by-
made before July 1, 2016, the investment or reviewed on or after July 1, 2016, and the implementing a formal plan to cease the from engaging in any new investments in
makes a determination that the goods or unty ofto han exemption, the political subdivision will es for which the contract is offered. Such shall be a public document.

#### Central Procurement Office

#### **NOTICE**

Tenn. Code Ann. § 12-12-106 requires the chief procurement officer to publish, using credible information freely available to the public, a list of persons it determines engage in investment activities in Iran, as described in § 12-12-105.

For these purposes, the State intends to use the attached list of "Entities determined to be non-responsive bidders/offerers pursuant to the New York State Iran Divestment Act of 2012."

While inclusion on this list would make a person ineligible to contract with the state of Tennessee, if a person ceases its engagement in investment activities in Iran, it may be removed from the list.

If you feel as though you have been erroneously included on this list please contact the Central Procurement Office at <a href="mailto:CPO.Website@tn.gov">CPO.Website@tn.gov</a>.

List Date: May 4, 2022

Source: <a href="https://www.ogs.ny.gov/iran-divestment-act-2012">https://www.ogs.ny.gov/iran-divestment-act-2012</a>

- 1. Ak Makina, Ltd.
- 2. Amona
- 3. Bank Markazi Iran (Central Bank of Iran)
- 4. Bank Mellat
- 5. Bank Melli Iran
- 6. Bank Saderat Iran
- 7. Bank Sepah
- 8. Bank Tejarat
- China Precision Machinery Import- Export Corporation (CPMIEC)
- 10. ChinaOil (China National United Oil Corporation)
- 11. China National Offshore Oil Corporation (CNOOC)
- 12. China National Petroleum Corporation (CNPC)
- 13. Indian Oil Corporation
- 14. Kingdream PLC
- 15. Naftiran Intertrade Co. (NICO)
- National Iranian Tanker Co. (NITC)
- 17. Oil and Natural Gas Corporation (ONGC)
- 18. Oil India, Ltd.
- 19. Persia International Bank
- 20. Petroleos de Venezuela (PDVSA Petróleo, SA)
- 21. PetroChina Co., Ltd.
- 22. Petronet LNG, Ltd.
- 23. Sameh Afzar Tajak Co. (SATCO)
- 24. Shandong FIN CNC Machine Co., Ltd.

- 25. Sinohydro Co., Ltd.
- 26. Sinopec Corp. (China Petroleum & Chemical Corporation)
- 27. SKS Ventures
- 28. SK Energy Co., Ltd.
- 29. Som Petrol AS
- 30. Unipec (China International United Petroleum & Chemicals Co., Ltd.)
- 31. Zhuhai Zhenrong Co.



## STATE OF TENNESSEE BYRD ANTI-LOBBYING AMENDMENT CERTIFICATION

Contractors who apply or bid for an award of \$100,000 or more shall file the required certification. Each tier certifies to the tier above that it will not and has not used Federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, officer or employee of Congress, or an employee of a Member of Congress in connection with obtaining any Federal contract, grant, or any other award covered by 31 U.S.C. § 1352.

Each tier shall also disclose any lobbying with non-Federal funds that takes place in connection with obtaining any Federal award. Such disclosures are forwarded from tier to tier up to the recipient who in turn will forward the certification(s) to the awarding agency.

APPENDIX A, 44 C.F.R. PART 18 – CERTIFICATION REGARDING LOBBYING – REQUIRED FOR CONTRACTS

OVER \$100,000 Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

□ No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
☐ If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
☐ The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

The Contractor certifies or affirms the truthfulness and accuracy of each statement of its certification and disclosure, if any. In addition, the Contractor understands and agrees that the provisions of 31 U.S.C. Chap. 38, Administrative Remedies for False Claims and Statements, apply to this certification and disclosure, if any.

Signature of Authorized Representative	Date
Printed Name and Title	Phone Number / Email Address

#### SPECIFICATIONS

## THE CITY OF FRIENDSVILLE DOWNTOWN SEWER IMPROVEMENTS - PHASE 1

CITY OF

**FRIENDSVILLE** 

Contract No. 1

Robert J. Colvin, P.E. 293 Dogwood Lane Jacksboro, TN 37757 (865) 599-2389 E-Mail: bobcolvin32@yahoo.com

# TECHNICAL SPECIFICATIONS

## DIVISION 1: GENERAL REQUIREMENTS

#### SECTION 01010

#### SUMMARY OF WORK

#### PART 1 - GENERAL

#### 1.01 DESCRIPTION OF WORK

A. The work of this contract consists of labor, materials, and equipment and installing and testing sewer force main and various bores and appurtenances, as shown. This work is being performed to the standards of the CITY OF FRIENDSVILLE.

#### 1.02 QUALITY ASSURANCE

A. Contractor shall comply with codes, ordinances, rules, regulations, orders and other legal requirements of public authorities which bear on the performance of the work.

#### 1.03 JOB CONDITIONS

- A. Except as specifically noted, Contractor shall provide and pay for:
  - 1. Labor, materials, tools and equipment.
  - 2. Concrete, bedding stone, and incidentals.
  - 3. Water, heat and utilities required for construction.
  - 4. Other facilities and services necessary for proper execution and completion of the work.
- B. Contractor shall secure and pay for, as necessary for proper execution and completion of work, and as applicable at time of receipt of bids, all temporary:
  - 1. Permits
  - 2. Government Fees Coordination with the City of Friendsville Street Department for work within Friendsville right-of-way.
  - 3. Licenses

- C. Contractor shall give notices to Owners of adjacent property and utilities when prosecution of the work may affect them. Utilities and other concerned agencies shall be contacted at least 24 hours prior to cutting or closing streets or other traffic areas or excavating near underground utilities or pole lines.
- D. The rights-of-way for the force main will be provided by Owner. Contractor shall confine his construction operations within the limits indicated on the drawings, and shall use due care in placing construction tools, equipment, excavated materials, and pipeline materials and supplies, so as to cause the least possible damage to property and interference with traffic.
- E. There shall be continuous clean-up on the job such that no more than 500 feet of force main shall be laid at a time before the construction area is cleaned of all rock other than for driveways and all involved yards are seeded and straight. If less than 500 feet is constructed in a day, then clean-up shall occur daily as prescribed above unless weather does not permit as determined by the project inspector. Final restoration of all property shall be performed to as good or better condition than before construction.

#### 1.04 WORK SEQUENCE

- A. During performance of this project the Contractor shall:
  - 1. Coordinate the construction schedule and operations with the Owner and the Tennessee Department of Transportation.
  - 2. Construct the new force main and bores within the limits of TDOT or Friendsville right-of-way with minimum interference or interruption of existing utilities. Provided permission from the Owner is obtained in advance, service may be interrupted for short periods during periods of low demand. Work shall proceed continuously (around the clock) if necessary to complete operations in the minimum time. Operation of valves or other appurtenances on existing utilities, when required, shall be by or under the direct supervision of the Friendsville.
  - 3. Contact Owner prior to any blasting work. Contractor shall be responsible for any damage caused as a result of blasting.
  - 4. Survey all road crossings and critical depth installations on the presence of and to the satisfaction of the owner and Engineer.
- B. Construct the Work in stages to provide for public convenience. Do not close off public use of roads or facilities until completion of one stage of construction will provide alternative access.
- C. Construct the Work to the following sequence unless prior written consent of the OWNER is obtained:

- 1. Install all erosion control measures. The approved SWPPP/ARAP must be followed and records maintained on site by the contractor.
- 2. Force Main Work shall begin on Third Street at the beginning connection and proceed until the ending connection or similar terminus. The Contractor shall make the connection at the beginning of the project as well as all plug valve/check valve assemblies, air release valve assemblies, and the connection to existing force main line as appropriate. Testing shall be performed by the Contractor, and the contractor shall do no other work after installation unless a leak is determined from testing and then the contractor must correct any leaks caused by their workmanship.
- D. Coordinate all work with all the local utility companies regarding overhead and underground utilities. The Contractor shall be responsible for any and all damage caused to the existing utilities resulting from the construction of the force main.

#### 1.05 CONTRACTOR'S USE OF PREMISES

- A. Contractor shall limit his use of the premises for work and for storage, and shall allow for:
  - 1. Owner's access.
  - 2. Public use.
  - 3. Other construction and utility contractors.
- B. Coordinate use of premises and utilities under direction of Owner's representative.
- C. Assume full responsibility for the protection and safekeeping of materials under this Contract, stored on or near the site.
- D. Move any stored products, under Contractor's control, which may interfere with operations of the Owner or separate Contractor.
- E. Construct temporary fence as necessary in all areas that contain animals to keep animals away from construction activities during the period of construction.
- F. All surveying for sewer work shall be done and paid for by the contract as part of the price for various items of force main construction.

#### 1.06 BENEFICIAL USE BY OWNER

A. Owner may use completed portions of the force main during the entire

period of construction as they become available. Contractor shall cooperate with Owner's representative in all construction operations to minimize conflict, and to facilitate Owner usage. Owner must maintain uninterrupted service in the existing force main or sewer line (except during scheduled tie-ins) and other utilities in the project area.

- B. Contractor shall at all times conduct his operations as to ensure the least inconvenience to the general public.
  - 1. Coordinate work with the Tennessee Department of Transportation.
  - 2. Coordinate work with Owner and local telephone, gas and power companies.

#### 1.07 UNFAVORABLE CONSTRUCTION CONDITIONS

A. During unfavorable weather, wet ground, or other unsuitable construction conditions, the Contractor shall confine his operations to work which will not be affected adversely by such conditions. No portion of the Work shall be constructed under conditions which would affect adversely the quality or efficiency thereof, unless special means or precautions are taken by Contractor to perform the work in a proper and satisfactory manner.

#### 1.08 CUTTING AND PATCHING

A. Contractor shall perform all cutting and patching required for the Work, and as may be necessary in connection with uncovering Work for inspection or for the correction of defective Work.

#### PART 2 - SALVAGED MATERIALS

A. In the absence of special provisions to the Contract, salvaged materials, equipment or supplies that occur are the property of the City of Friendsville, and shall be cleaned and stored in a workmanship like manner. Surplus excavated materials remain the property of the Owner and shall be spoiled in a workmanship like manner.

#### PART 3-MAINTAINING TRAFFIC

A. Traffic shall be maintained on all roads and streets which must be crossed by the force main. If the open cut method is used, two separate cuts shall be made, keeping one lane open to traffic at all times.

#### **END OF SECTION**

#### SECTION 01031

#### SUMMARY OF WORK

#### PART 1 - GENERAL

#### 1.01 ACCESS TO PROJECT

A. The project shall be accessible at all times to representatives of the Tennessee Department of Environment and Conservation. The Tennessee Department of Transportation, the City of Friendsville, and any other state, local or federal regulatory agencies.

#### 1.02 MANUFACTURERS' QUALIFICATIONS

A. The manufacturers of all materials and equipment used must be reputable and regularly engaged in the manufacture of the particular material or equipment for the use and service to which it will be subjected.

#### 1.03 CONTRACTOR SHALL PAY FOR ALL LABORATORY INSPECTION SERVICE

A. All materials and equipment used in the construction of the project shall be subject to adequate inspection and testing in accordance with accepted standards. The laboratory or inspection agency shall be selected by the Contractor and approved by the Owner. Pay for all laboratory inspection services as a part of the Contract. Submit all material test reports to the Owner in triplicate.

#### 1.04 COMPLIANCE WITH STATE AND LOCAL LAWS

- A. Comply with all applicable requirements of state and local laws and ordinances to the extent that such requirements do not conflict with federal laws or regulations.
- B. The Contractor will secure any and all permits.

#### 1.05 PROTECTION OF PUBLIC AND PRIVATE PROPERTY

A. Take special care in working areas to protect public and private property. The Contractor shall replace or repair at his own expense any damaged water pipes, power and communication lines, or other public utilities, roads, curbs, gutters, sidewalks, drain pipes, drainage ditches, and all plantings, including grass or sod on the site of the work. Leave the site in original or better condition after all cleanup work has been done.

#### 1.6 MARKERS

A. Preserve all USGS, TVA, State of Tennessee, and private markers; do not remove or disturb any such markers without prior approval from the Owner. Any removal and replacement of such markers shall be at the expense of the Contractor.

#### 1.7 PAVEMENT REPAIR AND/OR REPLACEMENT

- A. Repair and/or replace asphalt and concrete driveways, walks, parking areas, shoulders, crushed stone or gravel streets and roads, etc. damaged and/or disturbed during construction.
- B. Whenever pipe trenches are cut across or along existing pavement or shoulders, backfill same and restore traffic over the cuts as quickly as possible by constructing a temporary six-inch (6") surface of crushed stone. Add material and otherwise maintain such surface until the permanent pavement is restored or until the entire project is accepted.

#### 1.8 APPROVED CHEMICALS

A. All chemicals used during project construction or furnished for project operation, whether herbicide, pesticide, disinfectant, polymer, reactant, or of other classification, must show approval of either EPA or USDA. The use of all such chemicals and the disposal of residues shall be in strict conformance with instructions.

#### 1.9 DRAWINGS OF RECORD

A. Provide and keep up-to-date a complete record set of record drawing prints, which shall be corrected daily to show every change, and the approved shop drawings. Keep this set of prints at the job site, and use only as a record set. This shall not be construed as authorization for the Contractor to make changes in the approved layout without definite instructions in each case. Turn the set over to the Owner upon completion of the project.

#### 1.10 PRESERVATION OF EXISTING VEGETATION

A. Take reasonable care during construction to avoid damage to vegetation. Where the area to be excavated is occupied by trees, brush, or other uncultivated vegetable growth, clear such growth from the area, and dispose of it in a satisfactory manner. Leave undisturbed any trees, cultivated shrubs, flowers, etc., situated within public rights-of-way and/or easements through private property but not located directly within excavation limits. Transplant small ornamental trees, cultivated shrubs, flowers, etc., located directly within

excavation limits so they may be replaced during property restoration operations. Do not remove or disturb any tree larger than 6 inches in diameter without the permission of the Owner. Take special precautions (including the provision of barricades and the temporary tying back of shrubbery and tree branches) for the protection and preservation of such objects throughout all stages of construction; the Contractor will be held liable for any damage that may result to said objects from excavation or construction operations. Trim any limbs or branches of trees broken during construction operations with a clean cut, and paint with an approved tree pruning compound. Treat tree trunks receiving damage from equipment with a tree dressing.

#### 1,11 UTILITIES

A. The Contractor is to contact the Owner of all underground utilities before beginning construction in the area. Carefully protect from damage all utilities in the vicinity of the work at all times. If it is necessary to repair, remove, and/or replace any such utility in order to complete the work properly, do so in compliance with the rules and regulations of the particular utility involved. Any such work shall be considered incidental to the construction or repairs of utility lines, and no additional payment will be allowed.

#### 1.12 CATALOG DATA FOR OWNERS

- A. Provide duplicate complete, bound sets of a compilation of catalog data of each manufactured item of mechanical and electrical equipment used in the work, and present this compilation to the Design Engineer for transmittal to the Owner before payment of more than ninety-five percent (95%) is made. Include descriptive data and printed installation, operating, and maintenance instructions (including a parts list for each item of equipment). Provide a complete double index as follows.
- B. Listing the products alphabetically by name.
- C. Listing alphabetically the names of manufacturers whose products have been incorporated in the work, together with their addresses and the names and addresses of the local sales representative.

#### 1.13 PRECONSTRUCTION SURVEY

A. The Contractor shall video tape existing site prior to construction. Document existing damage to structures and slopes located along project route. Preconstruction survey shall be considered incidental to the project, and no additional payment will be allowed.

#### 1.14 PROTECTION OF LIVES AND HEALTH

- A. In accordance with generally accepted construction practices, the Contractor will be solely and completely responsible for conditions at the job site, including the safety of all persons and property during performance of the work. This requirement will apply continuously and not be limited to normal working hours.
- B. The Contractor shall comply with the Department of Labor Safety and Health Regulations for construction promulgated under the Occupational Safety and Health Act of 1970 (PL 91-596) and under Section 107 of the Contract Work Hours and Safety Standards Act (PL 91-54). The duty of the Design Engineer to conduct construction review of the Contractor's performance is not intended to include review of the adequacy of the Contractor's safety measures in, on, or near the construction site, nor to relieve the Contractor of his obligation to conduct comprehensive inspection of the work sufficient to ensure conformance with the intent of the contract documents.

#### 1.15 SAFETY AND CONVENIENCE

- A. The Contractor shall do all work necessary to protect the general public from hazards, including but not limited to surface irregularities or un-ramped grade changes in pedestrian sidewalks and trenches or excavations in roadway. Barricades with warning lights, lanterns, and proper signs shall be furnished in sufficient amount to safeguard the public and the Work. All barricades and signs shall be clean and serviceable.
- B. During construction, the Contractor shall construct, and at all times maintain satisfactory and substantial temporary safety fencing, chain link fencing, solid fencing, railing barricades and/or steel plates as applicable at all excavations, obstructions or other hazards in streets, sidewalks, and walkways. All such barricades shall have adequate painted or flagged markings and warning lights as necessary or required for safety.
- C. The Contractor shall provide flagmen or other personnel who shall be responsible for supporting safety and local resident convenience issues.
- 2. PRODUCTS

**NOT USED** 

3. EXECUTION

**NOT USED** 

**END OF SECTION** 

#### SECTION 01150

#### MEASUREMENT AND PAYMENT

#### 1.01 GENERAL

- A. For the information and guidance of bidders, the following explanation of the bid form items is made. The omission of reference to any items in this description shall not, however, alter the intent of the bid form or relieve the Contractor of the necessity of furnishing such items as part of the contract. The Owner reserves the right to increase or decrease the quantity of any class or portion of the work during the progress of construction in accordance with the terms of the contract.
- B. The basis of payment or work and materials shall be the actual amount of work completed, including but not limited to, the furnishing of all necessary labor, materials, equipment, transportation, clean up, and all other appurtenances to complete the construction and installation of the work to the configuration and extent as shown on the drawings and as described in the specifications.

#### 2.01 FORCE MAIN SEWER

Payment for furnishing and installing force main sewer will be made at the contract unit price for the total project including force main for the type and size of pipe in place and shall include all necessary labor, equipment and materials for the furnishing and installing the sewer; rip rap as necessary, dewatering; check dams, adapters, fittings, concrete encasements, concrete anchors; excavation of all material encountered including rock bedding; backfill; trench stabilization; copper wire and metallic foil; replacement of grass, sod, asphalt and concrete paving; and other surface materials not specifically designated on the bid form; clean up; restoration of fencing, paved and gravel driveways and roads, road shoulder stone; ductile iron pipe for crossings; repair of existing utilities damaged during construction and testing. No separate payment will be made for any item or work associated with any part of the force main installation that included erosion control and other items included in the Storm Water Pollution Prevention Plan for the project and videotaping of the project. Measurements of the pipe for force main sewer will be to the nearest foot along the centerline of the pipe. Traffic control shall be considered a part of the unit price of sewer. Payment for miscellaneous fittings shall not be made under a separate item. The cost for furnishing and installing any and all fittings shall be included in the unit price per foot for force main including restraint at all fittings.

#### 2.02 PLUG VALVE/CHECK VALVE ASSEMBLY - MAIN LINE

Payment for furnishing, installing and testing each new plug valve/check valve assembly along the main force main shall be made at the contract unit price and shall include, but not be limited to plug valve, check valve, connection fittings, concrete vault with top, all components necessary to install the assembly and appurtenances within the vault where shown on the project plans as part of the overall unit price for each plug valve/check valve assembly according to the

specified size. The item includes from the inlet side of each vault to the discharge side of each vault and all components within that area. A 2" size assembly shall include a ball valve instead of a plug valve.

# 2.03 BALL VALVE/CHECK VALVE ASSEMBLY - SERVICE LINE

Payment for furnishing, installing, and testing each ball valve/check valve assembly for each service line shall be made at the contract unit price for the project and shall include, but not limited to work including the installation of a ball valve/check inside a plastic vault large enough to house both components essentially set at the property line for the property to be served. All ball valve/check valve assemblies shall be 1-1/2" diameter.

# 2.04 SERVICE LINE CONNECTION

Payment for the service line connection shall be made at the contract unit price for each installation. Price shall include furnishing all materials and equipment required to tap the force main and installation of a stainless steel ball valve with threaded nipple from the ball valve to a stainless steel saddle.

# 2.05 SERVICE LINE

Payment for this item shall be for furnishing all materials and equipment to properly install 1-1/2" SDR 9 HDPE CTS service line from the service line connection to the service line ball valve/check valve assembly. Payment shall be made at the contact unit price per foot of pipe for the project. At least 15' of service line shall be provided for each service installation. A service on the opposite side of the road from the main force main shall be bored in a 3" or 4" SDR 9 HDPE casing with a 1-1/2" carrier. Payment for a long side service line includes the bore and the carrier and casing pipe on a linear foot unit price basis.

# 2.06 CRUSHED STONE (TRENCH STABILIZATION)

Payment for furnishing and placement of trench stabilization crushed stone shall not be made as part of the contract unit price per linear foot of line but shall include, but not be limited to, all cost for material, delivery and placement in trenches for pipe bedding and elsewhere to bring a pipe ditch back to grade where unsuitable foundation conditions are encountered as directed by the owner's inspector. Payment under this item shall be made for stone furnished for bedding as specified and in repair of existing highway and road crossings, road shoulder repair and restoration of drives and other surface improvements.

# 2.07 CONNECTION TO MANHOLE

Payment for a connection to the existing manhole shall be made as a lump sum bid item. The cost for furnishing and installing any connection shall be included in the contract unit price per lump sum including coring and booting the manhole and making a water tight seal at the connection.

# 2.08 PAVEMENT REPLACEMENT

Payment shall include all materials and labor to cut and replace any existing pavement as necessary, and shall be paid at the price per linear foot along the course of construction. Pavement shall include the same amount of base stone and pavement that was existing before construction, and to the length and width of the existing pavement area. The same type of base stone and pavement encountered before construction shall be replaced with the same type and depth of materials.

# 2.09 MISCELLANEOUS CONCRETE

Concrete for encasement of pipe, fittings and under valves will not be measured for payment as a part of the force main line installation. Payment for miscellaneous concrete shall not be made under a separate proposed item from the cost of furnishing and installing force main, footings and under valves as part of the price for the project.

# 2.10 TEMPORARY EROSION CONTROL

Payment for furnishing and installing but not limited to drainage structures, silt fence, stone dams, grassing and erosion blanket will be made for and shall include all necessary labor and materials for the furnishing and installation, maintenance and removal of Erosion Control Measures in the overall project unit price for the project.

# 2.11 CONCRETE CURB REPLACEMENT

Payment for installing any concrete curb removed during force main construction shall be made at the contract unit price per linear foot. The installation shall include a concrete curb to match the existing curb, and all excavation and backfill.

# 2.12 END OF LINE FLUSHING ASSEMBLY

Payment for installing an end of line flushing assembly shall be paid by the contract unit price per each installation and shall include all fittings, valves, and pipe to complete an installation to the size indicated, and including all components in the detail show on the project plans for this item.

# 2.13 DIRECTIONAL BORE CROSSINGS BY HDPE

Where required by the agency having jurisdiction, or where directed by the owner, creek, culvert, or road crossings shall be directionally bored. Payment for these crossings shall be made at the contract unit price per linear foot. Price shall include furnishing all labor, materials and equipment required to bore under the creek, culvert, or roadway including the HDPE casing and carrier pipe, casing spacers, end seals, excavation of bore pits, and all other requirements. Some directional bores will be constructed without casing, casing spacers, and end seals.

#### 2.14 AIR RELEASE VAVES

Payment for furnishing and installing air release valves shall be made at the contract unit price and shall include, but not be limited to, tapping of the force main; all excavation; crushed stone; backfill; furnishing and installing a saddle, an ARI sewer air release valve, fittings; labor; materials; valve boxes, valves; and expendables necessary to install each air release valve shown on the drawings as a complete and operational unit. Fittings shall include a 2" long 3/4" nipple on both sides of a shutoff valve. The air release valve shall be housed in a jumbo meter box as approved by the City of Friendsville.

# 2.15 FREE BORE OF CONCRETE DRIVEWAYS

Concrete driveways shall be free bored by boring a hole under the driveway and pushing a class 200 PVC line under the driveway. Price shall include all labor, materials, and equipment to bore under a driveway, and be paid by the contract price per linear foot for the length of the bore.

# SECTION 01302 SUBMITTALS AND SUBSTITUTIONS

#### GENERAL

# 1.1 SUMMARY

#### A. Work Included

- 1. Wherever possible throughout the contract documents, the minimum acceptable quality of workmanship and materials has been defined by a manufacturer's name and catalogue number, reference to recognized industry and government standards, or description of required attributes and performance.
- 2. To ensure that the specified products are furnished and installed in accordance with the design intent, procedures have been established for advance submittal of design data and for their review by the Owner.
- 3. Make all submittals required by the Contract Documents, and revise and resubmit as necessary to establish compliance with the specified requirements.

#### B. Related Work Described Elsewhere

1. Individual requirements for submittals are described in other pertinent sections of these specifications.

#### 1.2 SUBMITTALS

#### A. Identification of Submittals

- 1. General: Consecutively number all submittals.
- 2. Internal Identification: On at least the first page of each copy of each submittal, clearly indicate the submittal number in which the item was included.
- 3. Resubmittals: When material is resubmitted for any reason, transmit under a new letter of transmittal utilizing the original submittal number followed by an A, B, C, etc., depending on the number of resubmittals of the original submittal required.

#### B. Shop Drawings and Coordination of Drawings

 Deliver or mail all submittals to: City of Friendsville
 213 West College Avenue Friendsville, TN 37737 2. Make submittals in strict accordance with the provisions of this section.

#### 1.1 QUALITY ASSURANCE

#### A. Coordination of Submittals

- 1. Prior to each submittal, carefully review and coordinate all aspects of each item being submitted, and verify that each item and the submittal for it conforms in all respects with the requirements of the bidding instruments.
- 2. Shop drawings and submittals shall bear the stamp of approval of the Contractor as evidence that this coordination has been performed.

## 1.4 SUBMITTAL SCHEDULE

# A. Timing of Submittals

#### 1. General:

- a. Make all submittals far enough in advance of scheduled dates for installation to provide all time required for reviews, for securing necessary approvals, for possible revisions and resubmittals, and for placing orders and securing delivery.
- b. Submit shop drawings in accordance with the approved schedule of shop drawing submittals.
- 2. Owner's Review Time: In scheduling, allow at least 20 calendar days for review by the Owner following his receipt of the submittal.
- 3. Delays: Delays caused by tardiness in receipt of submittals will not be an acceptable basis for extension of the contract completion date.

#### 1.5 SUBSTITUTIONS

# A. Approval Required

- 1. The contract is based on the standards of quality established in the contract documents.
- 2. All products proposed for use, including those specified by required attributes and performance shall require approval by the Owner before being incorporated into the work.
- 3. Do not substitute materials, equipment, or methods unless such substitution has been specifically approved for this work by the Owner.

# B. "Or Equal"

- 1. Where the phrase "or equal" or "or approved equal" occurs in the contract documents do not assume that materials, equipment, or methods will be approved as equal unless the item has been specifically approved for this work by the Owner.
- 2. The decision of the Owner shall be final.
- 3. See pertinent portions of the contract documents for additional information relating to substitutions.
- 2. PRODUCTS

**NOT USED** 

3. EXECUTION

NOT USED

# SECTION 01568 EROSION CONTROL

#### 1, GENERAL

#### 1.1 DESCRIPTION

- A. This work shall consist of erosion control on all cut and fill operations, excavation, backfill, or other construction activities within the limits of the construction site, within any temporary or permanent easements, and within any borrow site used during the period of construction. The protection of these sites shall continue throughout the construction period. During flood seasons, protect the sites by sandbagging, pumping water, and any other means appropriate to restrain flooding of neighboring streets and properties. During dry weather, sprinkle the sites with water and/or other means as necessary to provide dust control.
- B. The temporary pollution control provisions contained herein shall be coordinated with the permanent erosion control features, to ensure economical, effective, and continuous erosion control throughout the construction and post-construction period.
- C. It is the intent of this section to provide a written plan to ensure that PL 100-4, Section 319, TCA 69-3-101, et. Seg., Subsection 69-3-108 and Subsection 69-3-114, and Division of Construction Grants and Loans General Permit for Utility Line Crossings, Chapter 1200-4-7.09 are met. Since the Contractor is responsible for the construction means and methods which in turn are responsible for ensuring that construction does not harm the Waters of Tennessee, the Contractor is solely responsible for ensuring that the above-mentioned laws and regulations are met. It shall be the CONTRACTOR'S sole responsibility for payment of any fines or penalties the City of Friendsville may receive as a result of Tennessee Department of Environment and Conservation (TDEC) enforcement due to a notice of noncompliance.

#### PRODUCTS

#### 2.1 TEMPORARY BERMS

A. These berms are used temporarily at the top or base of newly constructed slopes to prevent excessive erosion until permanent controls are installed or slopes stabilized.

#### 2.2 TEMPORARY SLOPE DRAINS

A. A temporary slope drain is a facility consisting of stone gutters, fiber mats, plastic sheets, concrete or asphalt gutters, half round pipe, metal pipe, plastic pipe, sod, or other material that may be used to carry water down slopes to reduce erosion.

# 2.3 SEDIMENT STRUCTURES

A. Sediment basins, ponds, and traps are prepared storage areas constructed to trap and store sediment from erodible areas in order to protect properties and stream channels below the construction areas from excessive siltation.

#### 2.4 CHECK DAMS

A. Check dams are barriers composed of large stones, sand bags, or other noncorrodible materials placed across or partially crossing a natural or constructed drainway.

# 2.5 CHECK DAM (ALTERNATE): ENVIROBERM SYNTHETIC POROUS SEDIMENT CONTROL STRUCURES

A. EnviroBerm Synthetic Porous Sediment Control Structures are barriers composed of synthetic porous material placed across or partially crossing a natural or constructed drainway.

#### 2.6 TEMPORARY SEEDING AND MULCHING

A. Temporary seeding and mulching are measures consisting of seeding, mulching, fertilizing, and matting utilized to reduce siltation and erosion. All cut and fill slopes including waste sites and borrow pits shall be seeded when and where necessary to eliminate erosion.

#### 2.7 BALED HAY

A. Baled hay is a temporary measure to control erosion and prevent siltation. Bales shall be either hay or straw containing 5 cubic feet or more of material. Bales shall be staked.

# 2.8 TEMPORARY SILT FENCES

A. Silt fences are temporary sediment barriers consisting of a filter fabric stretched across and attached to supporting posts and entrenched. The silt fence is constructed of synthetic filter fabric, posts, and depending upon the strength of the fabric used, wire fence for support. The filter barrier is constructed of stakes and burlap or synthetic filter fabric.

# 2.9 TEMPORARY CONSTRUCTION ENTRANCE

A. A temporary construction entrance consisting of crushed stone with a geotextile filter fabric underlining is utilized to reduce or eliminate tracking of material by construction vehicles onto public streets.

#### 2.10 TEMPORARY INLET PROTECTION

A. Temporary inlet protection consisting of washed stone, filter fabric, wire mesh, and concrete blocks is utilized to prevent sediment from entering the storm drainage system, prior to temporary or permanent stabilization of the construction area.

#### 2.11 RIP-RAP APRON

A. A rip-rap apron consisting of large, loose, angular stone with a geotextile filter fabric underlining is utilized to reduce stormwater velocity and dissipate the energy of flow leaving a storm drain before it empties into receiving channels, and to armor erodible materials.

#### 2.12 PERMANENT SEEDING AND MULCHING

A. Permanent seeding and mulching are measures consisting of seeding, mulching, fertilizing, hydroseeding, and matting utilized to reduce siltation and erosion. All disturbed areas shall be stabilized upon completion of construction operations. See Sections 02920 – "Turf and Grasses" and 02930 – "Plants" for permanent seeding and planting requirements.

#### EXECUTION

#### 3.1 PROJECT REVIEW

- A. It is the responsibility of the Contractor to prepare an approved Stormwater Pollution Prevention Plan (SWPPP) and to develop additional erosion controls as necessary that are acceptable to the Owner and to applicable regulatory agencies. If at any time the Owner deems it necessary, the Contractor shall provide additional erosion devices. The site shall be provided with maximum protection from erosion at all times.
- B. If the Contractor desires to stockpile construction materials, stone, earth, etc., the location of same and the protection thereof shall be defined and incorporated into the SWPPP.

# 3.2 CONSTRUCTION REQUIREMENTS

- A. In the event of conflict between these requirements and pollution control laws, rules or regulations, or other Federal, State, or Local agencies, the more restrictive laws, rules, or regulations shall apply.
- B. In streets and other paved areas, remove excavated material from the site as construction progresses to prevent any erosion of this material.

- C. In other areas, place the excavated material so as not to block any drainage areas. Replace excavated material in the trench immediately after work has been completed and approved by the Owner.
- D. Retain natural vegetation whenever feasible.
- E. Restore and cover exposed areas subject to erosion as quickly as possible by means of seeding and mulching. Use diversion ditches or other methods as appropriate to prevent storm water from running over the exposed area until seeding is established as specified. Erosion control matting may be necessary as required by the Owner.
- F. Take particular care along drainage ditches so that fallen trees, debris, and excavated material will not adversely affect the stream flow. Exercise care to minimize the destruction of drainage ditches. Wherever the drainage ditches are affected by construction, the contractor must repair the drainage ditches to provide a suitable condition for vegetative protection. Minimize land exposure in terms of area and time.
- G. Take care during the placing of pavement, hauling of materials, etc., to keep vehicles from creating a severe erosion problem. Proper scheduling of operations and prompt repair of ruts created during this operation is necessary from this source.

#### 3.3 CONSTRUCTION OF STRUCTURES

- A. Temporary Berm (See Figure 1)
  - 1. The maximum allowable drainage area is 5 acres.
  - 2. The minimum allowable height measured from the upslope side of the berm is 18 inches.
  - 3. Side slopes should be 1.5:1 or flatter. (Minimum base width of 4.5 feet).
  - 4. The channel behind the berm shall have a positive grade to a stabilized outlet. If the channel slope is less than or equal to 2 percent, the channel shall be stabilized.

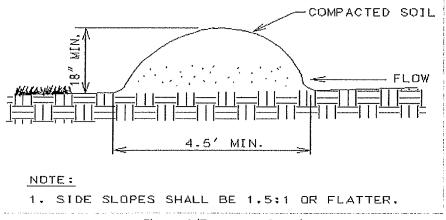


Figure 1 (Temporary Berm)

# B. Temporary Slope Drains

- Temporary slope drains shall consist of stone gutters, fiber mats, plastic sheets, concrete or asphalt gutters, half round pipe, metal pipe, plastic pipe, flexible rubber, or other materials which can be used as temporary measures to carry water accumulating in the cuts and on the fills down the slopes prior to installation of permanent facilities or growth of adequate ground cover on the slopes.
- 2. Plastic sheeting shall not be used on slopes steeper than 4:1 except for short distances of 20 feet or less.
- 3. All temporary slope drains shall be adequately anchored to the slope to prevent disruption by the force of the water flowing in the drains. The base for temporary slope drains shall be compacted and concavely formed to channel the water or hold the slope drain in place. The inlet end shall be properly constructed to channel water into the temporary slope drain. Energy dissipaters, sediment basins, or other approved devices shall be constructed at the outlet end of the slope drains to reduce erosion downstream. An ideal dissipater would be dumped rock or a small sediment basin which would slow the water as well as pick up some sediment. All temporary slope drains shall be removed when no longer necessary.

# C. Sediment Structures (See Figure 2)

- 1. The area under the embankment shall be cleared, grubbed, and stripped of any vegetation and root mat. To facilitate cleanout the pool area should be cleared.
- Fill material for the embankment shall be free of roots or other woody vegetation, organic material, large stones, and other objectionable material. The embankment should be compacted in 8-inch layers by traversing with construction equipment.
- 3. Construction operations shall be carried out in such a manner that erosion and water pollution are minimized.
- 4. The structure shall be removed and the area stabilized when the upslope drainage has been stabilized.
- 5. All cut and fill slopes shall be 2:1 or flatter.

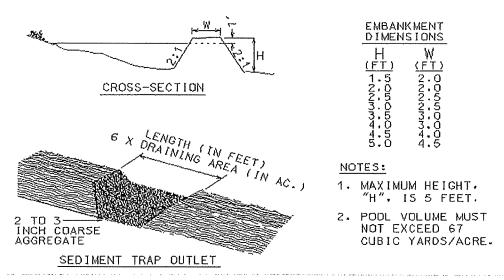


Figure 2 (Sediment Structure)

#### D. Check Dams

- 1. Check dams shall be utilized to retard stream flow or restrict stream flow within the channel. Check dams can be constructed of stone.
  - a. All check dams shall be keyed into the sides and bottom of the channel. The contractor shall see the design plans for further information regarding installation and placement.
- E. Check Dam (Alternate): EnviroBerm Synthetic Porous Sediment Control Structures
  - 1. All materials to be used in the construction of synthetic porous sediment control structures shall meet the following specifications:

## **Synthetic Porous Sediment Control Structures:**

Polymer:

UV Resistant High Density Polyethylene
Size:

10 in high x 43 in long with a 2 in lip
Single Rib Thickness:

Top: 5/32 in
Bottom: 5/32 in
Distance Between Ribs:

Top: 1/2 in
Bottom: 1/2 in

Distance Between Ribs: Top: 1/2 in Bottom: 1/2 in Apparent Opening Size (AOS): US Sieve No.4 (Average Value)

Percent Open Area: 30% (Average Value)

Weight: 3.70 lb/yd<sup>2</sup>

Tensile Strength: MD = 1800 lb/ft TD = 500 lb/ft

Tensile Strength Method: ASTM D4595
Velocity Reductions: \* 10% to 74%
Kinetic Energy Reduction: \* 40% to 85%

\* Based on test results with various velocities and volumes:

T. Blench Hydraulics Laboratory, University of Alberta, Department of Civil and Environmental Ownering

 "M" Pins:
 Regular:
 Heavy Duty:

 Size:
 3 in x 27 in
 3 in x 21 in

Product: Deformed D 3.5 Rod Deformed D 4.5 Rod

 Diameter:
 .211 in
 .240 in

 Tensile Strength:
 80000 psi
 80000 psi

 Grade:
 C1008
 C1008

**Erosion Matting: (AS SPECIFIED ON PLANS)** 

2. The Contractor shall construct synthetic porous sediment control structures at the locations and spacings as shown on the Plans. When required, additional structures shall be constructed as directed by the Owner.

- Synthetic Porous Sediment Control Structures shall be of the type specified, constructed to the specifications shown on the drawings and as directed by the Owner. The Control Structures shall be placed perpendicular to the direction of water flow.
- 4. Porous Sediment Control Structures shall be constructed of two panels of high density, extruded UV resistant polyethylene pinned down with "M" pins in a single row complete with a biodegradable or permanent erosion control matting on the underside.
- 5. Each synthetic porous sediment control structure shall comprise a central portion forming a horizontal porous weir and two inclined portions which extend from the weir up the embankment and the backslope as shown on the drawing. The structures shall have the capability to shape to the contour of the channel bottom and side slopes to ensure firm contact between the entire bottoms of the structures and the soil. No breach shall occur along the integrity of the structure.
- 6. The anchoring system shall be able to endure minimum flow rates as set by the Owner. To validate specified flow rates; the Contractor shall supply a certificate from the supplier of actual field test results. The performance of the Porous Sediment Control Structures integrity shall endure freeze thaw cycles without failure; as per specified geographical areas.
- 7. Installation (See Figure 3)
  - 1. Panel Spacing: As specified on plans
  - 2. Starting at the top of the channel, mark each sediment control structure site with a stake.
  - 3. Seed the soil area were structures are to be placed. Lay an erosion mat strip across ditch at each sediment structure site. The mat should extend up the sideslope and backslope the length of the panel.
  - 8. Trench in the upstream edge of the mat about 4 inches deep. Staple the mat in the trench with 8-inch staples, placed about 12 inches apart. Manually backfill and compact the trench.

- 9. Staple the other edge of the mat to the ground with 8-inch staples, approx. 12 inches apart.
- Starting at either the toe of the backslope or sideslope, place the porous panel strips on the bottom of the ditch along the center of the erosion mat. Place the spacing guide along the ground between the panels. The bottom panel lips should face outward.
- 11. Put an M pin in the installation tool, place the pin over the panels about half way down the strips, ( in the middle), so a pin leg is against the outside of each panel, and drive the pin through the panel lips into the ground. The panels should be wedged into the M pins at the top and ensure firm contact between the entire bottoms of the Porous Sediment Control Structure and the soil. Pull the installation tool off the installed pin.
- 12. From the installed panel, extend a second pair of panels, overlapping the first panels at the toe a minimum of 2 inches up the side or backslope. Place the next 'M' pin over both sets of panels at the toe, and drive the pin into the ground with the installation tool and ensure firm contact between the entire bottoms of the Porous Sediment Control Structure and the soil.
- 13. Install the next pins in the middle and at the upper end of the second set of panels, again using both the spacing strip and the driving tool. Third panel set is placed, extending across the ditch from the first installed panels, overlapped a minimum of 2 inches and the next pin placed at the overlap.
- 14. This sequence is continued until the sediment structure is installed and firm contact between the entire bottoms of the Porous Sediment Control Structure and the soil are established. The last panel installed is the one extending up the opposite slope from the starting panels. No breach shall occur along the integrity of the structure.
- 15. This sequence is continued until the sediment structure is installed and firm contact between the entire bottoms of the Porous Sediment Control Structure and the soil are established. The last panel installed is the one extending up the opposite slope from the starting panels. No breach shall occur along the integrity of the structure.

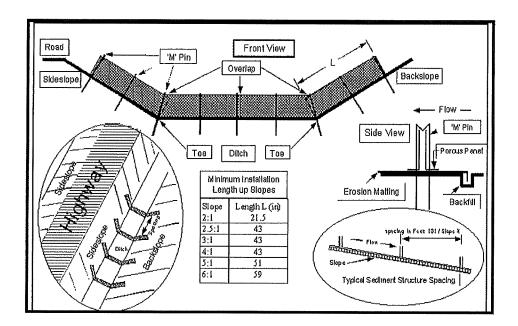


Figure 3 (EnviroBerm Porous Sediment Control Structure)

#### Maintenance:

Silt deposited in front of the sediment control structures shall be removed regularly and at no time shall it be allowed to build up to a height exceeding half the height of the structure.

- F. Temporary Seeding and Mulching: Seeding and mulching shall be performed in accordance with section 02485, Seeding.
- G. Baled Hay: Hay or straw bales shall be embedded in the ground 4 to 6 inches to prevent water flowing under them. The bales shall also be anchored securely to the ground by at least two wooden stakes driven through each bale into the ground. Bales can remain in place until they rot, or be removed after they have served their purpose, as determined by the Owner. The Contractor shall keep the bales in good condition by replacing broken or damaged bales immediately after damage occurs. Normal debris cleanout will be considered maintenance.

# H. Temporary Silt Fences

- 1. Temporary silt fences shall be placed on the natural ground, at the bottom of fill slopes, in ditches, or other areas where siltation is a problem.
- 2. Synthetic filter fabric shall be a pervious sheet of propylene, nylon, and polyester or ethylene yarn and shall be certified by the manufacturer or supplier.
- 3. Burlap shall be 10-ounce per square yard fabric.

- 4. Posts for silt fences shall be either 2-inch by 2-inch diameter wood or 1.33 pounds per linear foot steel with a minimum length of 4 feet. Steel posts shall have projections for fastening wire to them.
- 5. Stakes for filter barriers shall be 1" x 2" wood (preferred) or equivalent metal with minimum length of 3 feet.
- 6. Wire fence reinforcement for silt fences using standard strength filter cloth shall be a minimum of 42 inches in height, a minimum of 14 gauge and shall have a maximum mesh spacing of 6 inches.
- 7. The height of a filter barrier shall be a minimum of 24 inches and shall not exceed 26 inches.
- 8. The stakes shall be spaced a maximum of 3 feet apart at the barrier location and driven securely into the ground (minimum of 8 inches).
- 9. A trench shall be excavated approximately 4 inches wide and 4 inches deep along the line of stakes and upslope from the barrier.
- 10. The filter material shall be stapled to the wooden stakes, and 8 inches of the fabric shall be extended into the trench. Heavy duty wire staples at least ½ inch long shall be used. Filter material shall not be stapled to existing trees.
- 11. The trench shall be backfilled and the soil compacted over the filter material.
- 12. The Contractor shall be required to maintain the silt fence in a satisfactory condition for the duration of the project or until its removal is requested by the Owner. The silt accumulation at the fence may be left in place and seeded, removed, etc., as directed by the Owner. The silt fence becomes the property of the Contractor whenever the fence is removed.

## I. Temporary Construction Entrance

- 1. Crushed stone shall be 2" to 3" (TDOT #1 or #2) with a minimum pad thickness of 6".
- 2. The width of the temporary construction entrance shall be 20 ft. for one-way traffic and 30 ft. for two-way traffic.
- 3. The geotextile filter fabric underlining must be placed the full length and width of the crushed stone pad.
- 4. If the action of the vehicle traveling over the crushed stone pad does not sufficiently remove the material, the tires shall be washed before entering onto public streets. A wash rack shall be incorporated into the crushed stone pad and be in compliance with all TDEC standards.

#### J. Temporary Inlet Protection

- 1. Crushed stone shall be ¾" to 3" (TDOT #3, #357, or #5) up to 2" below top of concrete block.
- 2. Place concrete blocks lengthwise on their sides in a single row around the perimeter of the inlet, so that the open ends face outward, not upward.

- K. Rip-Rap Apron: Rip-rap aprons shall be utilized to reduce stormwater velocity and dissipate the energy of flow leaving a storm drain before it empties into receiving channels, and to armor erodible materials
  - a. The contractor shall see the design plans for further information regarding installation and placement.
- L. Permanent Seeding and Mulching: Seeding and mulching shall be performed in accordance with section 02485, Seeding.

#### 3.4 MAINTENANCE

- A. The temporary erosion control features installed by the Contractor shall be acceptably maintained by the Contractor until no longer needed or permanent erosion control methods are installed. The temporary erosion control materials shall be moved and become the property of the Contractor.
- B. As described in the SWPPP, the Contractor shall inspect the erosion control measures weekly and as required due to upcoming rain events and after recent rain events. The Contractor shall maintain all records of inspections and improvements as required.

#### 3.5 EROSION CONTROL OUTSIDE PROJECT AREA

A. Temporary pollution control shall include construction work outside the project area where such work is necessary as a result of construction such as borrow pit operations, haul roads, and equipment storage sites.

# SECTION 01570 WORK ZONE TRAFFIC CONTROL

#### GENERAL

- 1.1 The Work to be performed shall consist of providing, installing, maintaining, relocating, and removing temporary traffic control devices and services as ordered by the traffic control plan (TCP) and as required for the control and protection of public traffic through the Project work zone.
- 1.2 Notification of the Work commence date and application for permission from the governing body having jurisdiction over the right-of-way is the responsibility of the Contractor.
- 1.3 The Work to be performed under this Section will conform to Part VI of the Manual on Uniform Traffic Control Devices (MUTCD) and shall be subject to local codes, policies, and regulations of the agency having jurisdiction over the area where the Work is performed.

#### PRODUCTS

2.1 All signage, channeling devices, arrow displays, lighting devices, and other traffic control devices shall conform to the design requirements contained in the MUTCD which specifically govern such features as size, contrast, colors, shape, composition, use of symbols, etc. Use of "homemade" or contractor-fabricated devices are prohibited.

#### 3. EXECUTION

- 3.1 TRAFFIC CONTROL PLAN (TCP)
- A. The Contractor shall submit the TCP along with a request for approval noting the date of proposed construction and the duration to the agency having jurisdiction.
- B. The Contractor shall obtain any and all necessary permits required for performance and execution of the TCP in coordination with the appropriate agencies.
- C. The Contractor shall install and maintain temporary traffic control devices adjacent to and within the Project work zone in accordance with the approved TCP and the MUTCD. Installation of the traffic control devices shall proceed in accordance with MUTCD phasing and shall be performed prior to the start of construction operations.

# 3.2 TRAFFIC CONTROL DEVICES (TCD)

- A. Furnish and place Traffic Control Devices before the start of construction operations.
- B. Install only those Traffic Control Devices needed for each stage or phase of construction as required by the TCP and the MUTCD.
- C. Relocate temporary or permanent Traffic Control Devices as required by the phasing of the Work. Remove devices that no longer apply to the Work in progress. Temporarily cover signs when they are not applicable to current conditions.
- D. Immediately clean, service, or replace any Traffic Control Device that is defaced, damaged, or when its retro reflectivity is reduced by 50% due to fading, dirt, etc. Keep all temporary Traffic Control Devices clean and serviceable.
- E. If required by the work in progress, maintain Traffic Control Devices 24 hours a day with adequate barricades, lights, arrows, etc. to protect the public from traffic hazards and accidents.
- F. Use flares and/or lights during times of low visibility to delineate traffic lanes and to guide traffic.
- G. Remove all temporary Traffic Control Devices upon completion of the Work and repair all damage caused by their installation.

#### 3.3 CONSTRUCTION PARKING CONTROL

- A. Control parking of construction personnel's vehicles and construction equipment to prevent interference with public traffic and public access to private drives, parking areas, sidewalks, residences, etc.
- B. Prevent parking on or adjacent to side streets or in non-designated areas. The Contractor at his expense will repair vehicle damage caused by the Contractor or his personnel to residential or private property.
- C. Schedule and coordinate delivery and off-loading of materials so as to not interfere with traffic outside of the Contractor's designated work zone or storage yard.

## 3.4 FLAGMEN

A. When the TCP requires, provide flagmen or traffic control officers who are trained and equipped in accordance with the requirements of Part VI of the MUTCD.

- B. Flaggers shall use Type III or Type IV retro reflective Stop/Slow paddles. Use of flags is prohibited unless it is an emergency situation in low-speed, low-volume locations which can best be controlled by a single flagger.
- C. The flagger or traffic control officer shall wear a retro reflective vest at all times during traffic control operations.
- D. Flaggers shall maintain sight visibility of each other at all times during traffic control operations or shall communicate utilizing radio devices.

# SECTION 01710 CLEANING

#### 1. GENERAL

#### 1.1 DESCRIPTION

- A. Work Included: Throughout the construction period, maintain the site in a standard of cleanliness as described in this section.
- B. Related Work Described Elsewhere: In addition to standards described in this section, comply with all requirements for cleaning up as described in various other sections of these specifications.

# 1.2 QUALITY ASSURANCE

- A. Inspection: Conduct inspection daily, and more often if necessary, to verify that requirements for cleanliness are being met.
- B. Codes and Standards: In addition to the standards described in this section, comply with all pertinent requirements of government agencies having jurisdiction.

#### PRODUCTS

#### 2.1 CLEANING MATERIALS AND EQUIPMENT

A. Provide all required personnel, equipment, and materials needed to maintain the specified standard of cleanliness.

#### 3. EXECUTION

# 3.1 PROGRESS CLEANING

# A. General:

- 1. Retain all stored items in an orderly arrangement allowing maximum access, not impeding drainage or traffic, and providing the required protection of materials.
- 2. Do not allow the accumulation of scrap, debris, waste material, and other items not required for the construction of this work.
- 3. At least twice each month, and more often if necessary, completely remove all scrap, debris, and waste material from the job site.
- 4. Provide adequate storage for all items awaiting removal from the job site, observing all requirements for fire protection and protection of the ecology.

#### B. Site:

- Daily, and more often if necessary, inspect the site and pick up all scrap, debris, and waste material. Remove all such items to the place designated for their storage.
- 2. Weekly, and more often if necessary, inspect all arrangements of materials stored on the site. Restack, tidy, or otherwise service all arrangements to meet the requirements of paragraph 3.1.A.1, above.
- 3. Maintain the site in a neat and orderly condition at all times.

#### 3.2 FINAL CLEANING

- A. Definition: Except as otherwise specifically provided, "clean" (for the purpose of all paragraphs under paragraph 3.2 shall be interpreted as meaning the level of cleanliness generally provided by skilled cleaners using commercial quality building maintenance equipment and materials.
- B. General: Prior to the completion of the work, remove from the job site all tools, surplus materials, equipment, scrap, debris, and waste. Conduct final progress cleaning as described under paragraph 3.1, above.
- C. Site: Unless otherwise specifically directed by the Owner, broom clean all paved areas on the site and all public paved areas directly adjacent to the site. Completely remove all resultant debris.
- D. Timing: Schedule final cleaning as approved by the Owner to accept a completely clean project.

# SECTION 01720 PROJECT RECORD DOCUMENTS

#### 1. GENERAL

#### 1.1 REQUIREMENTS INCLUDED

- A. Maintenance of Record Documents and Samples.
- B. Submittal of Record Documents and Samples.

#### 1.2 RELATED REQUIREMENTS

- A. Section 01302 Submittals and Substitutions: Shop drawings, product data, and samples.
- B. Individual Specifications Sections: Manufacturer's certificates and certificates of inspection.

#### 1.3 MAINTENANCE OF DOCUMENTS AND SAMPLES

- A. Maintain at the site for Owner one record copy of:
  - 1. Contract Drawings.
  - 2. Specifications.
  - 3. Reviewed shop drawings, product data, and samples.
  - 4. Field test records.
  - 5. Inspection certificates.
  - 6. Manufacturer's certificates.
- B. Maintain Record Documents in a clean, dry, and legible condition. Do not use Record Documents for construction purposes.
- C. Keep Record Documents and samples available for inspection by Owner.

# 1,4 RECORDING

- A. Record information concurrently with construction progress. Do not conceal any work until required information is recorded.
- B. Contract Drawings and Shop Drawings: Legibly mark each item to record actual construction, including:
  - 1. The Contractor shall submit to the Superintendent for review five copies of shop drawings on all products to be supplied for the project.
  - 2. Two reviewed copies shall be retained by the Owner, and three shall be returned to the Contractor.

- 3. Resubmittals of shop drawings shall be required until the drawings are approved by the utility.
- 4. Submittals shall include, but are not limited to, pipe, valves, fittings, meters, boxes, and hydrants.
- 5. Any purchasing of materials prior to receiving approved shop drawings shall be at the Contractor's own risk.
- 6. Measured horizontal and vertical locations of underground utilities and appurtenances, referenced to permanent surface improvements.
- 7. Field changes of dimension and detail.
- 8. Changes made by modifications.
- 9. Details not on original contract drawings.
- 10. References to related shop drawings and modifications.
- C. Specifications: Legibly mark each item to record actual construction, including:
  - I. Manufacturer, trade name, and catalog number of each product actually installed, particularly optional items and substitute items.
  - 2. Changes made by addenda and modifications.

#### 1.5 SUBMITTALS

- A. At Contract closeout, deliver Record Documents and samples to Owner. Record documents shall be in accordance with the requirements presented in the Developers Agreement.
- B. Transmit with cover letter in duplicate, listing:
  - Date.
  - 2. Project title and number.
  - 3. Contractor's name, address, and telephone number.
  - 4. Number and title of each Record Document.
  - 5. Signature of Contractor or authorized representative.
- 2. PRODUCTS

**NOT USED** 

3. EXECUTION

**NOT USED** 

# DIVISION 2: SITE WORK

# SECTION 02110 CLEARING AND GRUBBING

#### GENERAL

- 1.1 This work consists of clearing, grubbing, removing, and disposing of all debris and of all vegetation, buildings, and foundations not removed by others that are within the designated construction areas. The work shall also include preserving and protecting from injury or defacement all vegetation and objects designated to remain.
- 1.2 The contractor shall work only in the areas designated on the plans. No vegetation shall be destroyed outside the limits of the work.
- 2. PRODUCTS

#### **NOT USED**

- EXECUTION
- 3.1 The Contractor is solely responsible for the removal, hauling, and disposal of waste material. Completely dispose of all materials resulting from clearing and grubbing off the site, all at the Contractor's expense. The Owner shall not be liable for the improper disposal of waste material.
- 3.2 Secure in writing any approval from a property Owner desiring disposal of debris on their private property.

# SECTION 02221 UNCLASSIFIED EXCAVATION FOR UTILITIES

#### GENERAL

1.1 The work called for by this section shall consist of clearing and grubbing, loosening, loading, removing, and disposing of, in the specified manner, all wet and dry materials (including rock) encountered that must be removed for construction purposes; furnishing, placing, and maintaining all sheeting, shoring, bracing, and timbering necessary for the proper protection and safety of the work, the workmen, the public, and adjacent property and improvements; the dewatering of trenches and other excavations; the preparation of satisfactory pipe beds; the backfilling and tamping of trenches, foundations, and other structures; the preparation of fills and embankments; the removal of unsuitable material from outside the normal limits of excavation and, where ordered by the Owner, their replacement with suitable materials; and all other grading or excavation work incidental to or necessary for the work. This work shall be performed as specified below.

#### 2. PRODUCTS

#### **NOT USED**

#### EXECUTION

#### 3.1 PREPARATION OF THE SITE

- A. Before starting construction, remove from the work site all vegetable growth (except as hereinafter excluded), debris, and/or other objectionable matter as well as any buildings and/or other structures that the drawings and/or the Owner specifically indicate are to be removed. Dispose of this refuse material in a manner acceptable to the Owner.
- B. In certain areas it may be desirable for existing trees, shrubs, or other vegetation on the site to be preserved for the permanent landscape. Such vegetation may be shown on the drawings, specifically listed in the specifications, marked on the site, or identified by the Owner. In no case damage or remove such growth without written permission from the Owner.
- C. If the area to be excavated is occupied by trees, brush, or other vegetable growth, clear such growth and grub the excavated area, and remove all large roots to a depth of not less than 2 feet below the bottom of the proposed construction. Dispose of the growth removed in a manner satisfactory to the Owner. Fill all holes or cavities created during this work that extend below the subgrade elevation with suitable material and compact to the same density as the surrounding material.

- D. Trees, cultivated shrubs, etc., that are situated within public rights-of-way and/or construction easements through private property but not directly within the excavation area shall remain undisturbed unless it is necessary to remove them so that the work can be performed safely and unless their removal is specifically ordered by the Owner. Take special precautions to protect and preserve such growth throughout all stages of the construction.
- E. Preparation of the site shall be considered an integral part of the excavation and one for which no separate payment shall be allowed.

#### 3.2 UNSUITABLE MATERIALS

A. Wherever muck, quicksand, soft clay, swampy ground, or other material unsuitable for foundations, subgrade, or backfilling is encountered, remove it and continue excavation until suitable material is encountered. The material removed shall be disposed of in the manner described below. Then refill the areas excavated for this reason with 1- to 2-inches crushed stone up to the level of the lines, grades, and/or cross sections shown on the drawings. The top 6 inches of this refill shall be Class A, Grade D aggregate crushed stone for bedding.

#### 3.3 ROCKS AND BOULDERS

- A. Should rock be encountered in the excavation, remove it by blasting or otherwise. Where blasts are made, cover the excavation with enough excavation material and/or timber or steel matting to prevent danger to life and property. The Contractor shall secure, at his own expense, all permits required by law for blasting operations and the additional hazard insurance required. Observe all applicable laws and ordinances pertaining to blasting operations.
- B. Excavate rock over the horizontal limits of excavation and to a depth of not less than 6 inches below the outside bottom of pipe up to 30 inches in diameter and not less than 12 inches below the outside bottom of larger pipes if rock extends to such depth. Then backfill the space below grade with Class A, Grade D aggregate or other approved material, tamp to the proper grade, and make ready for construction. For monolithic concrete sewers or culverts and for structures, excavate rock to the outside bottom of the structure or sewer.

# 3.4 DISPOSAL OF MATERIALS

A. Whenever practicable, all materials removed by excavation that are suitable for backfilling pipe trenches or for other purposes shown on the drawings or directed by the Owner shall be used for these purposes. Any materials not so used shall be considered waste materials and disposed of at the Contractor's expense.

- B. Waste materials may be deposited in spoil areas at locations approved by the Owner. Do not leave in unsightly piles but instead spread in uniform layers, neatly level, and shape to drain. Seed as specified in Section 02485 Seeding.
- C. Once any part of the work is completed, properly dispose of all surplus or unused materials (including waste materials) left within the construction limits of that work. Leave the surface of the work in a neat, workmanlike condition, as described below.
- D. The disposal of waste materials shall be considered an integral part of the excavation work and one for which no separate payment shall be allowed.

#### 3.5 EXCAVATION FOR TRENCHES, MANHOLES, AND STRUCTURES

- A. Unclassified excavation for pipelines shall consist of the excavation necessary for the construction of water, sewer, and other pipes and their appurtenances (including manholes, inlets, outlets, headwalls, collars, concrete saddles, and pipe protection) that are called for by the drawings. It shall include clearing and grubbing where necessary, backfilling and tamping pipe trenches and around structures, and disposing of waste materials; all of which shall conform to the applicable provisions set forth elsewhere in these specifications.
- B. The Contractor may, if he chooses, use a motor powered trenching machine. If he does; however, he shall be fully responsible for the preservation or repair of existing utilities.
- C. Unless the construction of lines by tunneling, jacking, or boring is called for by the drawings or specifically authorized by the Owner, make excavation for pipelines in open cut and true to the lines and grades shown on the drawings or established by the Owner on the ground. Cut the banks of trenches between vertical parallel planes equidistant from the pipe centerline. The horizontal distance between the vertical planes (or, if sheeting is used, between the inside faces of that sheeting) shall vary with the size of the pipe to be installed, but shall not be more than the distance determined by the following formula: 4/3d + 15 inches, where "d" represents the internal diameter of the pipe in inches. When approved in writing by the Owner, the banks of trenches from the ground surface down to a depth not closer than 1 foot above the top of the pipe may be excavated to nonvertical and nonparallel planes, provided the excavation below that depth is made with vertical and parallel sides equidistant from the pipe centerline in accordance with the formula given above. Any cut made in excess of the formula 4/3d + 15 inches shall be at the expense of the Contractor and may be cause for the Owner to require that stronger pipe and/or a higher class of bedding be used at no cost to the Owner.
- D. Shape the bottom of all trenches to provide uniform bearing for the bottom of the pipe barrel.

- E. Excavate bell holes for bell and spigot pipe at proper intervals so that the barrel of the pipe will rest for its entire length upon the bottom of the trench. Bell holes shall be large enough to permit proper jointing of the pipe. Do not excavate bell holes more than two joints ahead of pipe laying.
- F. Excavation for manholes, inlets, and other incidental structures shall not be greater in horizontal area than that required to allow a 2-foot clearance between the outer surface of the structure and the walls of the adjacent excavation or of the sheeting used to protect it. The bottom of the excavation shall be true to the required shape and elevation shown on the drawings. No earth backfilling will be permitted under manholes, inlets, headwalls, or similar structures. Should the Contractor excavate below the elevations shown or specified, he shall, at his own expense, fill the void with either concrete or granular material approved by the Owner.
- G. Do not excavate pipe trenches more than 200 feet ahead of the pipe laying. Perform all work so as to cause the least possible inconvenience to the public. Construct temporary bridges or crossings when and where the Owner deems necessary to maintain vehicular or pedestrian traffic.
- H. In all cases where materials are deposited along open trenches, place them so that in the event of rain or surcharge loading from such deposits no damage will result to the work and/or to adjacent property.
- I. Excavation for manholes and other structures may be performed with nonvertical banks except beneath pavements or adjoining existing improvements. Do not permit the horizontal area of the excavation to exceed that required to allow a 2-foot clearance between the outer surface of the structure and the banks of the excavation or the sheeting used to protect the embankments. The bottom of the excavation shall be true to the required shape and elevation shown on the drawings.
- J. The Contractor shall be responsible for all safety issues relating to the trenching operations including those concerning the public and passerby. All excavation shall be performed in accordance with any and all applicable safety laws and regulations. The Developer, Utility, and Owner assume NO responsibility of any sort for acts of the Contractor.
- K. The requirements of the local governing body and the Tennessee State Highway Department shall apply regarding the length of open trench of water line that may be left open overnight along streets and roads.

#### 3.6 DEWATERING OF EXCAVATION

- A. Provide and keep in operation enough suitable pumping equipment whenever necessary or whenever directed to do so by the Owner. Give special attention to excavations for those structures that, prior to proper backfilling, are subject to flotation from hydrostatic uplift.
- B. All water pumped or drained from the work shall be disposed of in a manner satisfactory to the Owner without damage to adjacent property or other areas.
- C. If necessary, due to the volume of water containing sediment, or due to the location of pumping activities, construct a sediment trap (structure) to pump ground water into until sediment is no longer being removed with the water. Sediment shall not be discharged to the waters of the State. The pump shall remain on the job site at all times during construction.

#### 3.7 BORROW EXCAVATION

- A. Whenever the backfill of excavated areas or the placement of embankments requires more material than is available from authorized excavations or whenever the backfill material from such excavations is unsuitable, then obtain additional material from other sources. This may require the opening of borrow pits at points accessible to the work. In such cases, make suitable arrangements with the property owner and pay all incidental costs, including any royalties, for the use of the borrowed material. Before a borrow pit is opened, the quality and suitability of its material shall be approved by the Owner. All state and local regulation concerning borrow pits, drainage, and erosion control shall be strictly followed.
- B. Excavate borrow pits in such a way that the remaining surfaces and slopes are reasonably smooth and that adequate drainage is provided over the entire area. Construct drainage ditches wherever necessary to provide outlets for water to the nearest natural channel, thus preventing the formation of pools in the pit area. Leave the sides of borrow pit cuts at a maximum slope of 2:1 unless otherwise directed by the Owner.
- C. Properly clear and grub borrow pits. Remove all objectionable matter from the borrow pit material before placing it in the backfill.
- D. The taking of materials from borrow pits for use in the construction of backfill, fills, or embankments shall be considered an incidental part of the work. No separate payment shall be made for this.

# 3.8 BACKFILLING

- A. Begin backfilling after the line construction is completed and then inspected and approved by the Owner. On each side of the line, from the bottom of barrel to 1 foot above the top of the pipe, the backfill material shall consist either of fine, loose earth like sandy soil or loam or of granular material that is free from clods, vegetable matter, debris, stone, and/or other objectionable materials and that has a size of no more than 2 inches. Place this backfill simultaneously on either side of the pipe in even layers that before compaction are no more than 6-inches deep. Thoroughly and completely tamp each layer into place before placing additional layers. When shown on the drawings, this backfill shall, at locations beneath concrete and asphalt driveways, roadways, sidewalks, parking areas, etc. or closely adjacent to pavement, consist of
  - Class A, Grade D aggregate. Use of aggregate backfill shall be at the direction of the Owner.
- B. From 1 foot above the pipe upward, the backfill material may contain broken stones that make up approximately 1/2 of the backfill's total volume. However, if this type of backfill is used, there must be enough spalls and earth materials to fill all voids completely. The maximum dimension of individual stones in such backfill shall not exceed 6 inches, and the backfill material shall be placed and spread in even layers not more than 12 inches deep. At locations beneath or closely adjacent to pavement or at locations of improvements subject to damage by displacement, tamp and thoroughly compact the backfill in layers that, before compaction, are 6 inches deep. In other areas, the backfill for the upper portion of the trenches may be placed without tamping but shall be compacted to a density equivalent to that of adjacent earth material as determined by laboratory tests. Use special care to prevent the operation of backfilling equipment from causing any damage to the pipe.
- C. If earth material for backfill is, in the opinion of the Owner, too dry to allow thorough compaction, then add enough water so that the backfill can be properly compacted. Do not place earth material that the Owner considers too wet or otherwise unsuitable.
- D. Wherever excavation has been made within easements across private property, the top 1 foot of backfill material shall consist of fine loose earth free from large clods, vegetable matter, debris, stone, and/or other objectionable materials. Top soil shall be placed a minimum of 6 inches on top of this backfill material to final contours.
- E. Wherever trenches have been cut across or along existing pavement, temporarily pave the backfill of such trenches by placing Class A, Grade D crushed stone as the top 12 inches of the backfill. Maintain this temporary pavement either until the permanent pavement is restored or until the project is accepted by the Owner. On heavy-traveled roadways or as directed by the Owner, cold mix or

- leveling course binder 3 inches thick shall be installed and maintained until permanent pavement is installed.
- F. Conduct backfilling around manholes, inlets, outfalls, and/or structures in the same manner as specified above for pipelines except that even greater care is necessary to prevent damage to the utility structure.
- G. Wherever pipes have diameters of 12 inches or less, do not use power-operated tampers to tamp that portion of the backfill around the pipe within 1 foot above the pipe.
- H. Perform backfilling so as not to disturb or injure any pipe and/or structure against which the backfill is being placed. If any pipe or structure is damaged and/or displaced during backfilling, open up the backfill and make whatever repairs are necessary, whenever directed to do so by the Owner.
- Backfilling and clean-up operations shall closely follow pipe laying. Failure to comply with this provision will result in the Owner's requiring that the Contractor's other activities be suspended until backfilling and clean-up operations catch up with pipe laying.
- J. Compaction Requirements: Under buildings and two times the depth of pipe beyond, and under roads and two times the depth beyond the shoulder, compact to 95-percent maximum density in accordance with ASTM D698. In all other locations, compact to 90-percent maximum density.
- K. Before final acceptance, the Contractor shall be required to level off all trenches where backfill material has been piled up, or to bring the trench up to the level of the surrounding street, roadway, or terrain. The Contractor will be required to remove from the streets, roadways, and private property all excess earth or other materials.

#### 3.9 MAINTENANCE

- A. Seed and maintain in good condition all excavated areas, trenches, fills, embankments, and channels until final acceptance by the Owner.
- B. Maintain trench backfill at the approximate level of the original ground surface by periodically adding backfill material wherever necessary and whenever directed to do so by the Owner. Continue such maintenance until final acceptance of the project or until the Owner issues a written release.

#### 3.10 SLOPES

A. Neatly trim all open cut slopes and finish to conform either with the slope lines shown on the drawings or the directions of the Owner. Leave the finished surfaces of bottom and sides in reasonably smooth and uniform planes like those normally obtainable with hand tools, though the Contractor will not be required to use hand methods if he is able to obtain the required degree of evenness with mechanical equipment. Conduct grading operations so that material is not removed or loosened beyond the required slope.

#### 3.11 SHORING, SHEETING, AND BRACING OF EXCAVATION

- A. Where unstable materials are encountered or as required by law or Government regulations, such as OSHA, the sides of the trench or excavation shall be supported by substantial sheeting, bracing, and shoring, or the sides sloped to the angle of repose. Adequate and proper shoring of all excavation shall be the entire responsibility of the Contractor.
- B. Foundations, adjacent to where the excavation is to be made below the depth of the foundation, shall be supported by shoring, bracing, or underpinning of a temporary or a permanent nature as may be required to assure the integrity of the structure. The Contractor will be held strictly responsible for any damage to adjoining foundations or structures.
- C. No timber sheeting less than two inches in thickness and timber bracing cross bracing of struts less than six inches in thickness will be acceptable.
- D. Solid sheeting will be required for wet or unstable material. It shall consist of continuous vertical sheet piling of timber two inches thick or of steel with suitable shores and braces. All sheeting to be left in place shall be two inch thick timber.
- E. Care shall be taken to avoid excessive backfill loads on the completed pipelines and the requirements that the width of the ditch at the level of the crown of the pipe not exceed that specified herein.
- F. Trench sheeting shall not be removed until sufficient backfill has been placed to protect the pipe.
- G. All sheeting, planking, timbering, bracing, and bridging shall be placed, renewed, and maintained as long as necessary.

# SECTION 02223 ROCK EXCAVATION FOR UTILITIES

#### GENERAL

- 1.1 This work covered by this section shall consist of the removal of all rock materials (as defined herein) that must be removed from their original beds so that construction can be performed as indicated by the drawings or by these specifications. It shall include the drilling and blasting incidental to excavation and the disposal of the excavated materials as specified below.
- 1.2 Refer to other sections for work related to that discussed in this section.

#### 1.3 DESCRIPTION OF ROCK EXCAVATION

- A. Rock excavation shall consist of the removal of all sound, solid rock which is in its original position in ledges, bedded deposits, or unstratified masses and which is of such hardness and texture that, in the opinion of the Owner, it cannot be loosed or broken down and removed without drilling and blasting.
- B. In addition, if any boulders, stones, or pieces of masonry with a volume of ½ cubic yard or more are encountered within the limits of excavation, their removal shall be considered as rock excavation, but shall be paid as a part of lump sum construction for the project.
- C. The removal of all other materials, however, shall be classified as common excavation and subject to the provisions set forth in Section 02221, Unclassified Excavation for Utilities. For instance, hard pan, small boulders with a volume of less than ½ cubic yard, chert, clay, soft shale, soft and disintegrated rock, and similar material shall not be considered as rock, although the Contractor may elect to excavate them by drilling and blasting.

# PRODUCTS

#### **NOT USED**

# 3. EXECUTION

- 3.1 Excavate rock in trenches over the horizontal limits of excavation and to a depth of not less than 6 inches below where the bottom of pipelines will be. Where pipelines are to be constructed on concrete cradles, excavate rock to the bottom of the cradles, then backfill the space below grade for pipelines with fine earth or other approved material, and tamp to the proper grade and make ready for construction. For structures, excavate rock to the outside bottom of the structure.
- 3.2 Conduct drilling and blasting with due respect for the safety of persons and property in the vicinity and in strict conformance with all ordinances and regulations governing blasting and use of explosives. Conduct rock excavation near existing pipe or other structures with the utmost care

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so as to avoid damage. Damage to other structures and properties shall be promptly repaired by the Contractor at his own expense. Rock excavation shall be subject to all applicable provisions specified in Section 0222, Unclassified Excavation for Utilities, including those concerning site preparation; the disposal of materials, slopes; compacting and tamping; sheeting, shoring, and bracing; and pipeline excavation.

3.3 At the location of tees or laterals, blast a minimum of 6 linear feet of ditch line beyond the end of the lateral and in the direction and to the appropriate grade of the future lateral as indicated by the Owner, but do not excavate the material.

# 3.4 DISPOSAL OF MATERIALS

- A. Whenever practicable, use all suitable material removed by excavation to backfill pipe trenches (i.e., material whose maximum size meets the requirements for backfilling specified in Section 02221, Unclassified Excavation for Utilities), or use it for other purposes shown on the drawings or as directed by the Owner. Any material not used shall be considered waste material and disposed of by the Contractor as specified below.
- B. Waste material may be deposited in spoil areas at locations approved by the Owner or removed from the site when no suitable areas are available. Do not leave waste materials in unsightly piles, but instead spread in reasonably uniform layers.
- C. Once any part of the work is completed, properly dispose of all surplus or unused materials (including waste materials) left within the construction limits of work. Leave the surface of the work in a neat and workmanlike condition.

# 3.5 PAYMENT

There shall be no extra compensation for rock excavation. Rock excavation shall be considered as a part of the unit price per foot for force main construction.

# SECTION 02271 RIP-RAP

- 1. GENERAL
- 1.1 This item consists of furnishing and placing riprap slope/ditch protection.
- PRODUCTS
- 2.1 Riprap

The riprap material shall be durable and of hard natural stone, free from cracks, seams, or other defects that would tend to cause increased deterioration because of freezing and thawing or other natural causes. Riprap material shall be reasonably well graded from the minimum size stone. At least 90% of the riprap stone shall be not less than 8 inches wide by 12 inches long by 12 inches deep and shall be approximately rectangular in shape. Fragments or spalls shall be used to fill the voids between the larger rocks. The inclusion of appreciable quantities of dirt, sand, clay, or rock fines will not be accepted. All materials considered for use as riprap shall be approved by the Owner.

#### 3. EXECUTION

- 3.1 Earth surface on which riprap is to be placed shall be trimmed and graded so as to provide for the thickness of riprap shown on the drawings. Surfaces that are below grade shall be brought to grade by fillings with well compacted materials similar to the adjacent materials. Prior to placement of riprap, the prepared earth foundation will be inspected and no materials shall be placed thereon until approved by the Owner.
- 3.2 Place riprap to the full course thickness at one operation and in such a manner as to avoid serious displacement of the underlying materials. Deliver and spread the material so that the mass of pieces in place shall be reasonably well graded, with the larger pieces uniformly distributed and the smaller pieces and spalls filling the voids between the larger pieces. The finished riprap shall be free from objectionable concentration of large or small pieces.
- 3.3 A tolerance of +12 inches or -6 inches from slope lines and grades shown on the drawings will be permitted on the finished surface of the riprap, except that the extreme negative tolerance shall not be continuous over an area exceeding 200 square feet.

# SECTION 02311 CONTROL BLASTING

## 1. GENERAL

- 1.1 This section covers the method, responsibilities, and required protection techniques for blasting.
- 1.2 At Contractor's option, blasting may be used as an alternative to nonexplosive methods of excavation. Blasting shall be performed in accordance with the Tennessee Blasting Standards Act of 1975 TCA Section 68-105, local ordinances, regulations, and as specified herein.
- 1.3 The Contractor shall employ blasting techniques at this own discretion given the limits and conditions stated herein.
- 1.4 Blasting shall be performed by a qualified, licensed blaster, who has specific experience on similar sized projects, and is knowledgeable of the Tennessee Blasting Standards Act of 1975, including additions and amendments.

# 2. PRODUCTS

# **NOT USED**

## 3. EXECUTION

#### 3.1 PREBLAST SURVEY AND BLAST MONITORING

- A. The Contractor shall conduct a preblast survey of the surrounding structures within a minimum of 300 feet of any blasting operation and document their condition before any blasting begins. The documentation will include written descriptions, photographs of the structures, and measures of obvious signs of structural distress such as cracks.
- B. Gauge marks will be located over existing cracks at selected locations to be measured before and after blasting to determine if widening or displacement has taken place.
- C. Before carrying out the inspection, the Contractor shall notify the owners of the buildings or structures to be inspected and request permission to carry out the inspection. Should any building owner refuse permission to carry out this inspection, the Contractor shall notify the Owner in writing, giving the building owner's reason for refusal.
- D. The Owner shall require the Contractor to monitor all blasts by an approved method and/or by a Subcontractor at the Contractor's expense. Vibration monitoring will be required on all blasts.

E. The Contractor must obtain all necessary blasting permits prior to blasting. Notification must be given to the Owner prior to blasting. Such notification shall be given no less than 24 hours prior to the scheduled blast.

# 3.2 SAFETY

- A. Blasting shall be conducted in the conformance with all local and state safety codes. The Contractor shall secure at his own expense all required blasting permits and additional hazard insurance.
- B. The Contractor shall cover the blasting area with enough excavation material and/or matting to prevent danger to lives and property.
- C. It is the sole responsibility of the Contractor to properly handle, use, and store explosives. Any damages to persons or property, as a result of blasting operations, is the responsibility of the Contractor.

# 3.3 RECORD KEEPING

- A. The Owner's representative must be present during all blasting operations.
- B. The Contractor shall provide an itemized blasting log to the Owner on a daily basis.

#### 3.4 BLASTING LIMITS

- A. The Contractor shall avoid shattering rock beyond the required limits of the trench or excavation.
- B. Charge holes shall be properly located and drilled to the correct depth for the charges used.
- C. Charges shall be limited in size to permit reasonable removal of material by excavating equipment. Overbreak effects shall be corrected by removing the broken rock and replacing it with approved material.

# SECTION 02320 TRENCH BACKFILL

# PART 1 GENERAL

#### 1.01 REFERENCES

- A. The following is a list of standards which may be referenced in this section:
  - 1. American Public Works Association (APWA): Uniform Color Code for Temporary Marking of Underground Utility Locations.
  - 2. ASTM International (ASTM):
    - a. C33, Standard Specification for Concrete Aggregates.
    - b. C94/C94M, Standard Specification for Ready-Mixed Concrete.
    - c. C117, Standard Test Method for Materials Finer than 75 Micrometer (No. 200) Sieve in Mineral Aggregates by Washing.
    - d. C136, Standard Test Method for Sieve Analysis of Fine and Coarse Aggregates.
    - e. C150, Standard Specification for Portland Cement.
    - f. C618, Standard Specification for Coal Fly Ash and Raw or Calcined Natural Pozzolan for Use as a Mineral Admixture in Concrete.
    - g. D698, Standard Test Methods for Laboratory Compaction Characteristics of Soil Using Standard Effort (12,400 ft-lbf/ft3 (600 kN-m/m3))
    - h. D1140, Standard Test Method for Amount of Material in Soils Finer than the No. 200 (75 micrometer) Sieve.
    - i. D1557, Standard Test Method for Laboratory Compaction Characteristics of Soil using Modified Effort (56,000 ft-lbf/ft3 (2,700 kN-m/m3)).
    - D3776, Standard Test Methods for Mass Per Unit Area (Weight) of Fabric.
    - k. D4253, Standard Test Methods for Maximum Index Density and Unit Weight of Soils Using a Vibratory Table.
    - I. D4254, Standard Test Methods for Minimum Index Density and Unit Weight of Soils and Calculation of Relative Density.
    - m. D4318, Standard Test Methods for Liquid Limit, Plastic Limit, and Plasticity Index of Soils.
    - n. D4533, Standard Test Method for Trapezoid Tearing Strength of Geotextiles.
    - o. D4832, Standard Test Method for Preparation and Testing of Controlled Low Strength Material (CLSM) Test Cylinders.
    - p. D4991, Standard Test Method for Leakage Testing of Empty Rigid Containers by Vacuum Method.
    - q. D5034, Standard Test Method for Breaking Strength and Elongation of Textile Fabrics (Grab Test).

3. National Electrical Manufacturers Association (NEMA): Z535.1, Safety Color Code.

# 1.02 DEFINITIONS

- A. Base Rock: Granular material upon which manhole bases and other structures are placed.
- B. Bedding Material: Granular material upon which pipes, conduits, cables, or duct banks are placed.
- C. Imported Material: Material obtained by Contractor from source(s) offsite.
- D. Lift: Loose (uncompacted) layer of material.
- E. Pipe Zone: Backfill zone that includes full trench width and extends from prepared trench bottom to an upper limit above top outside surface of pipe, conduit, cable or duct bank.
- F. Prepared Trench Bottom: Graded trench bottom after excavation and installation of stabilization material, if required, but before installation of bedding material.
- G. Relative Compaction: The ratio, in percent, of the as-compacted field dry density to the laboratory maximum dry density as determined by ASTM D698. Corrections for oversize material may be applied to either as-compacted field dry density or maximum dry density, as determined by Engineer.
- H. Relative Density: As defined by ASTM D4253 and ASTM D4254.
- I. Selected Backfill Material: Material available onsite that Engineer determines to be suitable for a specific use.
- J. Well-Graded: A mixture of particle sizes that has no specific concentration or lack thereof of one or more sizes producing a material type that, when compacted, produces a strong and relatively incompressible soil mass free from detrimental voids. Well-graded does not define any numerical value that must be placed on the coefficient of uniformity, coefficient of curvature, or other specific grain size distribution parameters.

# 1.03 SUBMITTALS

- A. Action Submittals:
  - 1. Shop Drawings: Manufacturer's descriptive literature for marking tapes.
  - 2. Samples:
    - a. Trench stabilization material
    - b. Bedding and pipe zone material
    - c. Granular drain

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- d. Granular backfill
- e. Earth backfill
- f. Sand(s)
- g. Geotextile

#### B. Informational Submittals:

- 1. Catalog and manufacturer's data sheets for compaction equipment.
- 2. Certified Gradation Analysis: Submit not less than 30 days prior to delivery for imported materials or anticipated use for excavated materials, except for trench stabilization material that will be submitted prior to material delivery to Site.
- 3. Controlled Low Strength Material: Certified mix design and test results. Include material types and weight per cubic yard for each component of mix.

#### PART 2 PRODUCTS

#### 2.01 MARKING TAPE

# A. Nondetectable:

- 1. Inert polyethylene, impervious to known alkalis, acids, chemical reagents, and solvents likely to be encountered in soil.
- 2. Thickness: Minimum 5 mils.
- 3. Width: 3 inches.
- 4. Identifying Lettering: Minimum 1-inch high, permanent black lettering imprinted continuously over entire length.
- 5. Manufacturers and Products:
  - a Reef Industries; Terra Tape
  - b Mutual Industries; Non-detectable Tape
  - c Presco; Non-detectable Tape

## B. Detectable:

- 1. Solid aluminum foil, visible on unprinted side, encased in protective high visibility, inert polyethylene plastic jacket.
- 2. Foil Thickness: Minimum 0.35 mils.
- 3. Laminate Thickness: Minimum 5 mils.
- 4. Width: 3 inches.
- 5. Identifying Lettering: Minimum 1-inch high, permanent black lettering imprinted continuously over entire length.
- 6. Joining Clips: Tin or nickel-coated furnished by tape manufacturer.
- 7. Manufacturers and Products:
  - a Reef Industries; Terra Tape, Sentry Line Detectable
  - b Mutual Industries; Detectable Tape
  - c Presco; Detectable Tape

C. Color: In accordance with APWA Uniform Color Code for Temporary Marking of Underground Facilities.

Color*	Facility			
Red	Electric power lines, cables, conduit, and lightning cables			
Orange	Communicating alarm or signal lines, cables, or conduit			
Yellow	Gas, oil, steam, petroleum, or gaseous materials			
Green	Sewers and drain lines			
Blue	Potable water			
Purple	Reclaimed water, irrigation, and slurry lines			
*As specified	in NEMA Z535.1, Safety Color Code.			

D. Locating Wire: No. 12 AWG copper wire shall be laid in full length of all nonmetallic lines.

# 2.02 TRENCH STABILIZATION MATERIAL

#### A. Base Rock

- 1. Clean, hard, durable 3-inch minus crushed rock or gravel, or pit run, free from clay balls, other organic materials, or debris.
- 2. Uniformly graded from coarse to fine, less than 8 percent by weight passing the 1/4-inch sieve.

#### B. Granular Backfill:

- 1. Clean gravel or crushed rock, reasonably well-graded from coarse to fine.
- 2. Maximum Particle Size: 1-inch

# 2.03 BEDDING MATERIAL AND PIPE ZONE MATERIAL

- A. Unfrozen, friable, and no clay balls, roots, or other organic material.
- B. Clean or gravelly sand with less than 5 percent passing No. 200 sieve, as determined in accordance with ASTM D1140, or gravel or crushed rock within maximum particle size and other requirements as follows unless otherwise specified.
  - 1. Duct Banks: 3/4-inch maximum particle size.
  - 2. PVC Irrigation System Piping and Ductile Iron Pipe with Polyethylene Wrap: 3/8-inch maximum particle size.
  - 3. Pipe Under 18-Inch Diameter: 3/4-inch maximum particle size, except 1/4 inch for stainless steel pipe, copper pipe, tubing, and plastic pipe under 3-inch diameter.

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- 4. Pipe Greater than 18-Inch Diameter: 1-1/2-inch maximum particle size for ductile iron pipe, concrete pipe, welded steel pipe, and pretensioned or prestressed concrete cylinder pipe.
- 5. Perforated Pipe: Granular drain material.
- 6. Conduit and Direct-Buried Cable:
  - a. Sand, clean or clean to silty, less than 12 percent passing No. 200 sieve.
  - b. Individual Particles: Free of sharp edges.
  - c. Maximum Size Particle: Pass a No. 4 sieve.
  - d. If more than 5 percent passes No. 200 sieve, the fraction that passes No.
     40 sieve shall be nonplastic as determined in accordance with ASTM D4318.

# 2.04 EARTH BACKFILL

- A. Soil, loam, or other excavated material suitable for use as backfill.
- B. Free from roots or organic matter, refuse, boulders and material larger than 1/2 cubic foot, or other deleterious materials.

# 2.05 PROCESSED EARTH BACKFILL

- A. Class A Backfill: Earth backfill, meeting the following additional requirement.
  - 1. Free of boulders and cobbles that would be retained on a 3-inch sieve.

# 2.06 FLOWABLE FILL

- A. Select and proportion ingredients to obtain compressive strength between 50 and 150 psi at 28 days in accordance with ASTM D4832.
- B. Materials:
  - 1. Cement: ASTM C150, Type I or Type II
  - 2. Aggregate: ASTM C33, Size 7
  - 3. Fly Ash (if used): ASTM C618, Class C
  - 4. Water: Clean, potable, containing less than 500 ppm of chlorides

# 2.07 CONCRETE BACKFILL

- A. Provide as specified in Section 03300, Cast-in-Place Concrete.
- B. Mix: ASTM C94/C94M, Option A.
  - 1. Cement: ASTM C150, Type I or Type II
  - 2. Coarse Aggregate Size: 3/4 inch(es)
  - 3. Design for Minimum Compressive Strength at 28 Days: 3,000 psi.

# 2.08 SOURCE QUALITY CONTROL

- A. Perform gradation analysis in accordance with ASTM C136 for:
  - 1. Earth backfill, including specified class
  - 2. Trench stabilization material
  - 3. Bedding and pipe zone material
- B. Certify Laboratory Performance of Mix Designs:
  - 1. Controlled low strength fill
  - 2. Concrete

# PART 3 EXECUTION

# 3.01 TRENCH PREPARATION

- A. Water Control:
  - Promptly remove and dispose of water entering trench as necessary to grade trench bottom and to compact backfill and install manholes, pipe, conduit, directburied cable, or duct bank. Do not place concrete, lay pipe, conduit, direct-buried cable, or duct bank in water as specified in Section 02240, Dewatering.
  - 2. Remove water in a manner that minimizes soil erosion from trench sides and bottom.
  - 3. Provide continuous water control until trench backfill is complete.
- B. Remove foreign material and backfill contaminated with foreign material that falls into trench.

#### 3.02 TRENCH BOTTOM

- A. Firm Subgrade: Grade with hand tools, remove loose and disturbed material, and trim off high areas and ridges left by excavating bucket teeth. Allow space for bedding material if shown or specified.
- B. Soft Subgrade: If subgrade is encountered that may require removal to prevent pipe settlement, notify Engineer. Engineer will determine depth of over excavation, if any required.

# 3.03 TRENCH STABILIZATION MATERIAL INSTALLATION

A. Rebuild trench bottom with trench stabilization material.

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- B. Place material over full width of trench in 6-inch lifts to required grade, providing allowance for bedding thickness.
- C. Compact each lift so as to provide a firm, unyielding support for the bedding material prior to placing succeeding lifts.

#### 3,04 BEDDING

- A. Furnish imported bedding material where, in the opinion of Engineer, excavated material is unsuitable for bedding or insufficient in quantity.
- B. Place over the full width of the prepared trench bottom in two equal lifts when the required depth exceeds 8 inches.
- C. Hand grade and compact each lift to provide a firm, unyielding surface.
- D. Minimum Thickness as follows:
  - 1. Pipe 15 Inches and Smaller: 4 inches
  - 2. Pipe 18 Inches to 36 Inches: 6 inches
  - 3. Pipe 42 Inches and Larger: 8 inches
- E. Check grade and correct irregularities in bedding material. Loosen top 1 inch to 2 inches of compacted bedding material with a rake or by other means to provide a cushion before laying each section of pipe, conduit, direct-buried cable, or duct bank.
- F. Install to form continuous and uniform support except at bell holes, if applicable, or minor disturbances resulting from removal of lifting tackle.
- G. Bell or Coupling Holes: Excavate in bedding at each joint to permit proper assembly and inspection of joint and to provide uniform bearing along barrel of pipe or conduit.

# 3.05 BACKFILL PIPE ZONE

- A. Upper limit of pipe zone shall not be less than following:
  - 1. Pipe: 12 inches, unless shown otherwise
- B. Restrain pipe, conduit, cables, and duct banks as necessary to prevent their movement during backfill operations.
- C. Place material simultaneously in lifts on both sides of pipe and, if applicable, between pipes, conduit, cables, and duct banks installed in same trench.

- 1. Pipe 10-Inch and Smaller Diameter: First lift less than or equal to 1/2 pipe diameter.
- 2. Pipe Over 10-Inch Diameter: Maximum 6-inch lifts.
- D. Thoroughly tamp each lift, including area under haunches, with handheld tamping bars supplemented by "walking in" and slicing material under haunches with a shovel to ensure that voids are completely filled before placing each succeeding lift.
- E. After the full depth of the pipe zone material has been placed as specified, compact the material by a minimum of three passes with a vibratory plate compactor only over the area between the sides of the pipe and the trench walls.
- F. Do not use power-driven impact compactors to compact pipe zone material.

# 3.06 MARKING TAPE INSTALLATION

- A. Continuously install marking tape along centerline of all buried piping, on top of last lift of pipe zone material. Coordinate with piping installation drawings.
  - 1. Detectable Marking Tape: Install with nonmetallic piping and waterlines.
  - 2. Nondetectable Marking Tape: Install with metallic piping.
  - 3. No. 12 AWG copper wire shall be laid in full length of all non-metallic lines.

# 3.07 BACKFILL ABOVE PIPE ZONE

# A. General:

- 1. Process excavated material to meet specified gradation requirements.
- 2. Adjust moisture content as necessary to obtain specified compaction.
- 4. Do not allow backfill to free fall into the trench or allow heavy, sharp pieces of material to be placed as backfill until after at least 2 feet of backfill has been provided over the top of pipe.
- 5. Do not use power driven impact type compactors for compaction until at least 4 feet of backfill is placed over top of pipe.
- 6. Backfill to grade with proper allowances for topsoil, crushed rock surfacing, and pavement thicknesses, wherever applicable.
- 7. Backfill around structures with same class backfill as specified for adjacent trench unless otherwise shown or specified.

## B. Class A Backfill:

- 1. Place in lifts not exceeding thickness of 9 inches.
- 2. Mechanically compact each lift to a minimum of 95 percent relative compaction prior to placing succeeding lifts.

#### C. Class B Backfill:

- 1. Place in lifts of suitable thickness.
- 2. Mechanically compact each lift prior to placing succeeding lifts.
- 3. Determine proper lift thickness, type of compaction equipment, method to use, and amount of compaction necessary to prevent settlement.

#### D. Class C Backfill:

- 1. Backfill with earth backfill.
- 2. Leave trench with backfill material neatly mounded across the entire trench width, but not more than 6 inches above the adjacent ground surface.
- 3. In lawn, garden, or similar type areas, maintain trench level with the existing adjacent grade.
- 4. At Other Locations:
  - a. Estimate and provide amount of backfill material required so that after normal settlement, the settled surface will match the adjacent ground surface.
  - b. Neatly windrow material over trench, and remove excess.
  - c. Correct excess or deficiency of backfill material apparent after settlement and within correction period by regrading, and disposing of excess material or adding additional material where deficient.
- E. Class D Backfill: Backfill with granular backfill. Determine thickness of lift, type of equipment and method to use, and amount of compaction required to prevent settlement. Backfill trench above the pipe zone with granular backfill in lifts not exceeding 8 inches. Compact each lift to a minimum of 95 percent relative compaction prior to placing succeeding lifts.

# F. Class E Backfill:

- 1. Backfill trench above pipe zone with **earth backfill 12** inches below original ground surface.
- 2. Fill remainder of trench with gravel surfacing rock over entire trench width.
- 3. Compact gravel surfacing rock by at least five passes with the wheels of a loaded 10-yard dump truck or other approved equipment over entire trench surface as necessary to prevent settlement.
- 4. Finish completed backfilled surface at same level as original surface.

#### G. Concrete Backfill:

- 1. Place above bedding.
- 2. Minimum Concrete Thickness: 6 inches on top and sides of pipe.
- 3. Do not allow dirt or foreign material to become mixed with concrete during placement.

- 4. Allow sufficient time for concrete to reach initial set before additional backfill material is placed in trench.
- 5. Prevent flotation of pipe.
- 6. Begin and end concrete backfill within 4 inches of a pipe joint on each end.
- 7. Do not encase pipe joints except within the limits of the concrete backfill.

# H. Controlled Low Strength Fill:

- 1. Discharge from truck mounted drum type mixer into trench.
- 2. Place in lifts as necessary to prevent uplift (flotation) of new and existing facilities.
- 3. In traveled areas fill entire trench section to pavement finish grade for a temporary driving surface, and screed off excess and finish with a float.
- 4. In other areas fill the trench section as shown.

#### 3.08 REPLACEMENT OF TOPSOIL

- A. Replace topsoil in top 12 inches of backfilled trench.
- B. Maintain the finished grade of topsoil even with adjacent area and grade as necessary to restore drainage.

# 3.09 MAINTENANCE OF TRENCH BACKFILL

- A. After each section of trench is backfilled, maintain the surface of the backfilled trench even with the adjacent ground surface until final surface restoration is completed.
- B. Gravel Surfacing Rock: Add gravel surfacing rock where applicable and as necessary to keep the surface of the backfilled trench even with the adjacent ground surface, and grade and compact as necessary to keep the surface of backfilled trenches smooth, free from ruts and potholes, and suitable for normal traffic flow.
- D. Topsoil: Add topsoil where applicable and as necessary to maintain the surface of the backfilled trench level with the adjacent ground surface.
- E. Asphaltic Pavement: Replace settled areas or fill with asphalt as specified in Section 02575, Pavement.
- F. Other Areas: Add excavated material where applicable and keep the surface of the backfilled trench level with the adjacent ground surface.

#### 3.10 SETTLEMENT OF BACKFILL

A. Settlement of trench backfill, or of fill, or facilities constructed over trench backfill will be considered as result of defective compaction of trench backfill.

# SECTION 02415 DIRECTIONAL BORING

#### PART 1. GENERAL

#### 1.1 DESCRIPTION

This section covers the work necessary for installation of pipelines by directionally controlled horizontal drilling or boring equipment. All pipe shall be butt fusion welded or equal. Directional drilling shall be constructed no less than 3' in depth, and no more than 10' in depth below ground surface.

# 1.2 SCOPE OF WORK

- A. Fabricate, directionally drill or bore and install the pipeline to the approximate lines and grades shown on the project plans.
- B. Clean up all affected sites, and restore all areas to pre-construction or better condition.
- C. The CONTRACTOR shall deliver the pipeline to the Owner in a clean and operable condition.
- D. If requested by the Owner, the CONTRACTOR shall provide three copies of complete as-built drawings. As-builts shall include plan view and profile view.

# 1.3 SUBMITTALS

- A. The CONTRACTOR shall prepare a schedule for the work and submit it to GHUD for approval. The schedule shall include all major tasks to be performed including the following:
  - 1. Rig mobilization and setup
  - 2. Pipe assembly
  - 3. Pilot hole drilling
  - 4. Pre-reaming
  - 5. Pretesting before installation
  - 6. Pipe pulling
  - 7. Pretesting after installation
  - 8. Restoration and demobilization
- B. At least 10 days prior to mobilization of equipment, the CONTRACTOR shall submit a detailed installation plan to the Owner for review and approval. This plan must also include a detailed description as to contingencies for potential fissures of drilling fluid.

#### 1.4 PERMITS

A. The CONTRACTOR shall maintain and operate all construction equipment and perform all work within designated easements, temporary construction easements, working areas, public right-of-way, and access roads.

B. The CONTRACTOR shall be responsible for obtaining all permits and regulatory authorizations for activities off the defined easements and working areas, including any permits required for mobilizing materials and equipment and disposal of drilling fluids and industrial debris. The CONTRACTOR will be responsible for paying all fines that may be imposed due to illegal discharge.

# PART 2. PRODUCTS

#### 2.1 GENERAL

The CONTRACTOR shall provide all materials, equipment and labor for completing the drill/bore and for adequate protection of the work.

# 2.2 EQUIPMENT AND MATERIALS TO BE FURNISHED BY CONTRACTOR

The CONTRACTOR shall furnish all equipment and material required to complete the Scope of Work which shall include but not be limited to the following:

- 1. Drilling equipment.
- 2. Water pumps, hoses, fittings, storage tanks, filters, hay bales, and silt fencing (as required).
- 3. Drilling fluids containment, collection, cleaning and disposal equipment, and materials.
- 4. Fuel and lubricants.
- 5. Bentonite and related mixing equipment.
- 6. All welding equipment and materials as required.
- 7. All hydrostatic and pneumatic testing equipment and materials.
- 8. Sidebooms, cranes, backhoes, trucks and other equipment and materials necessary to load and unload pipe and to support and smoothly transition the pipe while being pulled into the reamed hole.
- 9. All equipment and materials necessary to restore project areas to pre-existing condition or better.
- 10. Pipe for directional drilling shall be HDPE IPS 3408 SDR 11 with 20' or 40' lengths manufactured in compliance with ASTM F 714 and ASTM 3035, NSF 61 and AWWA 906.

# PART 3. EXECUTION

#### 3.1 INSTALLATION

- A. General: The CONTRACTOR shall install the section of pipeline by the horizontally drilled or bored, directionally controlled method of construction. This method shall consist of the drilling of a pilot hole within the designed tolerances for radius requirements, followed by enlargement of the hole to accommodate the product line.
- B. Instrumentation: The CONTRACTOR will at all times provide and maintain instrumentation which will accurately locate the pilot hole position relative to ground surface. Drill fluid flow rate and pressure must also be monitored. CONTRACTOR shall maintain and provide to the Owner, upon request, access to the data generated by the downhole survey tools.

#### C. Tolerances:

- Pipe installed by horizontal directional drilling or boring must be located as shown on the
  project drawings. The CONTRACTOR shall employ experienced personnel to operate the
  directional drilling or boring equipment and the monitoring and steering equipment. At
  the completion of the pilot hole the CONTRACTOR shall provide the Owner with the
  coordinates of the pilot hole as specified.
- 2. A smooth drilled pilot hole shall follow the design centerline of the pipe profile and alignment described on the project drawings.
- 3. The pilot hole (Borehole Entry Point) shall penetrate the ground surface as the approximate location shown on the project drawings.
- 4. The course of the pilot hole must stay within the given right-of-way at all points along the drilled route.
- 5. The CONTRACTOR shall have accurate working gauges, which register tensile force being used to pull the pipeline back through the reamed borehole. It is the CONTRACTOR's responsibility to prepare the reamed out hole such that pulling back operations do not exceed the tensile strength of the pipe. The CONTRACTOR shall provide estimated calculations for the pulling loads and allowable loads before pull back operations begin. If during the pipeline pulling process this force reaches 75% of the allowable load for the pipeline, the project inspector must be notified immediately. Logs must be kept intact referencing all forces exerted on the pipeline during the project.
- 6. The CONTRACTOR shall provide adequate supports along the stringing area to protect the pipe and allow free movement of the pipeline during pullback.
- 7. During pullback operations, CONTRACTOR shall monitor roller operation and use sidebooms if required to assist movement of the pipe. Situations which could cause damage to the pipe material, shall be corrected immediately. Damaged pipe shall be required to the satisfaction of the OWNER or replaced by the CONTRACTOR before pulling operations resume.

#### 3.2 DRILLING MUD AND CUTTINGS

- A. The Horizontal Directional Drilling or Boring operation is to be operated in a manner to eliminate the discharge of water, drilling mud and cuttings to water or land areas involvement during the construction process. CONTRACTOR shall immediately contain and clean up any inadvertent returns.
- B. Disposal of drilling fluids shall be the responsibility of the CONTRACTOR and shall be conducted in compliance with all relative environmental regulations, easement and workspace agreements and permit requirements. All costs related to disposal shall be the responsibility of the CONTRACTOR.

- C. Water supply in the CONTRACTOR's responsibility, whether purchased locally or hauled in.
- D. Drilling fluids must be free of all additives that will adversely effect the environment.

#### 3.3 REAM AND PULL BACK

- A. Pre-reaming: Pre-reaming operations shall be conducted at the discretion of the horizontal directional drilling or boring CONTRACTOR. All provisions of this specification relating to simultaneous reaming and pulling back operations shall pertain to pre-reaming operations.
- B. Pulling Loads: CONTRACTOR shall be responsible for determining safe pulling loads required for proper installation. Such loads shall be minimized as required to prevent failure of the pipeline during installation.
- C. Torsional Stress: A properly sized and fully operational swivel will be installed between the reaming assembly at the end of the drill pipe, and the pipeline to restrict torsional stress from being transmitted to the pipeline.
- D. CONTRACTOR may opt to fill the pipeline with water (ballasting) as installation proceeds to help prevent buckling and reduce buoyancy. The CONTRACTOR must completely clean and dry the pipeline after installation.
- E. Pull Section Support: The pull section shall be supported as it proceeds during pull back so that it moves freely and the pipe material is not damaged.

# 3.4 CLEANUP, REPAIRS AND RESTORATION

- A. The CONTRACTOR is responsible for leaving all areas affected by construction activities in a condition equal to or better than the condition before construction.
- B. The CONTRACTOR shall restore area around entry and exist pits as soon as work is completed. Fill to previous existing ground elevation and grade any areas where settlement occurs due to subsidence.

# SECTION 02485 SEEDING

#### 1. GENERAL

- 1.1 This work shall be performed in all disturbed areas not receiving such site improvements as buildings, roads, walks, sod, planting, etc., and shall include, but not necessarily be limited to, all seed bed preparation; the supplying and placing of soil additives, seed, and mulch wherever required by the drawings or directed by the Owner; and maintenance.
- 1.2 Unless otherwise approved in writing by the Owner, seeding operations shall be limited to the following planting periods:
  - A. Spring March 1 through May 30
  - B. Fall August 15 through October 31
- 1.3 Temporary seeding/strawing to support erosion minimization (and as required by the Stormwater Pollution Prevention Plan) shall be done with the project regardless of the season. Re-seeding for final stabilization shall occur during the specified planting period.
- 1.4 Refer to other sections for items affecting seeding. Coordinate this work with that specified by other sections for timely execution.
- 2. PRODUCTS

# 2.1 GRASS SEED

A. Kentucky 31 Fescue (Festuca Elatior) and/or annual rye meeting the requirements of the State Department of Agriculture and furnished in new bags or bags that are sound and not mended. No "below standard" seed accepted. Where lawns and fields have special grass, replace in kind.

## 2.2 FERTILIZER

A. Commercially manufactured; Grade 10-10-10; furnished in standard containers that are clearly marked with the name, weight, and guaranteed analysis of the contents and that ensure proper protection in transportation and handling; and in compliance with all local, state, and federal fertilizer laws.

## 2.3 AGRICULTURAL LIMESTONE

A. Containing a minimum of 85-percent calcium carbonate and magnesium carbonate combined, 85 percent of which passes a No. 10 mesh sieve.

#### 2.4 MULCH

- A. Stalks of rye, oats, wheat, or other approved grain crops properly cured prior to baling, air dried, and reasonably free of noxious weeds and weed seeds or other material detrimental to plant growth.
- 2.5 Sod shall comply with all TDOT requirements.

#### EXECUTION

- 3.1 Perform all seeding and related work as a continuous operation. Sow seed as soon as the seed bed has been prepared and perform subsequent work in a continuous manner.
- 3.2 Before beginning seeding operations in any area, complete the placing of topsoil and final grading, and have the work approved by the Owner.
- 3.3 Scarify, disk, harrow, rake, or otherwise work each area to be seeded until the soil has been loosened and pulverized to a depth of not less than 2 inches. Perform this work only when the soil is in a tillable and workable condition.
- 3.4 Apply fertilizer and agricultural limestone uniformly over the seed bed and lightly harrow, rake, or otherwise incorporate them into the soil for a depth of approximately 1 inch at the following rates:

Fertilizer: 15 pounds/1,000 square feet

Agricultural Limestone: 40 pounds/1,000 square feet

- 3.5 Sow seed uniformly with a rotary seeder, wheelbarrow seeder, or hydraulic equipment or by other satisfactory means.
- 3.6 The seeding rate shall be 5 pounds/1,000 square feet for Kentucky 31 Fescue (Festuca Elatior).
- 3.7 When seeding during March 1 through April 1 and October 1 through November 20, add an additional 3 pounds/1,000 square feet of annual rye grass.
- 3.8 Perform no seeding during windy weather or when the ground surface is frozen, wet, or otherwise untillable.
- 3.9 When seeding with mulch is specified, spread the mulch material evenly over the seeded areas immediately following the seeding operation.

Mulch Rate: 2 bales (100-pound minimum)/1,000 square feet

- 3.10 The mulch rate may be varied by the Owner, depending on the texture and condition of the mulch material and the characteristics of the area seeded. Cover all portions of the seeded areas with a uniform layer of mulch so that approximately 25 percent of the ground is visible.
- 3.11 No equipment, material storage, construction traffic, etc., will be permitted on newly seeded ground.
- 3.12 Dispose of all surplus materials as directed by the Owner.

# 3.13 INSPECTIONS

A. The Owner shall inspect the seeding within 60 days after planting and determine if it is acceptable.

#### 3.14 GUARANTEE

- A. Secure an acceptable growth of grass in all areas designated for seeding.
- B. An area is considered acceptable if it is represented by a minimum of 100 seedlings/ square foot of the permanent species of grass representative of the seed mixture. If an acceptable growth is not obtained on the first planting, reseeding and remulching will be required.
- C. If the planting is less than 50 percent successful, rework the ground, refertilize, reseed, and remulch.
- D. The Contractor shall be responsible for guaranteeing and maintaining all seeding for a twelve month period from the date of initial acceptance of the seeding as stated above.

# SECTION 02545 BORING AND CASING FOR SEWER LINES

#### GENERAL

- 1.1 The work to be performed hereunder shall consist of the installation of a casing pipe for the purpose of installing a force main or sewer line as shown on the Drawings or as called for in these specifications. It shall include the excavation of a boring pit, auger boring between the points specified on the Drawings, furnishing and installing of the carrier pipe, and disposing of the excavated materials in the manner herein provided.
- 1.2 The Owner will provide the necessary control points required by the Contractor for this construction. The Contractor will provide the detailed layout required to keep the bore on grade.

#### 2, PRODUCTS

- 2.1 CASING PIPE BORE AND/OR JACK CROSSINGS
- A. The casing pipe shall be of steel meeting the latest approved American Railway Engineering Association "Specifications for Pipelines for Carrying Flammable and Nonflammable Substances." The steel casing pipe shall have a minimum yield strength of 35,000 psi and shall have the minimum wall thickness shown in the following table:

(Fo	r Highway H20 Loa	(For Railroad E72 Loading)		
Carrier Pipe (Inches)	Casing Pipe (Inches)	Nominal Thickness (inches)	Casing Pipe (Inches)	Nominal Thickness (Inches)
2	6	0.250	8	0.250
4	8	0.250	10	0.250
6	12	0.250	14	0.250
8	16	0.250	18	0.281
12	20	0.281	24	0.375
16	24	0.375	30	0.500
20	30	0.500	30	0.500
24	36	0.500	36	0.625
30	42	0.500	42	0.625
36	48	0.625	48	0.750
42	54	0.625	54	0.875
48	60	0.750	60	0.875

2.2 PIPE: The carrier pipe shall meet the standards specified in Section 02713.

#### 2.3 CASING PIPE HDPE DIRECTIONAL BORES

A. The casing pipe shall be HDPE DR11 IDS pipe.

#### EXECUTION

# 3.1 BORING

A. The boring shall be accomplished by means of auguring to the size, line, and grade shown on the Drawings for either a directional bore with no casing or a directional bore and/or jack with a casing.

## 3.2 INSTALLATION OF CASING PIPE

- A. Jack the steel casing pipe into place as the boring proceeds. Weld sections of casing pipe together to provide watertight joints.
- B. Do not remove unacceptable casing without prior approval from the Owner. If the removal of casing pipe is permitted, make proper provisions to prevent caving in of the earth surrounding the casing.

# 3.3 INSTALLATION OF CARRIER PIPE

The carrier pipe(s) shall be furnished by the Contractor. Upon acceptance of the Α. casing, install the carrier pipe in the casing by jacking it through the casing. Spacers shall be used within the casing pipe. Casing Spacers shall be bolt style with a shell made in two sections of heavy T-304 Stainless Steel. Connecting flanges shall be ribbed for extra strength. The shell shall be lined with a PVC liner .090" minimum thickness with 85-90 durometer. All nuts and bolts are to be 18-8 stainless steel. Runners shall be made of ultra-high molecular weight polymer with inherent high abrasion resistance and a low coefficient of friction. Runners shall be supported by risers made of heavy 304 Stainless Steel. The supports shall be mig welded to the shell and all welds shall be passivated. The height of the supports and runners combined shall be sufficient to keep the carrier pipe at least 0.75" from the casing pipe wall at all times. A minimum of three spacers shall be placed on each joint of pipe. Casing spacers shall be made by Cascade Waterworks Mgf. Co. or Pipeline Seal and Insulator, Inc., Model S 12G-2. Each end of the casing pipe shall be sealed with a wrap-around end seal.

#### 3.4 TUNNELING ALTERNATIVE

A. In the event boring and jacking is impossible because of pipe size, rock, or other factors and the highway department or railroad will not permit open cutting, make crossings by tunneling using liner plates. Conduct tunneling operations as approved by the railroad or TDOT. If voids are caused by the tunneling

operations, fill by pressure grouting or by other approved methods that will provide proper support.

#### B. Galvanized Plates

- 1. After the plates are formed to shape, the plates shall be galvanized on both sides by the hot dip process. A coating of prime western spelter, or equal, shall be applied at the rate of not less than 2 ounces per square foot of double exposed surface. If the average spelter coating as determined from the required samples is less than the amount specified above, or if any 1 specimen shows a deficiency of 0.2 ounce, the lot shall be rejected. Spelter coating shall be of first class commercial quality free from injurious defects such as blister, flux, and uncoated spots.
- 2. The outside of the plates shall be given a bituminous coating meeting the AASHO M-190 specifications for bituminous protected corrugated metal pipe.

# C. Design and Construction

- Construct the tunnel by the tunnel method, and completely line on the inside with structural steel liner plates meeting all requirements specified hereinafter. The dimensions of the tunnel shall be as shown on the Drawings.
- 2. The tunneling operation is to commence from a pit that is a minimum of 12 feet long and 4 feet wider than the diameter of the tunnel, bottom to grade, and sheeted and shored, if necessary. Furnish line and grade stakes.
- 3. All excavation for the entire length of the tunnel shall be done by tunneling, and the work may be done from either or both ends of the conduit. Trim the periphery of the tunnel smooth to fit the outside of the steel liner plate as nearly as is practical, and fill all space outside of the steel liner plate with a sand cement grout mixture.
- 4. Install the steel liner plates immediately after the excavated material has been removed. Do not remove material more than 24 inches ahead of the installed liner plates.
- 5. Provide all necessary bracing, bulkheads, and/or shields to ensure complete safety to all traffic at all times during the progress of the work, and perform the work in such a manner as to not interfere with normal traffic over the work.
- 6. The steel lining shall consist of plates 16 inches wide, and each circumferential ring shall be composed of the number and length plates necessary to complete the required diameter.

- 7. The inside diameter of the completed ring shall be of a minimum size as called for as a casing pipe in Paragraph 2.1A, and no part of the plate or reinforcing ribs will be allowed to extend inside this net diameter.
- 8. The strength of the tunnel lining will be determined by its section modulus. In no case shall it be less than 0.0590 inch cubed per inch of plate width based on the average for 1 ring of plates. Thickness of the metal for these steel plates shall be not less than 10 gauge, allowing for standard mill tolerances. The tunnel strength shall be equal to AASHO railroad E80 loading at the depth of cover obtaining.
- 9. All plates shall be punched for bolting on both longitudinal and circumferential seams and shall be fabricated so as to permit complete erection from the inside of the tunnel. The longitudinal seam shall be of the lap type with offset equal to gauge of metal for the full width of the plate, including flanges, and shall have staggered bolt construction fabricated so as to allow the cross section of the plate to be continuous through the seam. All plates shall be of uniform fabrication, and those intended for 1 size tunnel shall be interchangeable.
- 10. The material used for the construction of these plates shall be new and unused and suitable for the purpose intended. Workmanship shall be first class in every respect.
- 11. Install the carrier pipe to the line and grade shown on the Drawings. The carrier pipe shall be adequately blocked inside the tunnel so that no part of the carrier pipe touches the tunnel liner. The blocking shall be such that the carrier pipe cannot move horizontally or vertically. The blocking shall be installed within one foot on each side of the bell of the carrier pipe and at the center of each joint. The main portion of the support shall be stainless steel with a PVC liner between the support and the carrier pipe. Detailed plans and specifications shall be submitted showing the proposed bracing and support of the carrier pipe inside the tunnel. Each end of the tunnel liner shall be plugged with brick and mortar.
- 12. All tunnel liners shall have one 2 inch grout coupling in every ring. Grout back of the rings as required.

# 4. GUARANTEE OF WORK

- 4.1 Guarantee a usable completed casing or tunnel between the points specified and to the line and grade specified. The allowable tolerance at the downstream end point of the bore shall be such that the invert of the carrier pipe may be positioned within a vertical area limited on the top by an elevation no higher than the elevation shown on the Drawings and on the bottom by an elevation no lower than the existing inlet pipe invert.
- 4.2 The allowable tolerance at the upstream end point of the bore shall be such that the invert of the carrier pipe may be positioned at the elevation shown on the Drawings.

# SECTION 02575 PAVEMENT REPAIR

#### GENERAL

- 1.1 The work specified by this section shall consist of repairing or replacing all damaged pavement, whether public or private. Dirt shoulders, roads, streets, drives, and walks are to be restored to their original condition as an incidental part of the installation of utilities. Repair damaged base on either side of a trench wherever necessary. Trim the oxidation surface to neat lines outside of the trench wall and repave the entire area as specified.
- 1.2 Both these specifications and the drawings make reference to the current edition of the standard specifications of the Tennessee Department of Transportation (TDOT) and the City of Friendsville. Even though the weather limitations, construction methods, and materials specifications contained in the TDOT specifications may not be explicitly repeated in these specifications, they shall, wherever applicable to the work called for by this section, be considered as implied and therefore adhered to. Refer to other sections for work related to that covered by this section.

#### 2. PRODUCTS

- A. Mineral Aggregate Base: Type A Base, Grading D crushed stone (TDOT Specification Section 303);
- B. Bituminous Prime Coats: cutback asphalt, Grade RC-250, or material emulsified asphalt, Grade AE-P (TDOT Specification Section 402);
- C. Aggregate for Cover Material: Size 7, 8 or 78 (TDOT Specification Section 402);
- D. Tack Coat: AE-3 (TDOT Specification Section 405);
- E. Bituminous Plant Mix Base (Hot Mix): Grading A, B, B (modified), or C, as or CW, as directed by the Engineer (TDOT Specification Section 307);
- F. Asphaltic Concrete Surface: Grading B or C as specified (TDOT Specification Section 411);
- G. Pavement Marking Paint: White and Yellow (TDOT Specification Section 716);

#### EXECUTION

#### 3.1 SUBGRADE

- A. Before any base material is installed, compact the subgrade of the area to be paved to 95 percent of optimum density as determined by ASTM D698 (Standard Proctor).
- B. The backfill material shall contain no topsoil or organic matter. For all areas where subgrade has been prepared, test for uniformity of support by driving a loaded dump truck at a speed of 2 to 3 mph over the entire surface. Make further improvements on all areas that show a deflection of 1 inch or more. When completed, the finished subgrade shall be hard, smooth, stable, and constructed in reasonably close conformance with the lines and grades that existed prior to beginning construction.
- C. When a base course is compacted, cut back the surface course of the existing pavement a minimum of 1 foot beyond the limit of the joint between the old and new base course or as shown on the standard drawings. Take special care to ensure good compaction of the new base course at the joint. Apply and compact the surface to conform to the existing pavement so that it will have no surface irregularity.

#### 3.2 BASE

A. Install a mineral aggregate base of the type specified above in accordance with TDOT specifications. The maximum compacted thickness of any one layer shall be 6 inches and the total thickness of the base shall be that indicated by the standard drawings or as shown on the plans.

#### 3.3 SEAL COAT SURFACE

A. Uniformly apply a bituminous prime coat of either emulsified asphalt, Grade AE-P, or cutback asphalt, Grade RC-250, over the entire width of the area to be surfaced at a rate of 0.3 gallons/square yard. Immediately after application, uniformly cover the entire area with Size 7 crushed stone chips at a rate of 12 pounds/square yard.

# 3.4 DOUBLE BITUMINOUS SURFACE

A. Apply the first course at a rate of 0.38 to 0.42 gallons/square yard with either emulsified asphalt, Grade RS-2, or cutback asphalt, Grade RC-800 or RC-3000, and then immediately cover with Size 6 crushed stone chips at a rate of 33 to 37 pounds/square yard. After this is rolled, apply the second course at a rate of 0.30

to 0.35 gallons/square yard, and at once uniformly cover with Size 7 chips at a rate of 20 to 25 pounds/square yard. Then roll the entire area.

B. After the application of the cover aggregate, lightly broom or otherwise maintain the surface for a period of 4 days, or as directed by the Owner. Maintenance of the surface shall include the distribution of cover aggregate over the surface to absorb any free bitumen and cover any areas deficient in aggregate. Sweep excess material from the entire surface with rotary brooms. Sweep the surface at the time determined by the Owner.

#### 3.5 ASPHALTIC CONCRETE BINDER

- A. Apply a bituminous prime coat of emulsified asphalt, Grade AE-P, or cutback asphalt, Grade RC-250, at a rate of 0.38 to 0.42 gallons/square yard. Take care to prevent the bituminous material's splashing on exposed faces of curbs and gutters, walls, walks, trees, etc. If such splashing does occur, remove it immediately. After the prime coat has been properly cured, apply an asphaltic concrete binder to the thickness shown on the standard drawings or the plans.
- B. Carefully place the material to avoid segregation of the mix. Broadcasting of the material will not be permitted. Remove any lumps that do not readily break down.

#### 3.6 ASPHALTIC CONCRETE SURFACE

A. If the asphaltic concrete surface course is to be placed directly on the mineral aggregate base, place a bituminous prime coat as described above. If, however, the surface course is to be placed on a binder course, then apply a bituminous tack coat of the sort specified above under PRODUCTS at a rate of 0.05 to 0.10 gallons/square yard. Take care to prevent the bituminous material's splashing on exposed faces of curbs, gutters, walls, walks, trees, etc. If such splashing does occur, remove it immediately. After the prime or tack coat has been properly cured, apply the asphaltic concrete to the thickness shown on the drawings or standard drawings. Apply the surface course as described above for the binder course.

# 3.7 SMOOTHNESS

A. The finished surfaces shall conform to the lines and grades that existed prior to construction. No deviations, variations, or irregularities exceeding 1/4 inch in any direction when tested with a 12-foot straightedge will be permitted in the finished work, nor will any depressions that will not drain. Correct all such defects.

# 3.8 SAMPLING AND TESTING

- A. Submit to the Owner test reports made by an independent testing laboratory on the crushed stone aggregate, bituminous materials, and asphaltic concrete design mixes, and obtain his approval of these reports before starting paving operations.
- B. Tests shall be made on the completed elements of the pavement to ascertain the compacted thickness of the base and surface courses. If sections with deficient thicknesses are found, the full section for a reasonable distance on each side of the deficiency shall be refused. Remove and reinstall all such sections. Patch all test holes in connection with thickness tests.
- C. When making surface tests, furnish one man to mark all surface defects for corrections.

# SECTION 02632 SANITARY SEWER PIPING

#### PART 1 GENERAL

## 1.01 REFERENCES

- A. The following is a list of standards which may be referenced in this section:
  - 1. American Water Works Association (AWWA):
    - a. C104/A21.4, Cement-Mortar Lining for Ductile-Iron Pipe and Fitting for Water.
    - b. C105.A21.5, Polyethylene Encasement for Ductile-Iron Pipe Systems.
    - c. C110/A21.10, Ductile-Iron and Gray-Iron Fittings, 3 inch Through 48 inch for Water and Other Liquids.
    - d. C111/A21.11, Rubber-Gasket Joints for Ductile-Iron Pressure Pipe and Fittings.
    - e. C151/A21.51, Ductile-Iron Pipe, Centrifugally Cast, for Water.
  - 2. ASTM International (ASTM):
    - a. A746, Standard Specification for Ductile Iron Gravity Sewer Pipe.
    - b. D1784, Standard Specification for Rigid Poly (Vinyl Chloride) (PVC) Compounds and Chlorinated Poly (Vinyl Chloride) (CPVC) Compounds.
    - c. D2412, Standard Test Method for Determination of External Loading Characteristics of Plastic Pipe by Parallel-Plate Loading.
    - d. D3034, Standard Specification for Type PSM Poly (Vinyl Chloride)(PVC) Sewer Pipe and Fittings.
    - e. D3212, Standard Specification for Joints for Drain and Sewer Plastic Pipes Using Flexible Elastomeric Seals.
    - f. F477, Standard Specification for Elastomeric Seals (Gaskets) for Joining Plastic Pipe.
    - g. F679, Standard Specification for Poly (Vinyl Chloride) (PVC).
    - h. F794, Standard Specification for Poly (Vinyl Chloride) (PVC) Profile Gravity Sewer Pipe and Fittings Based on Controlled Inside Diameter.
    - F894, Standard Specification for Polyethylene (PE) Large Diameter Profile Wall Sewer and Drain Pipe.

## PART 2 PRODUCTS

## 2.01 DUCTILE IRON PIPE

A. Material: ANSI/AWWA C151/A21.51. Centrifugally Cast, Grade 60-42-10 iron, Pressure Class 350.

- B. Interior Lining: Cement Mortar: ANSI/AWWA C104/A21.4, except cement shall conform to ASTM C150, Type II, with seal coat. All gravity and force main piping shall be coated with a standard 401 protecto corrosion lining in the interior of all piping. The outside of all pipe shall be bituminous coated. Pipe shall be manufactured by American Cast Iron Pipe Company, U.S. Pipe and Foundary Company, Clow Corporation, McWane or Griffin.
- C. Fittings: ANSI/AWWA C110/A21.10 with coatings the same as per all gravity and force main piping.
- D. Joints: Rubber Gasketed Push-On, ANSI/AWWA C111/A21.11 with lubricant as approved by manufacturer.
- E. Plugs: Removable. Removal shall provide a socket suitable for making a flexible jointed lateral connection or extension.

# 2.02 POLYVINYL CHLORIDE (PVC)

- A. Pipe 15-inch diameter and under: ASTM D3034. Standard Dimension Ratio less than 35, except that the cell classification shall be 12454-B or 12454-C as defined in ASTM D1784.
- B. Pipe 18-inch through 24-inch diameter: ASTM F679. Standard Dimension Ratio less than 35, except that the cell classification shall be 12454-C as defined in ASTM D1784.
- C. Joints: ASTM D3212 rubber gasketed.
- D. Fittings: PVC, gasketed. Provide plug when service piping is not required.
- E. Plugs: Removable. Removal shall provide a socket suitable for making a flexible jointed lateral connection or extension.
- F. Source Quality Control: In accordance with specified ASTM.

#### 2.03 HDPE PIPE

A. HDPE shall be 4710 DR 11 IPS, 20- OR 40-foot laying lengths

#### 2.04 PLUG VALVES

- A. Plug valves shall be of the non-lubricated eccentric type with an elastomer covering the entire plug seating surfaces, both front and back. The elastomer shall be chosen for the service intended.
- B. Flanged valves shall be manufactured in accordance with ANSI B16.1 Class 125/150 including facing, drilling, and flange thickness.

- C. Ports shall be round on sizes 2-1/2" 12" and rectangular port design on valves 14" and larger. All valves shall be capable of being pigged with a soft pig with required. Valve bodies shall be of ASTM A126 Class B Cast Iron for sizes 24" and larger in compliance with AWWA C- 517.
- D. The plugs shall be of one piece solid construction with PTFE thrust bearings on the upper and lower bearing journals to reduce torque and prevent dirt and grit from entering the bearing and seal area. Valves shall be furnished with replaceable stainless steel, sintered sleeve type bearings conforming to AWWA C-517. Bearings shall be of sintered, oil impregnated type 316 stainless steel ASTM A-743 Grade CF-8M.
- E. Upper valve shaft packing shall be of the "Vee" type in accordance with AWWA C-517. Packing shall be fully adjustable and replaceable without removing the actuator from the valve.
- F. Worm gear operated valves shall be constructed with a ductile iron quadrant, a one-piece input worm shaft, and axial needle roller bearings. The one-piece worm shaft shall be manufactured of corrosion resistant Nitempered Steel.
- G. Valves shall be designed and manufactured to shut off drip tight at 175 PSI for valves 2-1/2"- 12", and 150 PSI for valves larger than 14". Each valve shall be given a hydrostatic and seat test with the test results being certified when required by the customer. Certified copies of Proof-of-Design test reports shall be furnished as outlined in AWWA C-517 when requested.
- H. Plug valves shall be 800 series as manufactured by Crispin/KFLO of Berwick, PA, Dezurik, Henry Pratt or equal. Plug valves shall have a 2" nut on top to turn the valve on and off.

# 2.05 SWING CHECK VALVES

- A. Check valves shall be all iron body, bronze mounted, full opening swing type. Valve disc shall swing completely clear of the waterway when the valve is full open, permitting a "full flow" thru the valve equal to the nominal pipe diameter. They shall comply with AWWA Standard C-508 latest revision.
- B. Check Valves shall be rated at 200 PSI water working pressure, 350 PSI hydrostatic test for structural soundness. Seat tightness at rated working pressure shall be in accordance with and fully conform to AWWA C508.
- C. Check Valves shall be furnished with type of end connection as follows: 125# ANSI flanged ends. The check valve will require either PI DI spools or uni-flanges.
- D. All cast iron shall conform to ASTM-A-126 Class B. Castings shall be clean and sound without defects that will impair their service. No plugging or welding of such defects will be allowed. Valve will be coated externally with phenolic primer. Discs shall be cast iron, rubber faced. Hinge pins shall be 18-8 stainless steel rotating in bronze plugs.
- E. Bolts shall be electro-zinc plated with steel with hex heads and hex nuts in accordance with ASTM A-307 and A-563.

- F. Check valves shall be spears PVC type in 2" size only.
- G. Check valves shall be manufactured by Crispin Valves of Berwick, PA, Mueller, MGH, DeZurik or equal.

# 2.06 AIR RELEASE VALVES

A. Release Valves shall be 2" by ARI or equal acceptable to the City of Friendsville. The valve assembly shall include a saddle, a 4"-6" threaded nipple, a 2" ball valve, a 4"-6" nipple and then the ARI valve. The saddle shall be a double strap Mueller DR 2S and the ball valve shall be stainless steel full port by Milwaukee or equal.

# 2.07 VALVE BOX – CHECK/PLUG VALVE

A. A polymer concrete box of the size necessary to house the entire check/plug valve shall be by Moolean Highlite or equal. The box shall be roadway rated as applicable. At least 12" of operating space on all sides of the valves shall be provided.

# 2.08 BALL VALVE/CHECK VALVE FOR SERVICE

A. A Matco-Norca stainless steel 1-1/2" ball valve, and check valve or equal shall be provided in a NDS company meter box at each service assembly principally located at the property line. The box is 24" long and 13" wide in a green box and lid.

#### 2.09 SERVICE LINE CONNECTION

A. A wide double band epoxy coated stainless steel saddle by Romac or Mueller shall be used to install a tap by a normal tapping tool for the connection of a service line to the main force main. A stainless steel ball valve (1-1/2") with a 3" minimum threaded nipple from the ball valve to the saddle shall be installed at each service line connection. The nipple shall be Matco-Norca or equal. A Harco Philmac Compression Fitting shall be used to compress HDPE CTS 1-1/2" service line. The service line shall be 1-1/2" HDPE CTS 4710 DR 9 pipe.

# PART 3 EXECUTION

# 3.01 INSTALLATION OF PIPE, FITTINGS, AND APPURTENANCES

# A. General:

- 1. Pipe laying shall proceed upgrade with spigot ends pointing in direction of flow.
- 2. Excavate bell holes at each joint to permit correct assembly and inspection of entire joint.
- 3. Pipe invert may deviate from line or grade up to ½ inch for line and ¼ inch for grade, provided that finished pipe line will present a uniform bore, and such variation does not result in a level or reverse sloping invert, or less than minimum slope shown.

- 4. Pipe bedding shall form continuous and uniform bearing and support for pipe barrel between joints. Pipe shall not rest directly on bell or pipe joint.
- 5. Prevent entry of foreign material into gasketed joints.
- 6. Plug or close off pipes that are stubbed off for manhole, concrete structure, or for connection by others, with temporary watertight plugs.
- B. Ductile Iron Pipe Corrosion Protection (where shown on plans):
  - 1. Remove foreign material from the exterior of the pipe.
  - 2. Wrap pipe with polyethylene encasement tube 2 feet longer than the pipe section prior to laying pipe section. After assembling the pipe joint, overlap encasement tube with adjacent tube and seal joints with securing tape.
  - 3. Provide additional securing tape at 3 foot intervals along the pipe.
  - 4. Repair rips, punctures, or other damage to the polyethylene with securing tape.
  - 5. Fitting may be wrapped with a flat sheet or split tube provided all seams are securely taped.

#### 3.02 PRESSURE TESTING

A. As specified in Section 02532.

#### 3.03 REPAIR AND TESTING

- A. Sections of pipe not meeting the pressure test requirements shall be replaced or have individual joints tested and sealed.
- B. Following repairs, sections shall be retested as specified.

#### 3.04 SEWER CLEANING

- A. Prior to final acceptance and final inspection of the sewer system by Engineer and Owner, flush and clean all parts of the system. Remove all accumulated construction debris, rocks, gravel, sand, silt, and other foreign material from the sewer system at or near the closest downstream manhole. If necessary, use mechanical rodding or bucketing equipment.
- B. Upon Engineer's final inspection of sewer system, if any foreign material is still present in the system, reflush and clean the sections and portions of the lines as required.

# SECTION 02713 WATER LINES AND FORCE MAIN

# 1. GENERAL

- 1.1 Furnish all material, equipment, tools, and labor in connection with the force main and water line, complete and in accordance with these specifications.
- 1.2 It shall be the Contractor's responsibility to ensure that all necessary materials are furnished to him and that those found to be defective in manufacture are replaced at no extra cost to the Owner. Materials damaged in handling after being delivered by the manufacturer shall be replaced at the Contractor's own expense. If installed material is found to be defective before the final acceptance of the work, the cost of both the material and labor needed to replace it shall not be passed on to the Owner.
- 1.3 The Contractor shall be responsible for safely storing materials needed for the work that have been accepted by him until they have been incorporated into the completed project. Keep the interiors of all pipes, fittings, and other accessories free from dirt and foreign matter at all times.
- 1.4 Refer to other sections for work related to that specified by this section. Coordinate this work with that required by other sections for timely execution.
- 1.5 Reaction blocking (thrust blocks) shall be installed as shown on the Standard Drawings. Wherever reaction blocking is necessary, it shall be considered an integral part of the water line work.
- 1.6 Materials will be visually inspected by the OWNER at the site for conformance to the specifications. At the OWNER's discretion, the CONTRACTOR may be required to supply certified mill tests, samples, or other suitable form of verification that the material meets the required specifications.

#### PRODUCTS

#### 2.1 DUCTILE IRON PIPE AND FITTINGS

- A. Ductile iron pipe shall conform to the requirements of the latest revision of ANSI/AWWA A21.51/C-151 for ductile iron pipe centrifugally cast in metal or sandlined molds. It shall be made and tested in accordance with ASTM A536 and be subjected to and able to withstand a hydrostatic pressure of 500 psi.
- B. The pipe shall be plain end ductile iron pipe with push-on joint, single gasket joints. The design thickness shall be that specified in ANSI A21.50/AWWA C150 and a pressure class of 350. Pipe shall be manufactured by American Cast Iron Pipe Company, U.S. Pipe and Foundry Company, Clow Corporation, McWane or Griffin. All pipe shall be of the same manufacturer.

- C. A bituminous coating approximately 1 mil thick shall be applied to the outside surfaces of all ductile iron pipe fittings. The finished coating shall be continuous, smooth, and its properties shall not vary with changes in temperatures. The coating shall be strongly adherent to the metal.
- D. The length of each individual piece of ductile iron pipe shipped must be plainly marked on that piece of pipe. Ductile iron pipe is required under all road crossings and in casing. Joint restraint shall be utilized for ductile iron pipe.
- E. The push-on single gasket joints shall be UL approved and shall be either "Fastite" by American Cast Iron Pipe Company, or "Tyton" by U.S. Pipe and Foundry Company.
- F. The bell of each pipe shall have a tapered annular opening and a cast or machined retaining groove for the gasket. The gasket groove shall have a flared design so that maximum deflection will be provided. The plain spigot end of the pipe shall be beveled in order to simplify its entry into and centering within the bell and the compression of the gasket.
- G. The gasket shall be of high quality vulcanized rubber made in the form of a solid ring to exact dimensions. The design of the gasket groove in the bell of the pipe and the design, hardness, and other properties of the gasket itself shall be such that the joint is liquid tight for all pressures from a vacuum to the maximum internal liquid pressure of 350 psi.
- H. Enough lubricant shall be furnished with each order to provide a thin coat on the spigot end of each pipe. This lubricant shall be shall be nontoxic, impart no taste or smell, and have no harmful effect on the rubber gasket. It shall have a consistency that will allow it to be easily applied to the pipe in either hot or cold weather and that will enable it to adhere to either wet or dry pipe.
- Standard and special fittings shall be ductile iron. Use standard mechanical joint fittings or anchoring tees at hydrant locations. All fittings shall conform to ANSI A21.10/AWWA C110.
- J. Pipe and pipe fittings shall have cement linings as specified in ANSI A21.4/AWWA C104. In addition, an asphalt emulsion spray coat approximately 1 mil thick shall be applied to the cement lining in accordance with the pipe manufacturer's standard practices. A petroleum asphaltic coating approximately 1 mil thick shall be applied to the outside of the pipe.
- K. All fittings shall be mechanical joint unless otherwise shown on the drawings. Where flanged is shown, no substitution of a Uni-Flange type joint will be used. Fitting laying lengths shall conform to ANSI A21.10/AWWA C110, short body or ANSI A21.53/AWWA C153, compact.

- L. Fittings shall be in accordance with the standard mechanical joint fittings manufactured by the U.S. Pipe and Foundry Company, American Ductile Iron Pipe Company, Griffin, Union Foundry, Tyler, Star, Sigma, or Pipeline Components, Inc.
- M. Mechanical joint restraint, Meg-a-lug or approved equal, shall be required for a minimum of 40 feet in all directions from each and every fitting. Slip joint restraint shall be gripper gaskets.
- N. Flanged fittings and other specials shall be of ductile iron and shall be manufactured to ANSI A21.10/AWWA C110 or ANSI B16.1 specifications for a minimum working pressure of 250 psi. The flanges of pipe, fittings, and specials shall be drilled to standard 125 pound template. Flanged pipe and all fittings shall be supplied with gaskets and bolts.
- O. The pipe manufacturer is to furnish the Owner a certificate of inspection, sworn to by the factory inspector in the presences of a notary public, stating that the pieces of pipe in the shipment were made and tested in accordance with ANSI A21.51 and that they were subjected to and withstood a hydrostatic pressure of 500 psi. Each statement is to give the number of pieces of pipe in the shipment, the length of each piece of pipe, and the serial number of each piece of pipe making up the shipment. In addition, the weight of each individual piece of pipe making up the shipment is to be listed opposite the serial number of each pipe length and attached to the certificate of inspection.
- P. Restraint devices for nominal pipe sizes 3-inch through 48-inch shall consist of multiple gripping wedges incorporated into a follower gland meeting the applicable requirements of ANSI/AWWA C110/A21.10. The devices shall have a working pressure rating of 350 psi for 3-16 inch and 250 psi for 18-48 inch. Ratings are for water pressure and must include a minimum safety factor of 2 to 1 in all sizes. Gland body, wedges, and wedge actuating components shall be cast from grade 65-45-12 ductile iron material in accordance with ASTM A536. Restraint device shall be MEG-ALUG® or Owner approved equal.
- Q. Restrained Joint gaskets available for nominal pipe sizes 6-inches through 48-inches shall conform to standard dimensions and weights per ANSI/AWWA C151. The restrained joint gasket assembly shall provide a positive locking system that prevents joint separation. The design of the restrained joint gasket shall allow deflection after assembly while maintaining uniform load distribution. The joint shall be a push-on joint and shall be completely boltless.

# 2.2 PVC PIPE

A. All plastic pipe shall be made from Class 12454-B polyvinyl chloride plastic (PVC 1120) as defined by ASTM D1784. The pipe diameters shall conform to dimensions of cast iron pipe. All pipe shall be C-900, DR-14; SDR-17 Class 250 psi; or SDR-21 Class 200 psi. Pipe material shall be at the Owners discretion.

- B. Pipe shall be of the bell and spigot type with a rubber ring suitable to meet all the test requirements of these specifications.
- C. All pipes shall comply with TDEC criteria for pressure class applications, shall have NSF approval, and be manufactured in accordance with ASTM D2241. The following tests shall be run for each machine on each size and type of pipe being produced, as specified below:
  - 1. Flattening Test: once per shift in accordance with ASTM D2412. Upon completion of the test, the specimen shall not be split, cracked, or broken.
  - Acetone Test (Extrusion Quality Test): once per shift in accordance with ASTM D2152. There shall be no flaking, peeling, cracking, or visible deterioration on the inside or outside surface after completion of the tests.
  - 3. Quick Burst Test: Once per 24 hours in accordance with ASTM 5199.

SDR	PRESSURE RATING	MINIMUM BURSTING PRESSURE, psi
14	200	1,200
17	250	1,000
21	200	1,000

- 4. Impact Tests: for 6 inches and larger, once per shift in accordance with ASTM D2444; for 4 inches and smaller, once each 2 hours in accordance with ASTM D2444.
- 5. Wall Thickness and Outside Dimensions Tests: once per hour in accordance with ASTM D2122.
- 6. Bell Dimensions Test: once per hour in accordance with ASTM D3139.
- D. If any specimen fails to meet any of the abovementioned tests, all pipe of that size and type manufactured between the test periods must be scrapped and a full set of tests rerun.
- D. Furnish a certificate from the pipe manufacturer stating that he is fully competent to manufacture PVC pipe of uniform texture and strength and in full compliance with these specifications and further stating that he has manufactured such pipe and done so in sufficient quantities to be certain that it will meet all normal field conditions. In addition, the manufacturer's equipment and quality control facilities must be adequate to ensure that each extrusion of pipe is uniform in texture, dimensions, and strength. Also furnish a certificate from the manufacturer certifying that the pipe furnished for this project meets the requirements of these specifications.
- E. All pipe shall be manufactured in the United States of America. All pipe for any one project shall be made by the same manufacturer.

- F. All pipe may be furnished in the manufacturer's standard laying lengths of 20 feet. The Contractor's methods of storing and handling the pipe shall be approved by the OWNER. All pipe shall be supported within 5 feet of each end; in between the end supports, there shall be additional supports at least every 15 feet. The pipe shall be stored away from heat or direct sunlight. The practice of stringing pipes out along the proposed water line routes will not be allowed.
- G. Certain information shall be applied to each piece of pipe. At the least, this shall consist of:
  - 1. Nominal size
  - 2. Type of material
  - 3. SDR or class
  - 4. Manufacturer
  - 5. NSF Seal of Approval
- H. Pipe that fails to comply with the requirements set forth in these specifications shall be rejected.
- I. The pipe shall have push-on joints designed with grooves in which continuous molded rubber ring gaskets can be placed. Gaskets shall be made of vulcanized natural or synthetic rubber; no reclaimed rubber will be allowed. The gaskets shall be of the manufacturer's standard design dimensions and of such size and shape as to provide a positive seal under all combinations of joint and gasket tolerance. The gasket and annular groove shall be designed and shaped so that when the joint is assembled, the gasket will be radially compressed to the pipe and locked in place against displacement, thus forming a positive seal.
- J. The spigot end of each pipe shall be beveled so that it can be easily inserted into the gasket joint, which in turn shall be designed so that the spigot end may move in the socket as the pipe expands or contracts. The spigot end shall be striped to indicate the distance into which it is to be inserted into the socket. Each joint shall be able to accommodate the thermal expansions and contractions experienced with a temperature shift of at least 75 degrees F.
- K. Enough lubricant shall be furnished with each order to provide a coat on the spigot end of each pipe. This lubricant shall be nontoxic, impart no taste or smell to the water, have no harmful effect on the gasket or pipe material, and support no bacterial growth. The lubricant containers shall be labeled with the manufacturer's name.
- L. Joints shall be manufactured in accordance with ASTM D3139 except that the thickness of the bell shall be, as a minimum, equal to that of the barrel. Joints shall be either integral bell and ring joints with rubber compression gaskets as manufactured by the Clow Corporation, Johns-Manville, or Vulcan Plastic Corporation; twin gasket couplings as

- manufactured by the Certain-Teed Products Corporation; or equal. However, the pipe and bell must be made by the same manufacturer.
- M. Standard and special fittings shall be gray iron or ductile iron. Use standard mechanical joint fittings. All fittings shall conform to the specifications of ANSI A21.10/AWWA C110 or ANSI A21.53/AWWA C153. The gaskets shall be ducked tipped transition fittings for use with PVC pipe.
- N. Fittings shall be lined with enameline or a thin cement lining as specified in ANSI A21.4/AWWA C104; this lining is to be furnished at no extra cost. In addition, a bituminous seal coat or asphalt emulsion spray coat approximately 1 mil thick shall be applied to the cement lining in accordance with the pipe manufacturer's standard practices.
- O. Fitting laying lengths shall conform to ANSI A21.10/AWWA C110 or ANSI A21.53/AWWA C153.
- P. Fittings shall be in accordance with the standard mechanical joint fittings manufactured by the U.S. Pipe and Foundry Company, American Cast Iron Pipe Company, Clow Corporation, or equal.
- Q. No. 14 copper wire shall be laid in full length of all non-metallic lines. All force main shall have tracer wire nutted and scaled with silicone to force main tracer wire. The wire shall come up to outside of valve boxes. Wire shall be duct taped directly to the top of force main. Locating tape shall be installed directly above pipe and buried one foot above the pipe.

#### 2.3 SERVICE LINE

A. Service line tubing shall be HDPE 200 psi, SDR 9 PE 3408 CTS. Material shall be determined by the Owner. Both shall be of the size designated on the plans or a minimum of 1-1/2" diameter.

#### 2.4 HDPE

A. HDPE shall be 4710 DR11 IPS pipe in 20' or 40' joints.

#### 2.5 SERVICE – BALL/CHECK VALVE ASSEMBLY

A. A 1-1/2" ball valve/check valve assembly in a jumbo meter box shall be installed at each lot specified. The ball valve shall be stainless steel and include a stainless steel check valve by Matco-Norca or equal. A 1-1/2" diameter threaded long nipple shall be provided between the check valve and ball valve.

#### EXECUTION

#### 3.1 INSTALLATION OF WATER LINES AND FORCE MAINS

- A. Lay the line to and keep it at the lines and grades required by the Drawings. All fittings, valves, and hydrants shall be at the required locations, and spigots well centered in the bells and all valve and hydrant stems plumb.
- B. The pipe shall be uniformly and continuously supported throughout the entire length on a firm stable material. Where required, Size 67 crushed stone used for bedding shall meet the requirement of the Tennessee Department of Transportation.
- C. Unless otherwise indicated by the Drawings, all lines shall have at least 36inches of cover. Any line installed within the traveled shoulder or pavement of a state highway shall have a minimum depth of cover of at least 48-inches. The pipe shall slope continuously between high and low. No departure from this policy shall be made except at the order of the Owner.
- D. Install line so there is no more than five degrees of deflection per 20 foot length of regular push-on pipe. Pipe shall be laid in straight lines and grade without kinks or sags and shall be laid in a workmanlike manner.
- E. Provide and use tools and facilities that are satisfactory to the Owner and that will allow the work to be done in a safe and convenient manner. All pipe, fittings, valves, and hydrants are to be unloaded from the trucks using suitable tools and equipment. Use a derrick, ropes, or other suitable equipment to lower all pipe and fittings into the trench one piece at a time. Carefully lower each piece so that neither it nor any protective coating or lining it may have will be damaged. Under no circumstances, drop or dump water line materials into the trench.
- F. If any defective item is discovered after the pipe is laid, the item shall be removed and replaced with a satisfactory item. In case a length of pipe is cut to fit a line, it shall be cut so as to leave a smooth end at right angles to the longitudinal axis of the pipe as per the latest revision of AWWA C600.
- G. Lower no pipes and fittings into the trench until they have been swabbed to remove any mud, debris, etc., that may have accumulated within them. After the pipe has been lowered, remove all unnecessary materials from it. Before any pipe is laid, brush and wipe clean the outside of its spigot end and the inside of its bell and ensure that the pipe is dry and oil-free.
- H. Take every precaution to keep foreign material from getting into the pipe while it is being placed in the line. If the crew laying the pipe cannot put it into the trench and in place without allowing earth to get inside it, then place a heavy, tightly woven canvas bag of

suitable size over each end of the pipe and leave it there until it is time to connect that pipe to the one adjacent to it.

- 1. Place no debris, tools, clothing, or other materials in the pipe during laying operations.
- J. After a length of pipe has been placed in the trench, center the spigot end in the bell of the adjacent pipe, and then insert to the depth specified by the manufacturer and bring to the correct line and grade. Secure the pipe in place by tamping an approved backfill material around it.
- K. Bell holes shall be big enough so that there is ample room for the pipe joints to be properly made. Between bell holes, carefully grade the bottom of the trench so that each pipe barrel will rest on a solid foundation for its entire length.
- L. Whenever pipe laying is not in progress, close the open ends of pipe in the trench with a watertight plug or by other means approved by the Owner. This shall be done not only at the end of each working day but also before work is stopped for lunch periods, bad weather, or any other reason. If there is water in a trench, leave this seal in place until the trench has been pumped completely dry.
- M. The cutting of pipe so that fittings or closure pieces can be inserted shall be done in a neat and workmanlike manner and without any damage to the pipe. Follow the manufacturer's recommendations concerning how to cut and machine the ends of the pipe in order to leave a smooth end at right angles to the pipe's axis.
- N. The flame cutting of pipe by means of an oxyacetylene torch will not be allowed.
- O. Unless otherwise directed by the Owner, lay pipe with the bell ends facing in the direction of laying.
- P. Wherever pipe must be deflected from a straight line (in either the vertical or horizontal plane) in order to avoid obstructions or plumb stems, or wherever long radius curves are permitted, the amount of deflection shall not exceed that necessary for the joint to be satisfactorily made, nor that recommended by the pipe manufacturer, and shall be approved by the Owner.
- Q. Lay no pipe in water or when it is the Owner's opinion that trench conditions are unsuitable. If crushed stone is used to improve trench conditions or as backfill for bedding the pipe, this shall be considered incidental to the project, and no separate payment will be made for its use.
- R. Where a water line or force main crosses over a sanitary sewer, use a full joint of pipe and center over the sewer. Where a water line is to be parallel to a sanitary sewer, lay it at least 10 feet from the sewer. If it is not practical for the water line and sewer line to be separated as described, then lay the water line at least 18 inches above the top of the

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- sewer. When using ductile iron pipe, the top of 4" concrete should be below bottom of water level and 6" of concrete on top of pipe.
- S. Install thrust blocks wherever the force main changes direction (e.g., at tees and bends), at dead ends, or at any other point where the manufacturer recommends and/or in accordance with Figure 1. Thrust blocks shall be considered an integral part of the force main work.
- T. Make all joints, whether standard mechanical or push-on joints, in conformance with the recommendations of the joint manufacturer as approved by the Owner.
- U. Air valves shall be located at all high points on the force main or water line as shown on the drawings.
- V. When the water line crosses a ditch, creek, or stream, or as shown on the Drawings, a 6"concrete cap shall be placed above and below the pipe at the bottom of the ditch or stream bed to minimize potential erosion over the pipe. See Figure 2 in this section. All pipe shall be ductile iron pipe.
- W. Any and all pipe installed in an existing or future roadway shall be Ductile Iron Pipe restrained the entire length of the crossing, including shoulders on each side of the roadway. Backfill trench in accordance with specification section 02221.

#### 3.2 INSTALLATION OF FLANGED PIPE

- A. Install flanged ductile iron pipe in the manner specified above except that the faces of the flanges shall be carefully centered and the sections adjusted to proper line and grade before the flange bolts are tightened. Place gaskets in position without damage. Discard and replace any gasket damaged in the process. Attach gaskets to the flange with rubber gum before the joint is made up in a manner that will prevent displacement. After the pipes have been properly centered and adjusted to true line and grade, firmly bolt them together, taking care to tighten all nuts around the flange to the same degree of pressure.
- B. Unless otherwise shown on the Plans, all flanged pipe shall extend 5 feet from all structures.

#### 3.3 DISINFECTION

- A. If dirt of other foreign material that has gotten into a pipe will not, in the opinion of the Owner, be removed by flushing, the interior of the pipe shall be cleaned and swabbed with a disinfection solution of 5% hypochlorite.
- B. Water shall be diverted into the pipe from the existing distribution system or some other source approved by the Owner into the newly laid pipe. Chlorine additives shall be so proportioned that the chlorine concentration is kept at a minimum of 50 mg/l available

- chlorine. To ensure that this concentration is maintained, measure the chlorine residual at regular intervals.
- C. Table I shows the chlorine amount needed for each 100 feet of water line for various diameters. A 1 percent chlorine solution may be prepared either with 1 pound of calcium hypochlorite for each 8.5 gallons of water or with sodium hypochlorite.

Table I
Chlorine Required to Produce a 50 mg/l Concentration in 100 Feet of Pipe, by
Diameter

Pipe Size (Inches)	100% Chlorine (pounds)	0.8% Chlorine Solutions (gallons)
6	0.061	0.73
8	0.108	1.30
12	0.240	2.88
16	0.428	5.20
18	0.540	6.58
20	0.680	8.12
24	0.980	11.70
30	1.526	18.28
36	2.197	26.32
42	2.991	35.83
48	3.906	46.80

- D. While chlorine is being applied, the Contractor shall manipulate valves so that the treatment dosage will not flow back into the line that is supplying the water. The Contractor shall continue the application of chlorine until the entire line being treated is filled with chlorine solution. Then the Contractor shall retain the chlorinated water in the line for at least 24 hours, during which time all valves and hydrants in the line being treated shall be operated so that appurtenances can also be disinfected. After 24 hours, the treated water shall have a chlorine concentration of at least 25 mg/l throughout the line.
- E. After the applicable retention period, the Contractor shall dechlorinate and flush the heavily chlorinated water from the line until the chlorine concentration in the water leaving the main is no higher than that generally prevailing in the system, or less than 1 mg/l. The Contractor shall perform flushing only at sites where there is adequate drainage and as approved by the Owner.
- F. The velocity of the water used to flush a line shall be at least 2.5 feet per second. The flow rates required to produce this velocity in various sizes of pipe are shown in Table II.

- G. Once a line has been flushed, the Owner shall test to make certain that the residual chlorine in the water is within acceptable limits.
- H. Note that flushing is no substitute for taking preventative measures before and during the laying of water lines. Certain contaminants, especially those in caked deposits, are difficult or even impossible to remove by flushing no matter how high the velocity. Furthermore, in pipes with diameters of 16 inches or more, it can be difficult to achieve even the minimum recommended flushing velocity of 2.5 feet per second.

Table II
Required Openings to Flush Pipelines
(40 psi Residual Pressure)

	1	(	= <b>/</b>	
	Flow Required to			Hydrant
Pipe Size	Produce 2.5 fps	Orifice Size	Number of	Nozzle Size
(Inches)	Velocity (gpm)	(Inches)	Hydrant	(Inches)
			Outlets	
6	220	1 - 3/8	1	2 – 1/2
. 8	390	1 - 7/8	1.	2 - 1/2
12	880	2 - 13/16	1	2 – 1/2
16	1,565	3 - 5/8	2	2 - 1/2
18	1,980	4 - 3/16	2	2 – 1/2
20	2,440		1	$4 - \frac{1}{2}$
24	3,470		2	$4 - \frac{1}{2}$
30	5,560	* * * * * * * * * * * * * * * * * * * *	2	4 - 1/2
36	7,920	AND THE COLUMN DIS DIS DIS DOS DOS DOS	2	4 - 1/2
			3	2 - 1/2
42	10,800		2	4 - 1/2
			4	2 – 1/2
48	14,100		3	$4 - \frac{1}{2}$
			6	2 – ½

#### 3.4 BACTERIOLOGICAL TESTS

- A. Forty-eight hours after a water line has undergone final flushing but before it is placed into service, the Owner shall collect a set of 2 samples for bacteriological testing from each 2,500 feet of line and at the end of that line.
- B. Samples shall be collected in sterile bottles treated with sodium thiosulphate. Do not use a hose or fire hydrant to collect samples. A standard corporation cock in the line may be installed in the main with a copper tube gooseneck assembly. After the samples are collected, the gooseneck assembly may be removed and retained for future use.

- C. The collected samples shall be taken to the Owner's laboratory to be tested for bacteriological quality in order to determine if they contain any coliform organisms. If the initial disinfection fails to produce satisfactory samples, disinfection will be repeated by the Contractor, at no additional cost to the Owner, until satisfactory samples are obtained.
- D. When samples are found to be satisfactory, the water line should be hydrostatically tested.

#### 3.5 HYDROSTATIC TESTS

A. All newly laid and backfilled pipe shall be subjected to both a pressure test and a leakage test as described in the paragraphs below. The Contractor shall provide a connection on his test apparatus for the Owner's pressure recorder during both tests. These tests shall be completed prior to the bacteriological tests.

#### 3.6 PRESSURE TEST

- A. After pipe has been laid and backfilled as specified above, subject all newly laid pipe or any valved section thereof to a pressure of 200 psi. All services are to be laid prior to testing the main and tested as part of the test of the main.
- B. The duration of the pressure test shall be at least two hours. The specified pressure shall be maintained within 200 psi during this test or 1-½ times working pressure, whichever is greater.
- C. Slowly fill each valved section of pipe with water. Generally newly laid line is to be filled using a 1 inch line between an existing water line and the new line. A 1 inch corporation cock is to be installed on each line and a 1 inch meter and 1 inch check valve installed in the 1 inch line. Insert plugs in these taps after all tests are completed.
- D. Flush with this 1 inch line until the chlorine residual is below 5 parts per million. Apply the specified pressure (based on the elevation of the lowest point of the line or section under test and corrected to the elevation of the test gauge) with a pump connected to the pipe in a manner satisfactory to the Owner. The Contractor shall furnish the pump, the pipe, connection, gauges, and all necessary apparatus.
- E. The pipe shall be filled at least 24 hours prior to testing. After the Contractor's test indicates there is no leakage notify the Owner to witness the test.
- F. Before applying the specified test pressure, expel all air from the pipe. If hydrants or blow-offs are not available at high places, make the necessary taps at the points of highest elevation before testing and insert plugs after the tests have been completed.

G. Carefully examine all exposed pipes, fittings, valves, and hydrants during the test. Remove any cracked or defective pipes, fittings, valves, or hydrants discovered in consequence of the pressure test.

#### 3.7 LEAKAGE TEST

- A. All newly installed and backfilled pipe shall be subjected to a leakage test, conducted in the presence of the Owner immediately after the pressure test. The Contractor shall furnish the pump, pipe, connections, gauges, meter, and all necessary apparatus as well as all necessary assistance to conduct the test.
- B. The leakage test shall be conducted by measuring, through a calibrated meter, the amount of water which enters the test section under 160 psi or normal working pressures, whichever is greater, for a period of at least 2 hours. No installation will be accepted until the leakage is less than the number of gallons per 2 hour period as shown in the table below.
- C. Should any test of pipe laid disclose leakage greater than that specified, the Contractor shall at his own expense locate and repair the defective joints until the leakage is within the specified allowance.

#### **Leakage Test Allowance**

Pipe Sizes (Inches)	Gallons per 1,000 Feet of Pipe
6	0.6
8	0.8
12	1.1
16	1.5
18	1.7
20	1.9
24	2.2
30	2.8
36	3.3
42	3.9
48	4.4

#### 3.8 DISINFECTION PROCEDURE AFTER CUTTING INTO OR REPAIRING EXISTING LINES

A. The procedures outlined above apply primarily to cases in which the lines are wholly or partially dewatered. However, leaks or breaks that are repaired with clamping devices while the lines remain full of water under pressure present little danger of contamination and require no disinfection.

- B. When an existing line is opened, whether by accident or design, the excavated area could be wet and contaminated because of the presence of sewers and/or groundwater nearby. The danger of contamination from such pollution can be lessened if liberal quantities of hypochlorite are applied to the open trenches. It is best to use tablets for disinfection in these cases because they dissolve slowly and continue to release hypochlorite as water is pumped from the excavation site.
- C. Treat the lines by the slug method in accordance with AWWA C651, when applicable.
- D. The following disinfection procedure is considered the minimum that may be used when existing lines are repaired:
  - 1. Swab the interior of all pipes and fittings (particularly couplings and tapping sleeves) that are to be used in repairing an existing line with a solution of 5 percent hypochlorite before installation.
  - 2. The most practical means of removing the contamination introduced into a line during repairs is to give the line a thorough flushing. If the locations of valves and hydrants make it possible, flushing in both directions is recommended. Start flushing as soon as repairs are completed, and continue until all discolored water is eliminated.

#### 3.9 CLEANUP

A. After completing each section of water line, all debris and construction materials shall be removed from the work site. Then the surface shall be graded and smoothed on both sides of the line. The entire area shall be left clean and in a condition satisfactory to the Owner. The Contractor shall keep cleanup operations as close to active pipe laying activities as practical, generally following by less as 300 feet, or as approved by the Owner.

**END OF SECTION** 

## DIVISION 3: CONCRETE

### SECTION 03303 CONCRETE FOR UTILITIES

#### 1. GENERAL

- 1.1 This item shall include furnishing and installing concrete blocking, cradles, anchors, caps, pipe protection, and/or encasement at the locations shown on the drawings and/or as directed by the Owner.
- 1.2 Submit concrete mix design, including all add mixtures with past strength data for review per the requirements of Section 01302 Submittals and Substitutions.

#### 2. PRODUCTS

#### **NOT USED**

#### 3. EXECUTION

- 3.1 Concrete work shall conform to ACI 301-72 (as revised), as modified by the supplemental requirements listed below.
  - A. Strength: The strength of concrete shall be 4,000 psi unless otherwise shown on the drawings.
  - B. Durability: All concrete exposed to weather shall be air entrained.
  - C. Slump: Concrete shall be proportional and produced to have a slump of 3-inches with a 1-inch tolerance.
  - D. Admixtures: Air entrainment, mandatory for concrete exposed to weather, may be used. A water reducing admixture [retarding (normal or accelerating) depending on placing temperature] may be used if approved by the Owner.
  - E. Reinforcing Steel: Yield strength of reinforcing steel shall be 60,000 psi.

#### **END OF SECTION**

### **APPENDIX I**

# GRINDER AND STEP SPECIFICATIONS

#### **SECTION 11800**

#### **GRINDER PUMP SYSTEM**

#### 1. GENERAL

#### 1.1 General Description

A. The Contractor shall furnish complete factory-built and tested Wetwell/Drywell Grinder Pump Station(s), each consisting of grinder pump(s) suitably mounted in a basin constructed of high density polyethylene (HDPE) for simplex stations and HDPE or Fiberglass Reinforced Polyester Resin for duplex stations with dimensions and capacities as show on the Contract Drawings, NEMA 6P electrical quick disconnect (EQD), pump removal system, stainless steel discharge assembly/shut-off valve, anti-siphon valve/check valve, each assembled in the basin, electrical alarm panel and all necessary internal wiring and controls. Component type grinder pump systems that require field assembly will not be acceptable due to the potential problems that can occur during field assembly. All components and materials shall be in accordance with section 2.0 of this Product Specification. For ease of serviceability, all pump, motor/grinder units shall be of like type and horsepower throughout the system.

#### 1.2 Submittals

A. After receipt of notice to proceed, the manufacturer shall furnish a minimum of six sets of shop drawings detailing the equipment to be furnished including dimensional data and materials of construction. The Owner shall promptly review this data, and return two copies as accepted, or with requested modifications. Upon receipt of accepted shop drawings, the manufacturer shall proceed immediately with fabrication of the equipment.

#### 1.3 Manufacturer

- A. Grinder pump stations, complete with all appurtenances, form an integral system, and as such, shall be supplied by one grinder pump station manufacturer. The Contractor shall be responsible for the satisfactory operation of the entire system. The equipment specified shall be a product of a company experienced in the design and manufacture of grinder pumps for specific use in low pressure sewage systems. The company shall submit detailed installation and user instructions for its product, submit evidence of an established service program including complete parts and service manuals, and shall be responsible for maintaining a continuing inventory of grinder pump replacement parts. The manufacturer shall provide, upon request, a reference and contact list from ten of its largest contiguous grinder pump installations of the type of grinder pumps described within this specification.
- B. The manufacturer of the grinder pump station shall be Environment One Corporation.

C. Attention is directed to the fact that the drawings and overall system design are based on a particular piece of equipment from a particular manufacturer. These specifications are intended to provide guidelines for standard equipment of a recognized manufacturer who already meets all the requirements of this specification.

#### 1.4 Operating Conditions

A. The pumps shall be capable of delivering 15 GPM against a rated total dynamic head of 0 feet (0 PSIG), 11 GPM against a rated total dynamic head of 92 feet (40 PSIG), and 7.8 GPM against a rated total dynamic head of 185 feet (80 PSIG). The pump(s) must also be capable of operating at negative total dynamic head without overloading the motor(s). Under no conditions shall in-line piping or valving be allowed to create a false apparent head.

#### 1.5 Warranty

- A. The grinder pump manufacturer shall provide a part(s) and labor warranty on the complete station (pumps, panel, valves, enclosures, etc.) and accessories for a period of 60 months after notice of Owner's acceptance. Any manufacturing defects found during the warranty period will be reported to the manufacturer by the Owner and will be corrected by the manufacturer at no cost to the Owner.
- B. The manufacturer shall provide a Warranty Certification Statement which certifies the 60 month warranty. Any exclusions from the warranty or additional cost items required to maintain the equipment in warrantable condition, including all associated labor and shipping fees, must be annotated. The warranty shall state that the manufacturer will bear all costs to correct any original equipment deficiency for the effective period of the warranty. All preventive maintenance type requirements shall be included in this form as exclusions. These requirements include, but are not limited to, unjamming of grinder mechanism, periodic motor maintenance, and periodic cleaning of liquid level controls.

#### PRODUCTS

#### 2.1 Pump

A. The pump shall be a custom designed, integral, vertical rotor, motor driven, solids handling pump of the progressing cavity type with a single mechanical seal. Double radial O-ring seals are required at all casting joints to minimize corrosion and create a protective barrier. All pump castings shall be cast iron, fully epoxy coated to 8-10 mil Nominal dry thickness, wet applied. The rotor shall be through-hardened, highly polished, precipitation hardened stainless steel. The stator shall be of a specifically compounded ethylene propylene synthetic elastomer. This material shall be suitable for domestic wastewater service. Its physical properties shall include high tear and abrasion resistance, grease resistance, water and detergent resistance, temperature stability, excellent aging properties, and outstanding wear resistance. Buna-N is not acceptable as a stator material because it does not exhibit the properties as outlined above and required for wastewater service.

#### 2.2 Grinder

- A. The grinder shall be placed immediately below the pumping elements and shall be direct-driven by a single, one-piece motor shaft. The grinder impeller (cutter wheel) assembly shall be securely fastened to the pump motor shaft by means of a threaded connection attaching the grinder impeller to the motor shaft. Attachment by means of pins or keys will not be acceptable. The grinder impeller shall be a one-piece, 4140 cutter wheel of the rotating type with inductively hardened cutter teeth. The cutter teeth shall be inductively hardened to Rockwell 50 60c for abrasion resistance. The shredder ring shall be of the stationary type and the material shall be white cast iron. The teeth shall be ground into the material to achieve effective grinding. The shredder ring shall have a staggered tooth pattern with only one edge engaged at a time, maximizing the cutting torque. These materials have been chosen for their capacity to perform in the intended environment as they are materials with wear and corrosive resistant properties.
- B. This assembly shall be dynamically balanced and operate without objectionable noise or vibration over the entire range of recommended operating pressures. The grinder shall be constructed so as to minimize clogging and jamming under all normal operating conditions including starting. Sufficient vortex action shall be created to scour the tank free of deposits or sludge banks which would impair the operation of the pump. These requirements shall be accomplished by the following, in conjunction with the pump:
  - 1. The grinder shall be positioned in such a way that solids are fed in an upward flow direction.
  - 2. The maximum flow rate through the cutting mechanism must not exceed 4 feet per second. This is a critical design element to minimize jamming and as such must be adhered to.
  - 3. The inlet shroud shall have a diameter of no less than 5 inches. Inlet shrouds that are less than 5 inches in diameter will not be accepted due to their inability to maintain the specified 4 feet per second maximum inlet velocity which by design prevents unnecessary jamming of the cutter mechanism and minimizes blinding of the pump by large objects that block the inlet shroud.
  - 4. The impeller mechanism must rotate at a nominal speed of no greater than 1800 rpm.
- C. The grinder shall be capable of reducing all components in normal domestic sewage, including a reasonable amount of "foreign objects," such as paper, wood, plastic, glass, wipes, rubber and the like, to finely-divided particles which will pass freely through the passages of the pump and the 1-1/4" diameter stainless steel discharge piping.

#### 2.3 Electric Motor

A. As a maximum, the motor shall be a 1 HP, 1725 RPM, 240 Volt 60 Hertz, 1 Phase, capacitor start, ball bearing, air-cooled induction type with Class F installation, low

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starting current not to exceed 30 amperes and high starting torque of 8.4 foot pounds. The motor shall be press-fit into the casting for better heat transfer and longer winding life. Inherent protection against running overloads or locked rotor conditions for the pump motor shall be provided by the use of an automatic-reset, integral thermal overload protector incorporated into the motor. This motor protector combination shall have been specifically investigated and listed by Underwriters Laboratories, Inc., for the application. Non-capacitor start motors or permanent split capacitor motors will not be accepted because of their reduced starting torque and consequent diminished grinding capability. The wet portion of the motor armature must be 300 Series stainless. To reduce the potential of environmental concerns, the expense of handling and disposing of oil, and the associated maintenance costs, oil-filled motors will not be accepted.

#### 2.4 Mechanical Seal

A. The pump/core shall be provided with a mechanical shaft seal to prevent leakage between the motor and pump. The seal shall have a stationary ceramic seat and carbon rotating surface with faces precision lapped and held in position by a stainless steel spring.

#### 2.5 Tank and Integral Accessway (Model DH071) High Density Polyethylene Construction

- A. The tank shall be a Wetwell/Drywell design made of high density polyethylene, with a grade selected to provide the necessary environmental stress cracking resistance. Corrugated sections are to be made of a double wall construction with the internal wall being generally smooth to promote scouring. The corrugations of the outside wall are to be a minimum amplitude of 1-1/2" to provide necessary transverse stiffness. Any incidental sections of a single wall construction are to be 0.250" thick (minimum). All seams created during tank construction are to be thermally welded and factory tested for leak tightness. The tank wall and bottom must withstand the pressure exerted by saturated soil loading at maximum burial depth. All station components must function normally when exposed to 150 percent of the maximum external soil and hydrostatic pressure.
- B. The tank shall be furnished with one EPDM grommet fitting to accept a 4.50" OD DWV or Schedule 40 pipe. The tank capacities shall be as shown on the contract drawings.
- C. The Drywell accessway shall be an integral extension of the Wetwell assembly and shall include a lockable cover assembly providing low profile mounting and watertight capability. The accessway design and construction shall enable field adjustment of the station height in increments of 4" or less without the use of any adhesives or sealants requiring cure time before installation can be completed.
- D. The station shall have all necessary penetrations molded in and factory sealed. To ensure a leak free installation no field penetrations will be acceptable.
- E. All discharge piping shall be constructed of 304 stainless steel. The discharge shall terminate outside the accessway bulkhead with a stainless steel, 1-1/4" Female NPT

fitting. The discharge piping shall include a stainless steel ball valve rated for 235 psi WOG; PVC ball valves or brass ball/gate will not be accepted. The bulkhead penetration shall be factory installed and warranted by the manufacturer to be watertight.

- F. The accessway shall include a single NEMA 6P Electrical Quick Disconnect (EQD) for all power and control functions, factory installed with accessway penetrations warranted by the manufacturer to be watertight. The EQD will be supplied with 32′, 25′ of useable Electrical Supply Cable (ESC) outside the station, to connect to the alarm panel. The ESC shall be installed in the basin by the manufacturer. Field assembly of the ESC into the basin is not acceptable because of potential workmanship issues. The EQD shall require no tools for connecting, seal against water before the electrical connection is made, and include radial seals to assure a watertight seal regardless of tightening torque. Plug-type connections of the power cable onto the pump housing will not be acceptable due to the potential for leaks and electrical shorts. A junction box shall not be permitted in the accessway due to the large number of potential leak points. The EQD shall be so designed to be conducive to field wiring as required. The accessway shall also include an integral 2-inch vent to prevent sewage gases from accumulating in the tank.
- 2.6 TANK & INTEGRAL ACCESSWAY: (Models DH151 150 Gallon Simplex & DH152 150 Gallon Duplex) High Density Polyethylene Construction.
  - A. The tank shall be a Wetwell/Drywell design made of high density polyethylene, with a grade selected to provide the necessary environmental stress cracking resistance. Corrugated sections are to be made of a double wall construction with the internal wall being generally smooth to promote scouring. The corrugations of the outside wall are to be a minimum amplitude of 1-1/2" to provide necessary transverse stiffness. Any incidental sections of a single wall construction are to be 0.250" thick (minimum). All seams created during tank construction are to be thermally welded and factory tested for leak tightness. The tank wall and bottom must withstand the pressure exerted by saturated soil loading at maximum burial depth. All station components must function normally when exposed to 150 percent of the maximum external soil and hydrostatic pressure.
  - B. The tank shall be furnished with one EPDM grommet fitting to accept a 4.50" OD DWV or Schedule 40 pipe. The tank capacities shall be as shown on the contract drawings.
  - C. The Drywell accessway shall be an integral extension of the Wetwell assembly and shall include a lockable cover assembly providing low profile mounting and watertight capability. The cover shall be high density polyethylene, green in color, with a load rating of 150 lbs per square foot. The accessway design and construction shall enable field adjustment of the station height in increments of 3" or less without the use of any adhesives or sealants requiring cure time before installation can be completed.
  - D. The station shall have all necessary penetrations molded in and factory sealed. To ensure a leak free installation no field penetrations will be acceptable.

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- E. All discharge piping shall be constructed of 304 stainless steel. The discharge shall terminate outside the accessway bulkhead with a stainless steel, 1-1/4" Female NPT fitting. The discharge piping shall include a stainless steel ball valve rated for 235 psi WOG; PVC ball valves or brass ball/gate will not be accepted. The bulkhead penetration shall be factory installed and warranted by the manufacturer to be watertight.
- F. The accessway shall include a single NEMA 6P Electrical Quick Disconnect (EQD) for all power and control functions, factory installed with accessway penetrations warranted by the manufacturer to be watertight. The EQD will be supplied with 32′, 25′ of useable Electrical Supply Cable (ESC) outside the station, to connect to the alarm panel. The ESC shall be installed in the basin by the manufacturer. Field assembly of the ESC into the basin is not acceptable because of potential workmanship issues. The EQD shall require no tools for connecting, seal against water before the electrical connection is made, and include radial seals to assure a watertight seal regardless of tightening torque. Plug-type connections of the power cable onto the pump housing will not be acceptable due to the potential for leaks and electrical shorts. A junction box shall not be permitted in the accessway due to the large number of potential leak points. The EQD shall be so designed to be conducive to field wiring as required. The accessway shall also include an integral 2-inch vent to prevent sewage gases from accumulating in the tank.
- 2.7 TANK & INTEGRAL ACCESSWAY: (DH272, 275-Gallon Duplex & DH502, 500-Gallon Duplex) Fiberglass reinforced polyester resin.
  - A. The tank shall be a Wetwell/Drywell design custom molded of fiberglass reinforced polyester resin with a high density polyethylene accessway. Accessway corrugated sections are to be made of a double wall construction with the internal wall being generally smooth to promote scouring. The corrugations of the outside wall are to be a minimum amplitude of 1-1/2" to provide necessary transverse stiffness. Any incidental sections of a single wall construction are to be 0.250" thick (minimum). All polyethylene seams created during tank construction are to be thermally welded and factory tested for leak tightness. The tank wall and bottom must withstand the pressure exerted by saturated soil loading at maximum burial depth. All station components must function normally when exposed to 150 percent of the maximum external soil and hydrostatic pressure.
  - B. The tank shall be furnished with one EPDM grommet fitting to accept a 4.50" OD DWV or Schedule 40 pipe. The tank capacities shall be as shown on the contract drawings.
  - C. The Drywell accessway shall be an integral extension of the Wetwell assembly and shall include a lockable cover assembly providing low profile mounting and watertight capability. The cover shall be high density polyethylene, green in color, with a load rating of 150 lbs per square foot. The accessway design and construction shall enable field adjustment of the station height in increments of 4" or less without the use of any adhesives or sealants requiring cure time before installation can be completed.

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- D. The station shall have all necessary penetrations molded in and factory sealed. To ensure a leak free installation no field penetrations will be acceptable.
- E. All discharge piping shall be constructed of 304 stainless steel. The discharge shall terminate outside the accessway bulkhead with a stainless steel, 1-1/4" Female NPT fitting. The discharge piping shall include a stainless steel ball valve rated for 235 psi WOG; PVC ball valves or brass ball/gate will not be accepted. The bulkhead penetration shall be factory installed and warranted by the manufacturer to be watertight.
- F. The accessway shall include a single NEMA 6P Electrical Quick Disconnect (EQD) for all power and control functions, factory installed with accessway penetrations warranted by the manufacturer to be watertight. The EQD will be supplied with 32′, 25′ of useable Electrical Supply Cable (ESC) outside the station, to connect to the alarm panel. The ESC shall be installed in the basin by the manufacturer. Field assembly of the ESC into the basin is not acceptable because of potential workmanship issues. The EQD shall require no tools for connecting, seal against water before the electrical connection is made, and include radial seals to assure a watertight seal regardless of tightening torque. Plug-type connections of the power cable onto the pump housing will not be acceptable due to the potential for leaks and electrical shorts. A junction box shall not be permitted in the accessway due to the large number of potential leak points. The EQD shall be so designed to be conducive to field wiring as required. The accessway shall also include an integral 2-inch vent to prevent sewage gases from accumulating in the tank.

#### 2.8 Check Valve

A. The pump discharge shall be equipped with a factory installed, gravity operated, flapper-type integral check valve built into the discharge piping. The check valve will provide a full-ported passageway when open, and shall introduce a friction loss of less than 6 inches of water at maximum rated flow. Moving parts will be made of a 300 Series stainless steel and fabric reinforced synthetic elastomer to ensure corrosion resistance, dimensional stability, and fatigue strength. A nonmetallic hinge shall be an integral part of the flapper assembly providing a maximum degree of freedom to assure seating even at a very low back-pressure. The valve body shall be an injection molded part made of an engineered thermoplastic resin. The working pressure of the valve shall be at least 235 psi. Ball-type check valves are unacceptable due to their limited sealing capacity in slurry applications.

#### 2.9 Anti Siphon Valve

A. The pump discharge shall be equipped with a factory-installed, gravity-operated, flapper-type integral anti-siphon valve built into the discharge piping. Moving parts will be made of 300 Series stainless steel and fabric-reinforced synthetic elastomer to ensure corrosion resistance, dimensional stability, and fatigue strength. A nonmetallic hinge shall be an integral part of the flapper assembly, providing a maximum degree of freedom to ensure proper operation even at a very low pressure. The valve body shall be injection-molded from an engineered

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thermoplastic resin. Holes or ports in the discharge piping are not acceptable antisiphon devices due to their tendency to clog from the solids in the slurry being pumped. The anti-siphon port diameter shall be no less than 60% of the inside diameter of the pump discharge piping.

#### 2.10 Core Unit

A. The grinder pump station shall have a cartridge type, easily removable core assembly consisting of pump, motor, grinder, all motor controls, check valve, antisiphon valve, level controls, electrical quick disconnect and wiring. The core unit shall be installed in the basin by the manufacturer. Field assembly of the pump and controls into the basin is not acceptable because of potential workmanship issues and increased installation time. In some cases, stations taller than 96" may be shipped on their side without the cores assembled in the basin for freight purposes but this is the only exception. The core unit shall seal to the tank deck with a stainless steel latch assembly. The latch assembly must be actuated utilizing a single quick release mechanism requiring no more than a half turn of a wrench. The watertight integrity of each core unit shall be established by a 100 percent factory test at a minimum of 5 PSIG.

#### 2.11 Controls

- A. All necessary motor starting controls shall be located in the cast iron enclosure of the core unit secured by stainless steel fasteners. Locating motor starting controls in a plastic enclosure is not acceptable. Wastewater level sensing controls shall be housed in a separate enclosure from motor starting controls. Level sensor housing must be sealed via a radial type seal; solvents or glues are not acceptable. Level sensing control housing must be integrally attached to pump assembly so that it may be removed from the station with the pump and in such a way as to minimize the potential for the accumulation of grease and debris accumulation, etc. Level sensing housing must be a high-impact thermoplastic copolymer over-molded with a thermo plastic elastomer. The use of PVC for the level sensing housing is not acceptable.
- B. Non-fouling wastewater level controls for controlling pump operation shall be accomplished by monitoring the pressure changes in an integral air column connected to a pressure switch. The air column shall be integrally molded from a thermoplastic elastomer suitable for use in wastewater and with excellent impact resistance. The air column shall have only a single connection between the water level being monitored and the pressure switch. Any connections are to be sealed radially with redundant O-rings. The level detection device shall have no moving parts in direct contact with the wastewater and shall be integral to the pump core assembly in a single, readily-exchanged unit. Depressing the push to run button must operate the pump even with the level sensor housing removed from the pump.
- C. All fasteners throughout the assembly shall be 300 Series stainless steel. High-level sensing will be accomplished in the manner detailed above by a separate air column sensor and pressure switch of the same type. Closure of the high-level sensing device will energize an alarm circuit as well as a redundant pump-on circuit. For increased reliability, pump ON/OFF and high-level alarm functions shall

not be controlled by the same switch. Float switches of any kind, including float trees, will not be accepted due to the periodic need to maintain (rinsing, cleaning) such devices and their tendency to malfunction because of incorrect wiring, tangling, grease buildup, and mechanical cord fatigue. To assure reliable operation of the pressure switches, each core shall be equipped with a factory installed equalizer diaphragm that compensates for any atmospheric pressure or temperature changes. Tube or piping runs outside of the station tank or into tank-mounted junction boxes providing pressure switch equalization will not be permitted due to their susceptibility to condensation, kinking, pinching, and insect infestation. The grinder pump will be furnished with a 6 conductor 14 gauge, type SJOW cable, prewired and watertight to meet UL requirements with a FACTORY INSTALLED NEMA 6P EQD half attached to it.

#### 2.12 Alarm Panel

- A. Each grinder pump station shall include a NEMA 4X, UL-listed alarm panel suitable for wall or pole mounting. The NEMA 4X enclosure shall be manufactured of thermoplastic polyester to ensure corrosion resistance. The enclosure shall include a hinged, lockable cover with padlock, preventing access to electrical components, and creating a secured safety front to allow access only to authorized personnel. The enclosure shall not exceed 10.5" W x 14" H x 7" D, or 12.5" W x 16" H x 7.5" D if certain options are included.
- B. The alarm panel shall contain one 15-amp, double-pole circuit breaker for the pump core's power circuit and one 15-amp single-pole circuit breaker for the alarm circuit. The panel shall contain a push-to-run feature, an internal run indicator, and a complete alarm circuit. All circuit boards in the alarm panel are to be protected with a conformal coating on both sides and the AC power circuit shall include an auto resetting fuse.
- C. The alarm panel shall include the following features: external audible and visual alarm; push-to-run switch; push-to-silence switch; redundant pump start; and high level alarm capability. The alarm sequence is to be as follows when the pump and alarm breakers are on:
  - 1. When liquid level in the sewage wet-well rises above the alarm level, audible and visual alarms are activated, the contacts on the alarm pressure switch activate, and the redundant pump starting system is energized.
  - 2. The audible alarm may be silenced by means of the externally mounted, push-to-silence button.
  - 3. Visual alarm remains illuminated until the sewage level in the wet-well drops below the "off" setting of the alarm pressure switch.
- D. The visual alarm lamp shall be inside a red, oblong lens at least 3.75" L x 2.38" W x 1.5" H. Visual alarm shall be mounted to the top of the enclosure in such a manner as to maintain the NEMA 4X rating. The audible alarm shall be externally mounted on the bottom of the enclosure, capable of 93 dB @ 2 feet. The audible alarm shall

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be capable of being deactivated by depressing a push-type switch that is encapsulated in a weatherproof silicone boot and mounted on the bottom of the enclosure (push-to-silence button).

F. The entire alarm panel, as manufactured and including any of the following options shall be listed by Underwriters Laboratories, Inc.

#### 2.13 Duplex Stations (MOD T260 DUPLEX)

- A. Each grinder pump station shall include a NEMA 4X, UL-listed alarm panel suitable for wall or pole mounting. The NEMA 4X enclosure shall be manufactured of thermoplastic to ensure corrosion resistance. The enclosure shall include a hinged, lockable cover with padlock, preventing access to electrical components, and creating a secured safety front to allow access only to authorized personnel. The standard enclosure shall not exceed 12.5" W x 16" H x 7.5" D.
- B. The panel shall contain one 15-amp single pole circuit breaker for the alarm circuit and one 15-amp double pole circuit breaker per core for the power circuit. The panel shall contain a push-to-run feature, an internal run indicator, and a complete alarm circuit. All circuit boards in the alarm panel are to be protected with a conformal coating on both sides and the AC power circuit shall include an auto resetting fuse.
- C. The visual alarm lamp shall be inside a red, oblong lens at least 3.75" L x 2.38" W x 1.5" H. Visual alarm shall be mounted to the top of the enclosure in such a manner as to maintain NEMA 4X rating. The audible alarm shall be externally mounted on the bottom of the enclosure, capable of 93 dB @ 2 feet. The audible alarm shall be capable of being deactivated by depressing a push-type switch that is encapsulated in a weatherproof silicone boot and mounted on the bottom of the enclosure (push-to-silence button).
- D. The high-level alarm system shall operate as follows:
  - 1. The panel will go into alarm mode if either pump's alarm switch closes. During the initial alarm mode both pumps will run and the alarm light and buzzer will be delayed for a period of time based on user settings (default is 3-1/2 minutes). If the station is still in high-level alarm after the delay, the light and buzzer will be activated.
  - 2. The audible alarm may be silenced by means of the externally mounted push-to-silence button.
  - 3. The visual alarm remains illuminated until the sewage level in the wet well drops below the "off" setting of the alarm switch for both pumps.
- E. The entire alarm panel, as manufactured and including any of the following options shall be listed by Underwriters Laboratories, Inc.

#### 2.14 Serviceability

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A. The grinder pump core, including level sensor assembly, shall have two lifting hooks complete with lift-out harness connected to its top housing to facilitate easy core removal when necessary. The level sensor assembly must be easily removed from the pump assembly for service or replacement. All mechanical and electrical connections must provide easy disconnect capability for core unit removal and installation. Each EQD half must include a water-tight cover to protect the internal electrical pins while the EQD is unplugged. A pump push-to-run feature will be provided for field trouble shooting. The push-to-run feature must operate the pump even if the level sensor assembly has been removed from the pump assembly. All motor control components shall be mounted on a readily replaceable bracket for ease of field service.

#### 2.15 OSHA Confined Space:

A. All maintenance tasks for the grinder pump station must be possible without entry into the grinder pump station (as per OSHA 1910.146 Permit-required confined spaces). "Entry means the action by which a person passes through an opening into a permit-required confined space. Entry includes ensuing work activities in that space and is considered to have occurred as soon as any part of the entrant's body breaks the plane of an opening into the space."

#### 2.16 Safety

- A. The grinder pump shall be free from electrical and fire hazards as required in a residential environment. As evidence of compliance with this requirement, the completely assembled and wired grinder pump station shall be listed by Underwriters Laboratories, Inc., to be safe and appropriate for the intended use. UL listing of components of the station, or third-party testing to UL standard are not acceptable.
- B. The grinder pump shall meet accepted standards for plumbing equipment for use in or near residences, shall be free from noise, odor, or health hazards, and shall have been tested by an independent laboratory to certify its capability to perform as specified in either individual or low pressure sewer system applications. As evidence of compliance with this requirement, the grinder pump shall bear the seal of NSF International. Third-party testing to NSF standard is not acceptable.

#### 3. EXECUTION

#### 3.1 Factory Test

A. FACTORY TEST: Each grinder pump shall be submerged and operated for 1.5 minutes (minimum). Included in this procedure will be the testing of all ancillary components such as, the anti-siphon valve, check valve, discharge assembly and each unit's dedicated level controls and motor controls. All factory tests shall incorporate each of the above listed items. Actual appurtenances and controls which will be installed in the field shall be particular to the tested pump only. A common set of appurtenances and controls for all pumps is not acceptable. Certified

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test results shall be available upon request showing the operation of each grinder pump at two different points on its curve. Additional validation tests include: integral level control performance, continuity to ground and acoustic tests of the rotating components.

B. The ENGINEER reserves the right to inspect such testing procedures with representatives of the OWNER, at the GRINDER PUMP MANUFACTURER'S facility.

#### 3.2 Certified Service Program

- A. The grinder pump MANUFACTURER shall provide a program implemented by the MANUFACTURER'S personnel as described in this specification to certify the service company as an authorized serviced center. As evidence of this, the MANUFACTURER shall provide, when requested, sufficient evidence that they have maintained their own service department for a minimum of 30 years and currently employ a minimum of five employees specifically in the service department.
- B. As part of this program, the MANUFACTURER shall evaluate the service technicians as well as the service organization annually. The service company will be authorized by the MANUFACTURER to make independent warranty judgments. The areas covered by the program shall include, as a minimum:
  - 1. Pump Population Information The service company will maintain a detailed database for the grinder pumps in the territory that tracks serial numbers by address.
  - 2. Inventory Management The service company must maintain an appropriate level of inventory (pumps, tanks, panels, service parts, etc.) including regular inventory review and proper inventory labeling. Service technicians will also maintain appropriate parts inventory and spare core(s) on service vehicles.
  - 3. Service Personnel Certification Service technicians will maintain their level-specific certification annually. The certifications are given in field troubleshooting, repair, and training.
  - 4. Service Documentation and Records Start up sheets, service call records, and customer feedback will be recorded by the service company.
  - 5. Shop Organization The service company will keep its service shop organized and pumps will be tagged with site information at all times. The shop will have all required equipment, a test tank, and cleaning tools necessary to service pumps properly.

#### 3.3 Delivery

A. All grinder pump units will be delivered to the job site 100 percent completely assembled, including testing, ready for installation. Field installation of the pump in

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tanks under 96 inches is not allowed. Field installation of the level sensor into the tank is not allowed. Grinder pump stations will be individually mounted on wooden pallets.

#### 3.4 Installation

- A. The CONTRACTOR shall be responsible for handling ground water to provide a firm, dry subgrade for the structure, and shall guard against flotation or other damage resulting from general water or flooding.
- C. The grinder pump stations shall not be set into the excavation until the installation procedures and excavation have been approved by the ENGINEER.
- D. Remove packing material. Users instructions MUST be given to the OWNER. Hardware supplied with the unit, if required, will be used at installation. The basin will be supplied with a standard 4" inlet grommet (4.50" OD) for connecting the incoming sewer line. Appropriate inlet piping must be used. The basin may not be dropped, rolled or laid on its side for any reason.
- D. Installation shall be accomplished so that 1" to 4" of accessway, below the bottom of the lid, extends above the finished grade line. The finished grade shall slope away from the unit. The diameter of the excavated hole must be large enough to allow for the concrete anchor.
- E. A 6" inch (minimum) layer of naturally rounded aggregate, clean and free flowing, with particle size of not less than 1/8" or more than 3/4" shall be used as bedding material under each unit.
- F. A concrete anti-flotation collar, as detailed on the drawings, and sized according to the Manufacturer's instructions, shall be required and shall be pre-cast to the grinder pump or poured in place. Each grinder pump station with its pre-cast anti-flotation collar shall have a minimum of three lifting eyes for loading and unloading purposes.
- G. If the concrete is poured in place, the unit shall be leveled, and filled with water, to the bottom of the inlet, to help prevent the unit from shifting while the concrete is being poured. The concrete must be manually vibrated to ensure there are no voids. If it is necessary to pour the concrete to a level higher than the inlet piping, an 8" sleeve is required over the inlet prior to the concrete being poured.
- H. The CONTRACTOR will provide and install a 4-foot piece of 4-inch SCH 40 PVC pipe with water tight cap, to stub-out the inlet for the property owners' installation contractor, as depicted on the contract drawings.
- I. The electrical enclosure shall be furnished, installed and wired to the grinder pump station by the CONTRACTOR. An alarm device is required on every installation, there shall be NO EXCEPTIONS. It will be the responsibility of the CONTRACTOR and the ENGINEER to coordinate with the individual property owner(s) to determine the optimum location for the alarm panel.

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J. The CONTRACTOR shall mount the alarm device in a conspicuous location, as per national and local codes. The alarm panel will be connected to the grinder pump station by a length of 6 conductor 12 gauge type TC cable as shown on the contract drawings. The power and alarm circuits must be on separate power circuits. The grinder pump stations will be provided with 32', 25' of useable, electrical supply cable to connect the station to the alarm panel. This cable shall be supplied with a FACTORY INSTALLED EQD half to connect to the mating EQD half on the core.

#### 3.5 Backfill Requirements

- A. Proper backfill is essential to the long-term reliability of any underground structure. Several methods of backfill are available to produce favorable results with different native soil conditions. The most highly recommended method of backfilling is to surround the unit to grade using Class I or Class II backfill material as defined in ASTM 2321. Class 1A and Class 1B are recommended where frost heave is a concern; Class 1B is a better choice when the native soil is sand or if a high, fluctuating water table is expected. Class 1, angular crushed stone, offers an added benefit in that it doesn't need to be compacted.
- B. Class II, naturally rounded stone, may require more compactive effort, or tamping, to achieve the proper density. If the native soil condition consists of clean compactible soil, with less than 12% fines, free of ice, rocks, roots and organic material, it may be an acceptable backfill. Soil must be compacted in lifts not to exceed one foot to reach a final Proctor Density of between 85% and 90%. Heavy, non-compactible clays and silts are not suitable backfill for this or any underground structure such as inlet or discharge lines.
- C. If unsure of the consistency of the native soil, it is recommended that a geotechnical evaluation of the material is obtained before specifying backfill.
- D. Another option is the use of a flowable fill (i.e., low slump concrete). This is particularly attractive when installing grinder pump stations in augured holes where tight clearances make it difficult to assure proper backfilling and compaction with dry materials. Flowable fills should not be dropped more than four feet from the discharge to the bottom of the hole to avoid separation of the constituent materials.
- E. Backfill of clean, native earth, free of rocks, roots, and foreign objects, shall be thoroughly compacted in lifts not exceeding 12" to a final Proctor Density of not less than 85%. Improper backfilling may result in damaged accessways. The grinder pump station shall be installed at a minimum depth from grade to the top of the 1 1/4" discharge line, to assure maximum frost protection. The finish grade line shall be 1" to 4" below the bottom of the lid, and final grade shall slope away from the grinder pump station.
- F. All restoration will be the responsibility of the CONTRACTOR. Per unit costs for this item shall be included in the CONTRACTOR'S bid price for the individual grinder pump station. The properties shall be restored to their original condition in all respects, including, but not limited to, curb and sidewalk replacement, landscaping,

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loaming and seeding, and restoration of the traveled ways, as directed by the ENGINEER.

#### 3.6 Start-up and Field Testing

- A. The MANUFACTURER shall provide the services of qualified factory trained technician(s) who shall inspect the placement and wiring of each station, perform field tests as specified herein, and instruct the OWNER'S personnel in the operation and maintenance of the equipment before the stations are accepted by the OWNER.
- B. All equipment and materials necessary to perform testing shall be the responsibility of the INSTALLING CONTRACTOR. This includes, as a minimum, a portable generator and power cable (if temporary power is required), water in each basin (filled to a depth sufficient to verify the high level alarm is operating), and opening of all valves in the system. These steps shall be completed prior to the qualified factory trained technician(s) arrival on site.
- C. The services of a trained, factory-authorized technician shall be provided at a rate of 40 hours for every 100 grinder pump stations supplied.
- D. Upon completion of the installation, the authorized factory technician(s) will perform the following test on each station:
  - 1. Make certain the discharge shut-off valve in the station is fully open.
  - 2. Turn ON the alarm power circuit and verify the alarm is functioning properly.
  - 3. Turn ON the pump power circuit. Initiate the pump operation to verify automatic "on/off" controls are operative. The pump should immediately turn ON.
  - 4. Consult the Manufacturer's Service Manual for detailed start-up procedures.
- E. Upon completion of the start-up and testing, the MANUFACTURER shall submit to the ENGINEER the start-up authorization form describing the results of the tests performed for each grinder pump station. Final acceptance of the system will not occur until authorization forms have been received for each pump station installed and any installation deficiencies corrected.

#### 4. OPERATION AND MAINTENANCE

#### 4.1 Spare Core

A. The MANUFACTURER will supply one spare grinder pump core for every 50 grinder pump stations installed or portion thereof, complete with all operational controls, level sensors, check valve, anti-siphon valve, pump/motor unit, and grinder.

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B. MANUALS: The MANUFACTURER shall supply four copies of Operation and Maintenance Manuals to the OWNER, and one copy of the same to the ENGINEER.

**END OF SECTION** 

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#### **SECTION 11810**

#### STEP SYSTEM

#### 1. GENERAL

1.1 The contractor shall furnish, install, and place in satisfactory operation effluent pump system as specified herein.

#### 1.2 Submittals

A. After receipt of notice to proceed, the contractor shall furnish a minimum of six sets of shop drawings detailing the equipment to be furnished including dimensional data and materials of construction. The Owner shall promptly review this data, and return two copies as accepted, or with requested modifications. Upon receipt of accepted shop drawings, the manufacturer shall proceed immediately with fabrication of the equipment.

#### 1.3 Manufacturer

- A. STEP effluent sewer systems, complete with all appurtenances, form an integral system, and as such, shall be supplied by one STEP system manufacturer. The Contractor shall be responsible for the satisfactory operation of the entire system. The equipment specified shall be a product of a company experienced in the design and manufacture of STEP systems for specific use in low pressure sewage systems. The company shall submit detailed installation and user instructions for its product, submit evidence of an established service program including complete parts and service manuals, and shall be responsible for maintaining a continuing inventory of pump replacement parts. The manufacturer shall provide, upon request, a reference and contact list from ten of its largest contiguous STEP installations of the type of STEP systems described within this specification.
- B. The manufacturer of the STEP effluent sewer systems shall be ORENCO Systems®, Inc.
- C. Attention is directed to the fact that the drawings and overall system design are based on a particular piece of equipment from a particular manufacturer. These specifications are intended to provide guidelines for standard equipment of a recognized manufacturer who already meets all the requirements of this specification.

#### 1.4 Warranty

- A. The grinder pump manufacturer shall provide a part(s) and labor warranty on the complete station and accessories, including, but not limited to, the panel for a period of 60 months after notice of Owner's acceptance. Any manufacturing defects found during the warranty period will be reported to the manufacturer by the Owner and will be corrected by the manufacturer at no cost to the Owner.
- 1.5 The manufacturer shall provide the structural design and certification to the engineer for review. The design shall be in accordance with accepted engineering practice. Precast

concrete or fiberglass tanks shall have been designed by a registered engineer and approved by state or local regulatory agencies or authorities. To achieve effective performance and minimize pump-out occurrences, residential interceptor tanks shall have a nominal liquid capacity of 1000 gallons for up to 2 bedrooms, 1500 gallons for 3 bedrooms, 2000 gallons for 4 bedrooms, and, for more than 4 bedrooms, the sizing shall be determined based on an occupancy assessment and shall be in accord with Figure 1.

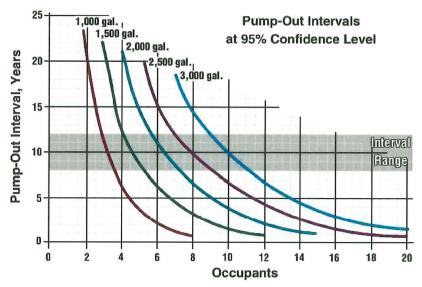


Figure 1. Interceptor Tank Pump-Out Intervals

Average flow (Q<sub>a</sub>) is based upon typical weekly discharges. Wastewater flows for single-family dwellings typically range from 40 to 60 gallons per capita per day (gpcd); 50 gpcd is a commonly used design parameter and is the value used in calculations herein. The number of individuals (capita) is assumed to average three per dwelling. Typical occupancies and flow relationships are shown in Table 1.

Table 1: Relationship between Number of Bedrooms, Occupancies, and Flow

Bedrooms	Qp <sup>a</sup> gpd/DU	Occupants <sup>b</sup> capita	Qc gpcd	Qa gpd/DU
1	200	2	55	110
2	300	3	50	150
3	375	4	50	200
4	450	5	45	225

a. Peak day bedroom flows (Q<sub>p</sub>) are based on typical administrative rules.

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b. Occupancy is based on typical usage of two occupants for the first bedroom and one occupant per additional bedroom.

#### A. Loading Criteria:

- There shall be 140 lbs./cu.ft. for minimum weight of saturated backfill, or 127 lbs./cu.ft. for unsaturated backfill (500 lbs./sq.ft.minimum).
- Minimum lateral loading shall be 62.4 lbs./cu.ft. Lateral loading shall be determined from ground surface.
- The tank shall also support a concentrated wheel load of 2500 lbs.

There are four (4) typical loading conditions that should be analyzed:

- 1. 4 ft. Bury + Full Exterior Hydrostatic Load
- 2. 4 ft. Bury + Full Exterior Hydrostatic Load + 2500 lb. Wheel Load.
- 3. 1 ft. Bury + 2500 lb. Wheel Load.
- 4. Tank Full, Interior Hydrostatic Load and Unsupported by Soil.

Load Case 4 represents the tank full of liquid at 62.4 lbs/cu.ft. This condition addresses seam and haunch stress-strain relationships that occur during watertightness testing, as well as poor soil bedding conditions that provide inadequate support.

- B. Tanks requiring deep burial (>48") or subject to truck or heavy traffic loading require special consideration. (A minimum soil cover of 12" shall be used, unless specified otherwise by manufacturer.)
- C. All tanks shall be structurally sound and watertight and shall be guaranteed in writing by the tank manufacturer for a period of two years from the date of final acceptance. Manufacturer's signed guarantee shall accompany bids. The tank guarantee/warranty shall be furnished at the time of submittal. Tank warranty shall not be limited liability to replacement cost of the tanks. The septic tank shall be capable of withstanding long-term hydrostatic loading, in addition to the soil loading, due to a water table maintained at ground surface.
- D. Tanks shall be manufactured and furnished with access openings 20" in diameter and of the configuration shown on the manufacturer's drawings. Modification of completed tanks will not be permitted.
- E. Inlet plumbing shall include an inlet tee that penetrates 18" into the liquid from the inlet flow line. (The depth may vary depending on the tank's height; in all cases, though, the inlet should extend to a level below the bottom of the maximum scum depth.) The inlet plumbing shall allow for natural ventilation back through the building sewer and vent stack.

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- F. Tanks shall be capable of successfully withstanding an aboveground static hydraulic test and shall be individually tested.
- G. All tanks shall be installed in strict accordance with the manufacturer's recommended installation instructions.

#### 1.5 Concrete Tanks:

- A. Walls, bottom and top of reinforced concrete tanks shall be designed across the shortest dimension using one-way slab analysis. Stresses in each face of monolithically constructed tanks may be determined by analyzing the tank cross-section as a continuous fixed frame.
- B. The walls and bottom slab shall be poured monolithically; alternatively, water stops may be provided.
- C. Reinforcing steel shall be ASTM A-615 Grade 60, fy = 60,000 psi. Details and placement shall be in accordance with ACI 315 and ACI 318.
- D. Concrete shall be ready-mix with cement conforming to ASTM CI50, Type II. It shall have a cement content of not less than six (6) sacks per cubic yard and maximum aggregate size of 3/4". Water/cement ratio shall be kept low (0.35±), and concrete shall achieve a minimum compressive strength of 4000 psi in 28 days. The Contractor shall submit a concrete mix design to the Engineer for review and approval. Three (3) concrete sample cylinders shall be taken and tested for each tank manufactured until the manufacturer and Engineer are satisfied that the minimum compression strength is being obtained. To ensure compliance, the manufacturer shall then make and set three (3) sample cylinders for a minimum of 20% of the remaining tanks at the discretion of the Engineer. If the minimum compressive strength is not being obtained, the manufacturer shall be required to make and test sample cylinders for each tank manufactured. Calcium chloride will not be allowed in the mix design. The cost of testing cylinders shall be the tank manufacturer's responsibility. The tank manufacturer may supply a Swiss hammer for compressive testing in the field in lieu of sample cylinders.
- E. Tanks may be protected by applying a heavy cement-base waterproof coating (Thoroseal® or approved equal), on both inside and outside surfaces, in compliance with Council of American Building Officials (CABO) report #NRB-168; 6181; however, the tank should be watertight without the addition of seal coatings.
- F. Form release used on tank molds shall be Nox Crete™ or approved equal. Diesel or other petroleum products are not acceptable.
- G. Tanks shall not be moved from the manufacturing site to the job site until the tank has cured for seven (7) days or has reached two-thirds of the design strength.
- H. Tanks shall be manufactured and furnished with access openings of the size and configuration to accommodate individual packaged pump systems. For 24" diameter access risers, the tank manufacturer shall cast in place a flanged tank adapter to facilitate the bonding of a 24" diameter access riser. The flanged tank adapter shall

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be made of 1/4" thick ABS and shall have an outside diameter of 27" and an inside diameter of 22-3/4". The flanged adapter shall be Orenco Systems<sup>®</sup>, Inc. Model PRTA24 or engineer-approved equal. The adapter must have an overall height of no less than 3" to allow 1-1/2" exposed for sufficient bonding area once the adapter is installed in the tank. For 21" and 30" diameter access risers, either a grooved tank adapter plate (Model RRFTA or RRFTA30) or a flanged tank adapter (Model PRTA30) may be installed in the tank. The adapter shall be manufactured of fiberglass or ABS and shall accommodate either a 21" or 30" diameter access riser.

- I. The septic tank and the top slab shall be sealed with a preformed flexible plastic gasket. The flexible plastic gasket shall be equal to the flexible butyl resin sealant congeal CS-102 or CS-202 as manufactured by Concrete Sealants, Inc. of New Carlisle, Ohio, and shall conform to federal specification SS-S-00210(2iOA) and AASHTO M-198. A mechanical fastening method shall be used if the seasonal groundwater level may reach the top slab seam of the tank.
- J. In order to demonstrate watertightness, tanks shall be tested at the factory and again on-site prior to acceptance. Inlets to the septic tank will be watertight pipe seal Cast-A-Seal<sup>™</sup> (Manufactured by Press-Seal Gasket Corporation) or approved equal. Each tank shall be tested at the factory, prior to shipping, by filling with water to the soffit and letting stand. After 24 hours, the tank shall be refilled to the soffit and the exfiltration rate shall be determined by measuring the water loss during the next two (2) hours. Any leakage shall be cause for rejection. After installation is completed and before backfilling, each tank shall be filled with water to a point 2″ above the top of the tank and the water loss measured after a twenty four-hour period. After it has been determined that there is no leakage, test the access riser seam. Backfill to a minimum depth of 2″ above the riser seam to prevent damage from hydrostatic uplift. Fill the tank to a point 2″ above the riser seam (the field test period may be reduced to not less than two (2) hours). No tank will be accepted if there is any leakage over the two (2) hour period.

#### 1.6 Fiberglass Tanks:

- A. Method of Calculations:
  - 1. Fiberglass tanks shall be analyzed using finite element analysis for buried structures.
  - 2. Calculations shall address the following:
    - strength
    - buckling
    - deflection of 5% of the tank diameter, based on service load (including long-term deflection lag)
    - buoyancy

Performance testing

#### Material Properties and Laminates

The laminates considered in this analysis shall be fiberglass reinforced polyester resin, using grades of resin and fiberglass considered acceptable for use with septic tank construction. The thicknesses for different regions of the tanks shall be described and shown in shop drawings for each individual tank.

Typical primary strength properties are listed below:

Tensile Modulus (psi)	1,000,000
Ultimate Tensile strength (psi)	10,000
Ultimate Compressive strength (psi	21,000
Ultimate Flexural strength (psi)	18,000
Ultimate Shear In-Plane (psi)	7,000

- B. In lieu of calculations for fiberglass tanks, the supplier may elect in-situ performance testing.
- C. In-situ testing of each tank model shall include use of strain gauge and deflection gauge. The tank will be subjected to external forces equal to twice the actual load.
- D. Maximum initial deflection based on <u>test</u> loading shall not exceed 3% of the tank diameter.
- E. Performance testing will be evaluated by a Registered Professional Engineer (P.E.). The Engineer will have the sole responsibility to determine the maximum external loading on any of the tank models.
- F. The tank shall be constructed with a glass fiber and resin content specified by the manufacturer and with no exposed glass fibers. Any permanent metal part shall be 300 series stainless steel.
- G. Inspections may be made by the engineer in the supplier's yard, within the plant, upon delivery and again after installation. The minimum wall thickness shall be 3/16". If the wall thickness is suspected to be less than 3/16" or if delamination is suspected within any portion of the tank, the engineer may drill a 1/4" diameter hole through the tank wall for inspection purposes. If the required minimum 3/16" thickness is not found, repair if feasible shall be the responsibility of the contractor. If repair is judged not feasible, the tank shall be rejected. If twenty percent (20%) or more of the tanks are rejected for any of the aforementioned reasons, each tank under this bid will become suspect of substandard quality and subject to rejection by the engineer. If the required minimum 3/16" thickness is found and no delamination is present, the repair of the inspection holes shall be the responsibility of the engineer.
- H. The engineer shall specify the minimum weight of each tank model that will be allowed. The manufacturer will permanently mark the weight of each tank on the top near the access hole.

- I. The minimum tank weight shall be specified by the manufacturer's engineer (e.g., 330 lbs for 1000-gallon tanks, 450 lbs for 1500-gallon tanks; add 30 lbs for internal baffle).
- J. Holes specified for the tank shall be provided by the manufacturer. Resin or other appropriate sealant shall be properly applied to all cut or ground edges so that no glass fibers are exposed and all voids are filled.
- K. Orenco Systems<sup>®</sup>, Inc. EPDM gaskets, or approved equal, shall be used at the inlet to join the tank wall and the inlet piping. ABS or Schedule 40 PVC pipe and fittings shall be used at the inlets.
- L. Inlet plumbing shall include an inlet tee that penetrates 18" into the liquid from the inlet flow line. (The depth may vary depending on the tank's height; in all cases, though, the inlet should extend to a level below the bottom of the maximum scum depth). The inlet plumbing shall allow for natural ventilation back through the building sewer and vent stack.
- M. In order to demonstrate watertightness, tanks shall be tested at the factory and again on-site prior to acceptance. Each tank shall be tested at the factory, prior to shipping, by filling with water to the soffit and letting stand for a minimum of two (2) hours. Any leakage shall be cause for rejection. After installation is completed and before backfilling, completely fill the tank with water, to a level two (2) inches into the riser. Wait a minimum of two (2) hours (or as required by local rules) and inspect the tank for leaks. There should be no drop in liquid level and no visual leakage from seams, pinholes, or other imperfections. Once the tank is proven to be watertight, drop the water level in the tank below the invert but not below the mid-seam.
- N. Each tank shall be marked in the uppermost surface above or near the outlet and include a permit or identification number, weight of tank, type of tank, and date of manufacture.
- O. Installation shall be in accordance with the manufacturer's recommendations, or as shown on the Contract Plans, whichever is more stringent—no variations.

#### RISERS & LIDS:

#### 2.1 Risers:

Risers shall be required for access to internal vaults and access into the septic tanks for septage pumping. All risers shall be constructed watertight. The risers shall be attached to the tanks such that a watertight seal is provided. Risers shall extend 3" above original grade to allow for settlement and to ensure positive drainage away from the access. Risers for inspection ports shall be a minimum of 18" in nominal diameter. Risers containing pumping assemblies or electrical splice boxes shall be a minimum of 24" in diameter and shall be of sufficient diameter to allow removal of internal vaults without removing splice boxes, etc. Risers shall be a minimum of 30" in nominal diameter when the depth of bury is 36" or greater or duplex pumping assemblies are used. All other risers shall be a minimum of 24" in nominal diameter and shall vary in height depending on the depth of bury on the various tanks. Adhesive required to adhere the PVC or fiberglass risers to either fiberglass or ABS tank adapter shall be a two-part adhesive, Model MA320, SS620, SS630 or approved equal,

or a single component adhesive Model ADH100 or approved equal. If backfilling the same day is desired, a combination of Model MA320 and Model ADH100 adhesives or approved equals should be used. To ensure product compatibility, a single manufacturer shall supply risers, lids, and attachment components.

## 2.2 Inlet Risers:

Inlet risers (required only on two-compartment tanks and tanks with greater than 1500-gallon capacity) shall be Orenco Systems°, Inc. Model Perma-Loc, Ultra-Rib, KOR FLO or engineer-approved equal. The material shall be PVC as per ASTM D-1784 and tested in accordance with AASHTO M304M-89. The risers shall be constructed of non-corrosive material and designed to be buried in soil. Risers shall have a minimum stiffness of 10 psi, when tested according to ASTM D2412. Risers shall be capable of withstanding a truck wheel load (54 square inches) of 2500 pounds for 60 minutes with a maximum vertical deflection of a 1/2 an inch. Risers shall extend to 3 inches above the ground surface to allow for settlement and shall have a minimum nominal diameter of 18 inches.

### 2.3 Outlet Risers:

Outlet risers shall be Orenco Systems®, Inc. Model Perma-Loc, Ultra-Rib, KOR FLO or engineer-approved equal. The material shall be PVC as per ASTM D-1784 and tested in accordance with AASHTO M304M-89. The risers shall be constructed of non-corrosive material and designed to be buried in soil. Risers shall have a minimum stiffness of 10 psi, when tested according to ASTM D2412. Risers shall be capable of withstanding a truck wheel load (54 square inches) of 2500 pounds for 60 minutes with a maximum vertical deflection of 1/2 an inch. Risers shall be at least 12 inches high, shall have a minimum nominal diameter of 24 inches for simplex pumping applications or 30 inches when used in a duplex pumping application and shall be factory-equipped with the following:

- A. Electrical and Discharge Grommets: when applicable, Orenco Systems®, Inc. EPDM grommets shall be installed by the manufacturer for discharge piping, vent piping, and/or the electrical conduit to assure a watertight seal. The manufacturer of the access risers shall install the grommets at the factory.
- B. Adhesive: When bonding to concrete or fiberglass grooves, a two-part adhesive, one pint required per 18" or 24" diameter riser and one quart required per 30" diameter riser, Model ADHP10 or ADHQ10, or approved equal shall be used. When bonding to a flanged riser tank adapter, either a two-part adhesive, Model MA320 or approved equal, or a single component adhesive Model ADH100 or approved equal shall be used.

# 2.4 Riser-To-Tank Attachment:

Risers shall be attached to tanks with one of the following attachment systems, or approved equal: (1) Orenco Systems°, Inc. Model RUBDKIT attachment kit; (2) Orenco Systems, Inc. Model PRTA24 tank adapter used with Model PRTA24BDKIT bolt down kit, and Model ADH100 and/or MA320 adhesives; (3) Orenco Systems, Inc. Model RRFTA tank adapter used with Model RRFTABDKIT bolt down kit and Model ADHP10 adhesive; (4) Orenco Systems, Inc. Model PRTA30 tank adapter used with Model PRTA30BDKIT bolt down kit and Model ADH100 and/or MA320 adhesives. All attachment components shall be constructed of waterproof, non-corrosive materials, such as PVC, ABS, fiberglass, or stainless steel. Adhesives and sealants shall be waterproof, corrosion resistant and approved for the intended application. The riser-to-tank connection shall be watertight and structurally sound.

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The riser-to-tank connection shall be capable of withstanding a vertical uplift of 5000 pounds to prevent riser separation due to tank settlement, frost heave, or accidental vehicle traffic over the tank.

## 2.5 Lids:

One lid shall be furnished with each access riser. Lids shall be Orenco Systems<sup>®</sup>, Inc. Model FL18G-4BU, FL21G, FL24-4B, FL24G-4BU, or FL30G or engineer-approved equal, as appropriate, fiberglass with green non-skid finish, and provided with stainless steel bolts, and wrench. Manufacturer shall provide evidence that lids have been used successfully in continuous field service for a minimum of five years to demonstrate long-term integrity and suitability for the application. Lids shall be waterproof, corrosion resistant and UV resistant. Lids shall be flat, with no noticeable upward dome. A crown or dome of no more than 1/8" is allowable. Lids shall not allow water to pond on them. Lids shall have a green non-skid finish. Self-lubricating plastics, such as polyethylene, shall not be considered non-skid without addition of a non-skid coating. Lids shall form a watertight seal with the top of riser. Lids shall be capable of withstanding a truck wheel load (54 square inches) of 2500 pounds for 60 minutes with a maximum vertical deflection of 3/4 of an inch. Lids shall be provided with tamper-resistant stainless steel fasteners and a tool for fastener removal. Tamperresistant fasteners include recessed drives, such as hex, Torx, and square. Fasteners that can be removed with common screwdrivers, such as slotted and Phillips, or fasteners that can be removed with standard tools, such as pliers or crescent wrenches, are not considered tamper-resistant. To prevent a tripping hazard, fasteners shall not extend above the surface of the lid.

### **Optional Components**

- A. Traffic bearing lid: The traffic bearing lid shall be a cast iron frame and cover, part number 6024, 3060, 4036, as manufactured by Sather Manufacturing Co., Inc., or approved equal, which will fit over a standard lid. The cover shall have the word SEWER cast into it.
- B. Rigid closed-cell foam insulation of 2-inch or 4-inch thickness shall be attached to the underside of the lid. Any fasteners shall be made of corrosion resistant stainless steel. The insulation shall have an R-value of no less than 10 per 2-inch increment.

## 2.6 Riser Installation:

Riser installation shall be accomplished according to the manufacturer's instructions.

# 3. SEPTIC TANK EFFLUENT GRAVITY ASSEMBLIES:

All filter systems shall be supplied by a reputable manufacturer with at least five years of experience in supplying equipment for effluent sewers. Effluent filters shall prevent particles larger than 1/8-inch in diameter from leaving the tank. Effluent filters shall have a solid bottom or deflecting device that prevents vertically rising solids from reaching the filtering surface area during ebullition (sludge bulking).

## 3.1 Single Family Residence Effluent Filters:

Gravity system tanks for single-family dwellings of less than four bedrooms shall be equipped with an Orenco Systems, Inc. Biotube Effluent Filter (FT04 Model Series) or engineer-approved equal, installed in conformance with the engineer's plans. Filter shall

consist of a 4" diameter PVC vault with eight (8) 1-1/8" diameter holes evenly spaced around the perimeter, located appropriately to allow for maximum sludge and scum accumulation before requiring pumping (approximately 70% of minimum liquid level). The Biotube cartridge shall be made with 1/8" mesh polypropylene and with a solid base (to prevent solids from entering through the bottom during ebullition). The Biotube cartridge shall be housed inside the PVC vault. The filter shall have an effective filter area of no less than 5.3 square feet. The lateral from the tank to the collection line shall be laid to a uniform grade with no high points. Optional flow-modulating orifice containing two (2) 1/2" diameter flow-modulating orifices and one (1) 1/2" diameter vent hole are available.

#### Alternate: 8" Effluent Filter

For single-family dwellings of four bedrooms or larger, the tanks shall be a minimum of 1,500 gallons and equipped with an Orenco Systems<sup>®</sup>, Inc. Biotube<sup>®</sup> Effluent Filter (FT08 Model Series) or engineer-approved equal, installed in conformance with the engineer's plans. Filter shall consist of an 8" diameter PVC vault with eight (8) 1-3/8" diameter holes evenly spaced around the perimeter, located appropriately to allow for maximum sludge and scum accumulation before requiring pumping (approximately 70% of minimum liquid level). The Biotube cartridge shall be made with 1/8" mesh polypropylene and with a solid base (to prevent solids from entering through the bottom during ebullition). The Biotube cartridge shall be housed inside the PVC vault. The filter shall have an effective filter area of no less than 14.6 square feet. The direct-coupled outlet for the 8" filter shall contain two (2) 1-1/8" diameter flow-modulating orifices and one (1) 3/4" diameter vent hole. The lateral from the tank to the collection line shall be laid to a uniform grade with no high points.

#### 3.2 Commercial and Multiple-user Tanks:

Commercial and multiple-user tanks require larger Effluent Filters, the sizes of which must be individually determined and spelled out in the specifications. Commercial applications should be sized according to the Orenco Systems<sup>®</sup>, Inc. document titled "Biotube<sup>®</sup> Effluent Filter Sizing."

#### Alternate: 8" Effluent Filter

Orenco Systems®, Inc. Model FT08, series Biotube® effluent filter or engineered-approved equal. Filters shall consist of an 8″ diameter PVC vault with eight 1-3/8-inch holes evenly spaced around the perimeter, located appropriately to allow for maximum sludge and scum accumulation before requiring pumping (approximately 70% of minimum liquid level). The Biotube cartridge shall be made with 1/8-inch mesh polypropylene and with a solid base (to prevent solids from entering through the bottom during ebullition). The Biotube cartridge shall be housed inside the PVC vault. The filter shall have an effective filter area of no less then 14.6 square feet.

# Alternate: 12" Effluent Filter

Orenco Systems®, Inc. Model FT12 series Biotube® effluent filter or engineered-approved equal. Filters shall consist of a 12" diameter PVC vault with eight 1-3/8-inch holes evenly spaced around the perimeter, located appropriately to allow for maximum sludge and scum accumulation before requiring pumping (approximately 70% of minimum liquid level). The Biotube cartridge shall be made with 1/8-inch mesh polypropylene and with a solid base (to prevent solids from entering through the bottom during ebullition). The Biotube cartridge shall be housed inside the PVC vault. The filter shall have an effective filter area of no less

then 30.0 square feet. Optional 4" PVC slide rail for easy removal of vault housing is available.

Alternate: 15" Effluent Filter

Orenco Systems°, Inc. Model FT15 series Biotube° effluent filter or engineered-approved equal. Filter shall consist of a 15″ diameter PVC vault with eight 1-3/8-inch holes evenly spaced around the perimeter, located appropriately to allow for maximum sludge and scum accumulation before requiring pumping (approximately 70% of minimum liquid level). The Biotube cartridge shall be made with 1/8-inch mesh polypropylene and with a solid base (to prevent solids from entering through the bottom during ebullition). The Biotube cartridge shall be housed inside the PVC vault. The filter shall have an effective filter area of no less then 50.5 square feet. Optional 4″ PVC slide rail for easy removal of vault housing is available.

### 4. SEPTIC TANK EFFLUENT PUMPING ASSEMBLIES:

# Single Family Residences

All pumping systems shall be supplied by a reputable manufacturer with at least five years of experience in supplying equipment for effluent sewers. References must be available on request from the engineer. Systems shall be Orenco Systems<sup>®</sup>, Inc. High-Head Pumping Assemblies or engineer-approved equal, composed of:

## 4.1 Risers & Lids:

Refer to section B, 1 through 6.

#### 4.2 Pump Vault:

Orenco Systems°, Inc. Model PVU Series, Universal Biotube° Pump Vault or engineer-approved equal, installed in conformance with the engineer's plans. The filter shall have a minimum effective screen area of no less than 15.5 square feet. The Biotube pump vault shall consist of a 12-inch diameter polyethylene vault with eight (8) 2-inch diameter holes evenly spaced around the perimeter, located appropriately to allow for maximum sludge and scum accumulation before requiring pumping (approximately 70% of minimum liquid level). Housed inside the polyethylene vault shall be the Biotube assembly consisting of 1/8-inch mesh polypropylene tubes. Attached to the vault is a flow inducer to accept one or two high-head effluent pumps.

# 4.3 Discharge Hose and Valve Assembly:

For most single-family residences, Orenco Systems®, Inc. Model HV100BCFC or engineered-approved equal. Discharge assembly shall be 1-inch diameter and include 150 psi PVC ball valve, 150 psi PVC check valve, PVC flex hose with working pressure rating of 100 psi, and Schedule 40 PVC pipe.

# **Optional Components**

A. Anti-siphon valve: When pumping downhill discharge assembly shall include Orenco Systems\*, Inc. Model HVAS100 series or engineer-approved equal, 1", 150 psi Schedule 40 PVC anti-siphon valve.

- B. Drain-back: For cold weather climates, drain-back style discharge assembly shall be used which includes an 1/8" drain back orifice above the check valve.
- C. Cold weather kit: For cold weather climates and deep bury tanks, Orenco Systems®, Inc. Model HVCW100-KIT series or engineer-approved equal. It shall include a Schedule 40 PVC elbow with a 1/8" drain back orifice, Schedule 80 nipple, and male adapter.
- D. Quick Disconnect: Shall include 1" cam coupler and adapter for quick disconnect.
- E. High-pressure flex hose: Orenco Systems°, Inc. Model HV100PR series or engineer-approved equal. Shall be constructed of a special elastomer compound with a working pressure of 250psi.

## 4.4 Float Switch Assembly:

Orenco Systems°, Inc. Model MFABT with three switch floats mounted on a PVC stem attached to the filter cartridge. The floats must be adjustable and must be removable without removing the pump vault. The high- and low-level alarms and on/off function shall be preset as shown in the engineer's plans. Each float lead shall be secured with a nylon strain relief bushing at the splice box. The floats shall be UL or CSA listed and shall be rated for a minimum of 5.0A @ 120 VAC.

Alternate: VeriComm® Remote Telemetry Float Control System

Orenco Systems®, Inc. Model MF3A with three switch floats mounted on a PVC stem attached to the filter cartridge. The floats must be adjustable and must be removable without removing the pump vault. The high/pump on, pump off and low-level alarms shall be preset as shown in the engineer's plans. Each float lead shall be secured with a nylon strain relief bushing at the splice box. The floats shall be UL or CSA listed.

Alternate: VeriComm® Remote Telemetry Float Control System

Orenco Systems<sup>®</sup>, Inc. Model MF3V with three mechanical switch floats mounted on a PVC stem attached to the filter cartridge. The floats must be adjustable and must be removable without removing the pump vault. The high/pump on, pump off and low-level alarms shall be preset as shown in the engineer's plans. Each float lead shall be secured with a nylon strain relief bushing at the splice box. The floats shall be UL or CSA listed.

#### 4.5 High-Head Effluent Pump:

Must be approved for use in pump vault as described in D2. For most single family home applications, an Orenco Systems®, Inc. Model PF100511, 1/2 hp, 115 VAC, single phase, 60 Hz, two-wire motor, with 10 foot long extra heavy duty (SO) electrical cord with ground. Pump shall be capable of providing a flow rate of 5 gpm against a head of 200 feet, or 10 gpm against a head of 120 feet. When used in conjunction with a flow controller, the pump shall be capable of providing 5 gpm against a head of 190 feet. Pump shall be UL and CSA listed as an effluent pump. Pump shall be provided with a non-prorated five-year warranty. Larger horsepower units are available (3/4 to 1-1/2 hp, 230 VAC).

# 4.6 Electrical Splice Box:

Orenco Systems®, Inc. Model SBEX series external splice box or engineer-approved equal, UL approved for wet locations, equipped with up to four (4) electrical cord grips and two 3/4-inch outlet fitting. Also included shall be UL listed waterproof butt splice connectors.

Alternate: Internal PVC Splice Box

Orenco Systems®, Inc., Model SB series internal splice box or engineer-approved equal, UL approved for wet locations, equipped with up to six (6) electrical cord grips and a 1-inch outlet fitting. Also included shall be UL listed waterproof wire nuts. The use of a UL-approved conduit seal kit shall be required to prevent the passage of gases, vapors, or flames through the conduit.

### 4.7 Controls and Alarms:

Controls and alarms shall be listed per UL 508. Panels shall be repairable in the field without the use of soldering irons or substantial disassembly. For most single family home applications, an Orenco Systems<sup>®</sup>, Inc. Model S1 Series or engineered-approved equal control panel meeting the following:

## **Standard Components:**

- A. Motor-Start Contactor: 120 VAC, 1hp, 16 FLA, 60 Hz; 2.5 million cycles at FLA (10 million at 50% of FLA).
- B. Toggle Switch: Single-pole, double-throw HOA switch. 20 amps, 1 hp.
- C. Controls Circuit Breaker: 10 amps, OFF/ON switch. Single-pole 120 VAC. DIN rail mounting with thermal magnetic tripping characteristics.
- D. Pump Circuit Breaker: 20 amps, OFF/ON switch. Single-pole 120 VAC. DIN rail mounting with thermal magnetic tripping characteristics.
- E. Audio Alarm: 95 dB at 24", warble-tone sound.
- F. Visual Alarm: 7/8" diameter red lens, "Push-to-silence." NEMA 4, 1-watt bulb, 120 VAC
- G. Panel Enclosure: Measures 11.5" high x 9.3" wide x 5.4" deep. NEMA 4X rated. Constructed of UV-resistant fiberglass; hinges and latch are stainless steel.
- H. S1 Panel Ratings: 120 VAC, 1 hp, 14 amps, single phase, 60 Hz.

#### **Optional Components:**

- I. Redundant Off Relay: 120 VAC, provides a secondary off. Sounds alarm on low-level condition. DIN rail mount.
- J. Pump Run Light: 7/8" green lens. NEMA 4, 1-watt bulb, 120 VAC.
- K. Heater: Anti-condensation heater. Self-adjusting: radiates additional wattage as temperature drops.

- L. Event Counter: 120 VAC, 6-digit, non-resettable.
- M. Elapsed Time Meter: 120 VAC, 7-digit, non-resettable. Limit of 99,999 hours; accurate to 0.01 hours.

Alternate: VeriComm® Remote Telemetry Control System

Orenco Systems<sup>®</sup>, Inc. Model VCOM-S1RO Series or engineered-approved equal control panel. The system will be monitored via remote telemetry, UL-recognized and FCC-approved for the application, and shall contain the following operating modes:

- A "Start-up Mode" during which the system will collect trend data for establishing future operating standards,
- A "Normal Mode" that manages day-to-day functions of the system,
- A "Test Mode" that suspends data collection and communications with the central server so that operators can install and service the system without affecting the panel's trend data and web-based communication.

In addition, the control system shall be capable of the following functions:

- Data Collection and Utilization: Logs data of system conditions and events, such as pump run time, pump cycles, alarm conditions, and alert conditions.
- Troubleshooting and Diagnostic Logic: Programmed to identify and report suspected failed components (Alarms) and negative trends in operating data (Alerts).
- Advanced Control Logic: Advanced control logic will activate in the event of component malfunction to diagnose the system using pre-established trend data and, if necessary, modify the operation of the system until the system can be serviced.
- Communications and Alarm Management: The control and monitoring system shall operate such that the telemetry control unit will communicate with a web-based monitoring application for reporting and alarm management. The system shall provide for a minimum of three levels of password-protected security access and control to ensure only qualified personnel can access and communicate with the panel. The communication protocol shall allow the operator to communicate with the telemetry unit using any modem (Mac or PC) and a web browser, or a simple communication program (e.g. HyperTerminal or Z-Term). Telemetry units requiring proprietary software shall not be considered.

The telemetry unit will provide automatic notification or call-in to the host in the event of:

- Alarms, which signal fault conditions that need to be addressed immediately (e.g. high or low liquid levels, pump failure, failed contactor, etc.);
- Alerts, which signal less critical conditions that require attention, but which will engage the panel's troubleshooting and diagnostic logic and alternative operating modes (e.g. stuck float switch, leaking tank, brownout, high flows, etc.);
- Updates, which include follow-up reminders or all-clear notifications following Alarms/Alerts, as well as scheduled panel reports;
- Manual forced communication, from panel to host to effect an update of queued programming changes.

In addition, the unit shall have the capability of real-time direct connection to the panel via laptop serial port, to allow the operator real-time access to detailed logged data and the ability to change point values.

## **Standard Components:**

- A. Motor-Start Contactor: 120 VAC, 1hp, 16 FLA, 60 Hz; 2.5 million cycles at FLA (10 million at 50% of FLA).
- B. Remote Telemetry Unit: ATRTU-100; 36/18 VAC (center tap transformer), 8 digital inputs, 4 analog inputs, 4 digital outputs, 0 analog outputs, on-board modem (2400 baud), LED input and output indicators, 1-year battery backup of data and program settings.
- C. Phone line Surge Arrestor/DSL Filter: Surge protection for phone line. DSL filter for lines that also carry DSL service. Connection to panel via RJ11 jack or terminal block.
- D. Controls Circuit Breaker: 10 amps, OFF/ON switch. Single-pole 120 VAC. DIN rail mounting with thermal magnetic tripping characteristics.
- E. Pump Circuit Breaker: 20 amps, OFF/ON switch. Single-pole 120 VAC. DIN rail mounting with thermal magnetic tripping characteristics.
- F. Fuse: 120 VAC Primary, 36 VCT @ 0.85 A Secondary
- G. Transformer: 250VAC, 1A
- H. Audio Alarm: 95 dB at 24", warble-tone sound.
- I. Toggle Switch: Single-pole switch, automatic On, with spring-loaded, momentary, manual On. 20A, 1hp.
- J. Visual Alarm: 7/8" diameter red lens, "Push-to-silence." NEMA 4, 1-watt bulb, 120 VAC

- K. Panel Enclosure: Measures 13.51" high x 11.29" wide x 5.58" deep. NEMA 4X rated. Constructed of UV-resistant fiberglass; hinges and latch are stainless steel.
- L. VCOM-S1RO Panel Ratings: 120 VAC, 1 hp, 16 amps, single phase, 60 Hz.

## Optional Components:

- M. Pump Run Light: 7/8" green lens. NEMA 4, LED, 120 VAC.
- N. Heater: Anti-condensation heater. Self-adjusting: radiates additional wattage as temperature drops.

#### 4.8 Service Connection:

Orenco Systems<sup>®</sup>, Inc. Model SC100 or engineered-approved equal. Service connection will include 1" swing check valve factory connected to a 1" ball valve. All components will be PVC Schedule 40 and rated for 150psi.

- A. Service connection shall be enclosed in PVC access riser as manufactured by Orenco Systems®, Inc. or engineered-approved equal. Risers shall extend to two inches above the ground surface to allow for settlement and shall have a minimum nominal diameter of 8-inches.
- B. One lid shall be furnished with each access riser. Lids shall be Orenco Systems, Inc. Model FL8G or engineered-approved equal, fiberglass with green non-skid finish.

#### 4.9 Installation:

All pumping system components shall be installed in accordance with the manufacturer's recommendations, the engineer's plans, and all state and local regulations.

### 4.10 Location:

The pump control panel shall be mounted on a post or exterior wall nearest the tank and pump. If mounting to an exterior wall, try to select a garage or outbuilding where the sound of the motor contactor engaging will not be noticed. If a garage or outbuilding wall isn't available, installation should include use of sound-deadening insulation. (Post and panel mounting assemblies are acceptable.) The control panel shall be located within 50 feet and in sight of the pump motor or shall be provided with a lockable disconnect switch. The panel, when possible, should be mounted in the shade and protected from the weather. The panel should be located at a convenient height (usually about five feet above the ground) and where it will be accessible for maintenance.

#### 5. SEPTIC TANK EFFLUENT PUMPING ASSEMBLIES

#### For Commercial or Multiple-User Tanks

All pumping systems shall be supplied by a reputable manufacturer with at least five years of experience in supplying equipment for effluent sewers. References must be available on request from the engineer. Systems shall be Orenco Systems<sup>®</sup>, Inc. High-Head Pumping Assemblies or engineer-approved equal, composed of:

#### 5.1 Risers & Lids:

Same as section B, 1 through 6, above.

# 5.2 Pump Vault:

Orenco Systems°, Inc. Model PVU Series, Universal Biotube° Pump Vault or engineer-approved equal, installed in conformance with the engineer's plans. The filter shall have a minimum effective screen area of no less than 15.5 square feet. The Biotube pump vault shall consist of a 12-inch diameter polyethylene vault with eight (8) 2-inch diameter holes evenly spaced around the perimeter, located appropriately to allow for maximum sludge and scum accumulation before requiring pumping (approximately 70% of minimum liquid level). Housed inside the polyethylene vault shall be the Biotube assembly consisting of 1/8-inch mesh polypropylene tubes. Attached to the vault is a flow inducer to accept one or two high-head effluent pumps. (Note: Commercial and multiple-user tanks may require a larger or duplex Biotube Pump Vault, the sizes of which must be individually determined and spelled out in the specifications.)

# 5.3 Discharge Hose and Valve Assemblies:

Orenco Systems<sup>®</sup>, Inc. Model HV125BC or engineered-approved equal, 1 1/4-inch diameter, 150 psi PVC ball valve, 150 psi PVC check valve, PVC flex hose with working pressure rating of 80 psi, and Schedule 40 PVC pipe.

## **Optional Components**

- A. Anti-siphon valve: When pumping downhill discharge assembly shall include Orenco Systems\*, Inc. Model HVAS125 series or engineer-approved equal, 1-1/4", 150 psi Schedule 40 PVC anti-siphon valve.
- B. Drain-back: For cold weather climates, drain-back style discharge assembly shall be used which includes an 1/8" drain back orifice above the check valve.
- C. Cold weather kit: For cold weather climates and deep bury tanks, Orenco Systems®, Inc. Model HVCW125-KIT series or engineer-approved equal. It shall include a Schedule 40 PVC elbow with a 1/8" drain back orifice, Schedule 80 nipple, and male adapter.
- D. Quick Disconnect: Shall include 1-1/4" cam coupler and adapter for quick disconnect.
- E. High-pressure flex hose: Orenco Systems<sup>®</sup>, Inc. Model HV125PR series or engineer-approved equal. Shall be constructed of a special elastomer compound with a working pressure of 250psi.

## Alternate:

Orenco Systems<sup>®</sup>, Inc. Model HV150BC, 1 1/2-inch diameter, 150 psi PVC ball-check valve, 150 psi PVC check valve, PVC flex hose with working pressure rating of 65 psi, and Schedule 40 PVC pipe.

# **Optional Components**

- A. Anti-siphon valve: When pumping downhill discharge assembly shall include Orenco Systems<sup>®</sup>, Inc. Model HVAS150 series or engineer-approved equal, 1-1/2", 150 psi Schedule 40 PVC anti-siphon valve.
- B. Drain-back: For cold weather climates, drain-back style discharge assembly shall be used which includes an 1/8" drain back orifice above the check valve.
- C. Cold weather kit: For cold weather climates and deep bury tanks, Orenco Systems®, Inc. Model HVCW150-KIT series or engineer-approved equal. It shall include a Schedule 40 PVC elbow with a 1/8" drain back orifice, Schedule 80 nipple, and male adapter.
- D. Quick Disconnect: Shall include 1-1/2" cam coupler and adapter for quick disconnect.
- E. High-pressure flex hose: Orenco Systems<sup>®</sup>, Inc. Model HV150PR series or engineer-approved equal. Shall be constructed of a special elastomer compound with a working pressure of 250psi.

#### Alternate:

Orenco Systems<sup>®</sup>, Inc. Model HV200BC, quick disconnect, 1 1/2-inch diameter, 150 psi PVC ball-check valve, 150 psi PVC check valve, PVC flex hose with working pressure rating of 60 psi, and Schedule 40 PVC pipe.

## **Optional Components**

- A. Anti-siphon valve: When pumping downhill discharge assembly shall include Orenco Systems<sup>®</sup>, Inc. Model HVAS200 series or engineer-approved equal, 2", 150 psi Schedule 40 PVC anti-siphon valve.
- B. Drain-back: For cold weather climates, drain-back style discharge assembly shall be used which includes an 1/8" drain back orifice above the check valve.
- C. Cold weather kit: For cold weather climates and deep bury tanks, Orenco Systems®, Inc. Model HVCW200-KIT series or engineer-approved equal. It shall include a Schedule 40 PVC elbow with a 1/8" drain back orifice, Schedule 80 nipple, and male adapter.
- D. Quick Disconnect: Shall include 2" cam coupler and adapter for quick disconnect.
- E. High-pressure flex hose: Orenco Systems<sup>®</sup>, Inc. Model HV200PR series or engineer-approved equal. Shall be constructed of a special elastomer compound with a working pressure of 200psi.

## 5.4 Float Switch Assembly:

Orenco Systems®, Inc. Model MF3AT with three switch floats mounted on a PVC stem attached to the filter cartridge. The floats must be adjustable and must be removable without removing the pump vault. The high- and low-level alarms and on/off function shall be preset as shown in the engineer's plans. Each float lead shall be secured with a nylon strain relief bushing at the splice box. The floats shall be UL or CSA listed.

Alternate: TCOM® Remote Telemetry Float Control System

Orenco Systems®, Inc. Model MF4A with three switch floats mounted on a PVC stem attached to the filter cartridge. The floats must be adjustable and must be removable without removing the pump vault. The high/pump on, pump off and low-level alarms shall be preset as shown in the engineer's plans. Each float lead shall be secured with a nylon strain relief bushing at the splice box. The floats shall be UL or CSA listed.

Alternate: TCOM® Remote Telemetry Float Control System

Orenco Systems<sup>®</sup>, Inc. Model MF4V with three mechanical switch floats mounted on a PVC stem attached to the filter cartridge. The floats must be adjustable and must be removable without removing the pump vault. The high/pump on, pump off and low-level alarms shall be preset as shown in the engineer's plans. Each float lead shall be secured with a nylon strain relief bushing at the splice box. The floats shall be UL or CSA listed.

## 5.5 High-Head Effluent Pumps:

Must be approved for use in pump vault as described in E2. Orenco Systems<sup>®</sup>, Inc. Model PF Series or engineered-approved equal, 1/2 to 1.5 hp, 115/230 VAC, single phase, 60 Hz, two-wire motor, with 10 foot long extra heavy duty (SO) electrical cord with ground. Pump shall be UL and CSA listed as an effluent pump.

#### 5.6 Electrical Splice Boxes:

Orenco Systems®, Inc. Model SBEX series external splice box or engineer-approved equal, UL approved for wet locations, equipped with up to four (4) electrical cord grips and two 3/4-inch outlet fitting. Also included shall be UL listed waterproof butt splice connectors.

Alternate: Internal PVC Splice Box

Orenco Systems<sup>®</sup>, Inc., Model SB series internal splice box or engineer-approved equal, UL approved for wet locations, equipped with up to six (6) electrical cord grips and a 1-inch outlet fitting. Also included shall be UL listed waterproof wire nuts. The use of a UL-approved conduit seal kit shall be required to prevent the passage of gases, vapors, or flames through the conduit.

Alternate; Class 1, Division 1 Splice Box

Orenco Systems<sup>®</sup>, Inc. Model SBX series or engineer-approved equal, UL approved for Class I, Division 1 Type D gas applications, equipped with one quick disconnect, aluminum receptacle and malleable iron mounting box. Also included shall be explosion proof EY fitting for pump wire connection.

# 5.7 Controls and Alarms:

Controls and alarms shall be listed per UL 508. Panels shall be repairable in the field without the use of soldering irons or substantial disassembly. Panel shall be Orenco Systems<sup>®</sup>, Inc. Model MVP Series control panel meeting the following:

## **Standard Components:**

- A. Programmable Logic Unit: 120/240 VAC programmable logic unit with builtin LCD screen and programming keys. Provides control functions and timing for panel operation.
- B. Motor-Start Contactor: 120 VAC 16 FLA, 1 hp, 60 Hz; 2.5 million cycles at FLA (10 million at 50% FLA). 240 VAC 16 FLA, 3 hp, 60 Hz; 2.5 million cycles at FLA (10 million at 50% FLA).
- C. Toggle Switch: Single-pole, double-throw HOA switch. 20 amps, 1 hp.
- D. Controls Circuit Breaker: 10 amps, OFF/ON switch. Single-pole 120 VAC. DIN rail mounting with thermal magnetic tripping characteristics.
- E. Pump Circuit Breaker: 20 amps, OFF/ON switch. Single-pole 120 VAC, double-pole 240 VAC. DIN rail mounting with thermal magnetic tripping characteristics.
- F. Audio Alarm: 95 dB at 24", warble-tone sound.
- G. Visual Alarm: 7/8" diameter red lens, "Push-to-silence." NEMA 4, 1-watt bulb, 120 VAC
- H. Panel Enclosure: NEMA 4X rated, constructed of UV-resistant fiberglass or NEMA 4 rated, constructed of steel; hinges and latch are stainless steel. Conduit couplings provided.
- I. MVP: Panel Ratings: 120 VAC, 1 hp, 16 amps, single phase, 60 Hz.; 240 VAC, 3 hp, 16amps, single phase, 60 Hz.

#### **Optional Components:**

- J. Pump Run Light: 7/8" green lens. NEMA 4, 1-watt bulb, 120 VAC.
- K. Effluent Alarm: 95db at 24", warble-tone sound.
- L. Flashing Light: Flashing Light: Lexan lens, flanged based, Red, UL recognized
- M. 3 way (main, auto, off) manual power transfer/disconnect switch
- N. 120 VAC Ground fault interrupter (GFI)
- O. Surge Arrestor: AG2401 120/230V, three 18" leads, rated for a maximum of 32,000amps, UL/CSA listed.
- P. Heater: Anti-condensation heater. Self-adjusting; radiates additional wattage as temperature drops.

- Q. Intrinsically Safe Controls Relays: 120 VAC. Listed per UL 913, for Class I, Div. I, Groups A, B, C, D hazardous locations. Larger enclosure required.
- R. Current Sensor: 120 VAC. Go/no-go operation. Pump fail indicator light on panel. Manual reset switch.
- S. Event Counter: 120 VAC, 6-digit, non-resettable.
- T. Elapsed Time Meter: 120 VAC, 7-digit, non-resettable. Limit of 99,999 hours; accurate to 0.01 hours.

Alternate: Remote Telemetry Control System

Controls and alarms shall be listed per UL 508. Panels shall be repairable in the field without the use of soldering irons or substantial disassembly. Panel shall be Orenco Systems<sup>®</sup>, Inc. TCOM™ control panel or engineer-approved equal, meeting the following:

The system will be monitored via remote telemetry with real time efficiency.

In addition, the control system shall be capable of the following functions:

- A. Data Collection and Utilization: Logs data for system conditions and events such as pump run time, pump cycles, and alarm conditions.
- B. Downloadable Logs: Download logs into a \*.dif or ASCII format for simple conversion to common spreadsheet or word processor programs.
- C. Multi-Level Password Security: Only qualified personnel can remotely access site.
- D. Program Logic Rules: Simple "If ... then" declarations. Rules can be written based on several operands, including the following:
  - Input/output status
  - Point status
  - Date: mm/dd/yy format
  - Time of day: 24 hour clock
  - Timers
  - Historical data (allows for control optimization or detection of trends)
- E. Schedule functions to control digital "Points" based on date or day of week/time.
- F. Automatic daylight savings time adjustment.

G. Automatic call-out to pagers during alarm conditions when panel detects trends that could lead to system failure.

In addition, the unit shall have the capability of real-time direct connection to the panel via laptop serial port, to allow the operator real-time access to detailed logged data and the ability to change point values.

# **Standard Components:**

- A. Motor-Start Contactor: 120V 16 FLA, 1 hp, 60 Hz; 2.5 million cycles at FLA (10 million at 50% of FLA). 240 VAC, 16 FLA, 3 hp, 60 Hz; 2.5 million cycles at FLA (10 million at 50% FLA).
- B. HOA 3- Way Toggle Switch: Single-pole switch, Hands (manual) Off, Auto ON. 20 amps, 1 hp.
- C. Controls Circuit Breaker: 10 amps, OFF/ON switch. Single-pole 120 VAC. DIN rail mounting with thermal magnetic tripping characteristics.
- D. Pump Circuit Breaker: 20 amps, OFF/ON switch. Single-pole for 120 VAC or double-pole for 230 VAC. DIN rail mounting with thermal magnetic tripping characteristics.
- E. Audio Alarm: 95 dB at 24", warble-tone sound.
- F. 120 VAC Ground Fault Interrupter (GFI)
- G. Current Sensor: 120 VAC with adjustable high & low alarm set points.
- H. Visual Alarm: 7/8" diameter red lens, "push-to-silence." NEMA 4, 1-watt bulb, 120 VAC.
- I. Panel Enclosure: NEMA 4X rated, constructed of UV-resistant fiberglass or NEMA 4, constructed of steel; hinges and latch are stainless steel. Conduit couplings provided.
- J. Remote Telemetry Unit: ATRTU-Net; self powered 24 VDC at 10 mA max, 8 digital inputs, 8 analog inputs expandable to 16 with expansion board. Onboard modem (9600 baud), Ethernet port (10 base T, RJ45jack) and Modbus port (R5422/485 terminals).

# **Optional Components:**

- K. Touch Screen Display: interface module with 5.7 color touch screen.
- L. Pump Run Light: 7/8" green lens. NEMA 4, 1-watt bulb, 120 VAC.
- M. Effluent Alarm: 95db at 24", warble-tone sound.
- N. Flashing Light: Lexan lens, flanged base, red, UL-recognized.

- O. Heater: Anti-condensation heater. Self-adjusting, radiates additional wattage as temperature drops.
- P. Intrinsically Safe Control Relays: 120 VAC. Listed per UL 698A, for Class 1 Div. 1, Groups A, B, C, D hazardous locations. Larger enclosure required.
- Q. 3- Way (main, auto, off) manual transfer/disconnect switch
- R. Event Counter: 120 VAC, 6-digit, non-resettable.
- S. Elapsed Time Meter: 120 VAC, 7-digit, non-resettable. Limit of 99,999 hours; accurate to 0.01 hours.

### 5.8 Installation:

All pumping system components shall be installed in accordance with the manufacturer's recommendations, the engineer's plans, and all state and local regulations.

#### 5.9 Location:

The pump control panel shall be mounted on a post or exterior wall nearest the tank and pump. If mounting to an exterior wall, try to select a garage or outbuilding where the sound of the motor contactor engaging will not be noticed. If a garage or outbuilding wall isn't available, installation should include use of sound-deadening insulation. (Post and panel mounting assemblies are acceptable.) The control panel shall be located within 50 feet and in sight of the pump motor or shall be provided with a lockable disconnect switch. The panel, when possible, should be mounted in the shade and protected from the weather. The panel should be located at a convenient height (usually about five feet above the ground) and where it will be accessible for maintenance.

## TOOLS FOR SEPTAGE MEASUREMENT

6.1 Scum Measuring Utility Gauge (SMUG):

Contractor shall provide a minimum of one scum measuring utility gauge per 100 units. The gauge shall consist of a minimum 3/8" diameter stainless steel rod with an incremental scale for measuring scum levels. The rod shall be bent at a 90-degree angle at the base to aid in identifying the scum "by feeling." The gauge shall be Orenco Systems, Inc. Model SMUG or engineer-approved equal.

6.2 Sludge Measuring Device:

Contractor shall provide a minimum of one engineer-approved sludge-measuring device per 100 interceptor tanks.

# 7. FORCEMAIN COMPONENTS

#### 7.1 Automatic Air Release Valve:

Orenco Systems®, Inc. Model ARB05 or engineered-approved equal. Valve base shall be made of Zamak® zinc alloys and include a Buna N rubber base O-ring seal. Body shall be constructed of glass fiber reinforced nylon housing a glass fiber reinforced nylon kinetic

float. Valve will also include a polypropylene elbow to expel air horizontally. Valve shall be corrosion resistant and operable with a minimum line pressure of 2 psig.

- A. Piping shall be Orenco Systems\*, Inc. Model ARA1 or engineered-approved equal. 1-inch diameter PVC and will include a 1/2-inch diameter ball valve, union, and in-line strainer mounted on a 3-1/2-inch diameter fiberglass base. All components shall be rated for 150psi working pressure.
- B. Air release assembly shall be enclosed in ribbed PVC access riser as manufactured by Orenco Systems<sup>®</sup>, Inc. or engineered-approved equal. The material shall be PVC as per ASTM D-1784 and tested in accordance with AASHTO M304M-89. Risers shall extend to two inches above the ground surface to allow for settlement and shall have a minimum nominal diameter of 24.
- C. One lid shall be furnished with each access riser. Lids shall be Orenco Systems<sup>®</sup>, Inc. Model FL24G-4BU or engineered-approved equal, fiberglass with green non-skid finish, and provided with stainless steel bolts, and wrench. The riser and lid combination shall be sealed for watertightness and able to support a 2500 lb. wheel load. (Note: This is not to imply that PVC risers are intended for traffic areas.)

## 7.2 Combination Automatic Air/Vacuum Release Valve:

Orenco Systems<sup>®</sup>, Inc. Model ARB15 or engineered-approved equal. Valve base shall be made of Zamak<sup>®</sup> zinc alloys and include a Buna N rubber base O-ring seal. Body shall be constructed of glass fiber reinforced nylon housing a glass fiber reinforced nylon kinetic float. Valve will also include a polypropylene elbow to expel air horizontally. Valve shall be corrosion resistant and operable with a minimum line pressure of 2 psig.

- A. Piping shall be Orenco Systems<sup>®</sup>, Inc. Model ARA2 or engineered-approved equal. 1-inch diameter PVC and will include a 1/2-inch diameter ball valve, union, and in-line strainer mounted on a 3-1/2-inch diameter fiberglass base. All components shall be rated for 150psi working pressure.
- B. Air release assembly shall be enclosed in ribbed PVC access riser as manufactured by Orenco Systems°, Inc. or engineered-approved equal. The material shall be PVC as per ASTM D-1784 and tested in accordance with AASHTO M304M-89. Risers shall extend to two inches above the ground surface to allow for settlement and shall have a minimum nominal diameter of 24.
- C. One lid shall be furnished with each access riser. Lids shall be Orenco Systems®, Inc. Model FL24G-4BU or engineered-approved equal, fiberglass with green non-skid finish, and provided with stainless steel bolts, and wrench. The riser and lid combination shall be sealed for watertightness and able to support a 2500 lb. wheel load. (Note: This is not to imply that PVC risers are intended for traffic areas.)

## 7.3 Manual Valves:

Orenco Systems<sup>®</sup>, Inc. Model ARB05 or ARB15 or engineered-approved equal as listed above. Valves will include the following piping:

Orenco Systems<sup>®</sup>, Inc. Model ARAM or engineered-approved equal. 1-inch diameter PVC and will include a 1/2-inch diameter ball valve, union. All components shall be rated for 150psi working pressure.

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